## NPAC course on Astroparticles

## VI - PARTICLE ACCELERATION: DIFFUSIVE SHOCK ACCELERATION

## Particle acceleration

Static electric field ->
Ohm's law

Static magnetic field ->


## Particle acceleration

Static electric field ->

Ohm's law



Static magnetic field ->

$$
\vec{F}=q \vec{v} \times \vec{B}
$$

no work done on the particle

$$
\begin{array}{ll}
\text { Induced E-field } \rightarrow & \nabla \times \vec{E}=-\frac{1}{c} \frac{\partial \vec{B}}{\partial t} \\
\text { Moving B-field }-> & \vec{E}=-\frac{1}{c} \vec{v} \times \vec{B}
\end{array}
$$

## Fermi's idea $(1949,1954)$



* primed quantities are measured in the rest frame of the cloud


## Energy gain (loss) per interaction

$$
E^{\prime}=\gamma_{v}\left(E-v p \cos \left(\vartheta_{i n}\right)\right)
$$



* primed quantities are measured in the rest frame of the cloud


## Energy gain (loss) per interaction

$$
\frac{\Delta E}{E}=\beta\left[\cos \left(\vartheta_{\text {out }}^{\prime}\right)-\cos \left(\vartheta_{\text {in }}\right)\right]+\beta^{2}\left[1-\cos \left(\vartheta_{\text {in }}\right) \cos \left(\vartheta_{\text {out }}^{\prime}\right)\right]
$$

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$$

## head-on collision

before:

after:


$$
\frac{\Delta E}{E}=2 \beta(1+\beta)
$$

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$$

## head-on collision

before:
 after:


$$
\frac{\Delta E}{E}=2 \beta(1+\beta)
$$

tail-on collision
before:


after:


## Average energy gain

$$
\frac{\Delta E}{E}=\beta\left[\cos \left(\vartheta_{\text {out }}^{\prime}\right)-\cos \left(\vartheta_{\text {in }}\right)\right]+\beta^{2}\left[1-\cos \left(\vartheta_{\text {in }}\right) \cos \left(\vartheta_{\text {out }}^{\prime}\right)\right]
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$$

isotropy of particles in the cloud frame

$$
\cdots\left\langle\cos \left(\vartheta_{\text {out }}^{\prime}\right)\right\rangle=0
$$

\# particles between $\vartheta_{i n}$ and $\vartheta_{i n}+\mathrm{d} \vartheta_{i n} \quad--->\propto \sin \left(\vartheta_{i n}\right) \mathrm{d} \vartheta_{i n}$
rate at which particles enter the cloud prop. to ---> $\propto 1-\beta \cos \left(\vartheta_{i n}\right)$

## Average energy gain

$$
\left.\frac{\Delta E}{E}=\beta\left[\cos / \psi_{\text {out }}^{\prime}\right)-\cos \left(\vartheta_{\text {in }}\right)\right]+\beta^{2}\left[1-\cos \left(\vartheta_{\text {in }}\right) \operatorname{ros}\left(\vartheta_{\text {out }}^{\prime}\right)\right]
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$$
\left\langle\cos \left(\vartheta_{i n}\right\rangle=-\frac{\beta}{3}\right.
$$

## Second order Fermi mechanism

$$
\left\langle\frac{\Delta E}{E}\right\rangle \approx \frac{4}{3} \beta^{2}
$$

## Second order Fermi mechanism

$\left\langle\frac{\Delta E}{E}\right\rangle \approx \frac{4}{3}$

inefficient -> too slow...

## A very simple idea

## head-on collision



tail-on collision

$\bigcirc \longrightarrow$


## A very simple idea

## head-on collision


head-on collision


## A very simple idea

head-on collision
head-on collision
$O \longrightarrow$

## First order Fermi mechanism



## First order Fermi mechanism

$$
\begin{gathered}
\begin{array}{c}
\text { averages have to be } \\
\text { performed over the inter }
\end{array} \\
0<\vartheta<\frac{\pi}{2}
\end{gathered}
$$

## First order Fermi mechanism

averages have to be


$$
0<\vartheta<\frac{\pi}{2}
$$

First order!

$$
\left\langle\frac{\Delta E}{E}\right\rangle \approx \frac{4}{3} \beta
$$

## Shock waves in one slide <br> Shock rest frame



## Shock waves in one slide Shock rest frame



$$
\frac{\varrho_{2}}{\varrho_{1}}=\frac{u_{1}}{u_{2}}=\frac{\gamma+1}{\gamma-1}=4 \quad p_{2}=\frac{2}{\gamma+1} \varrho_{1} u_{1}^{2}
$$



Up-stream
Down-stream
Shock
In 1960 (!) F. Hoyle (first?) suggests that shocks accelerate CRs

## Diffusive Shock Acceleration

## Shock rest frame



Krymskii 1977, Axford et al. 1977, Blandford \& Ostriker 1978, Bell 1978

## Diffusive Shock Acceleration

Up-stream rest frame

$$
E_{a}=E_{b}
$$

Up-stream
$u_{1}-u_{2}$



Down-stream

Shock

## Diffusive Shock Acceleration

Down-stream rest frame


## Diffusive Shock Acceleration

## Symmetry



Every time the particle crosses the shock (up -> down or down -> up), it undergoes an head-on collision with a plasma moving with velocity $u_{1}-u_{2}$

## Diffusive Shock Acceleration

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Every time the particle crosses the shock (up -> down or down -> up), it undergoes an head-on collision with a plasma moving with velocity $\mathrm{u}_{1}-\mathrm{u}_{2}$

Asymmetry

(Infinite and plane shock:) Upstream particles always return the shock, while downstream particles may be advected and never come back to the shock

# Universality <br> of diffusive shock acceleration 

Let's search for a test-particle solution
Assumption: scattering is so effective at shocks that the distribution of particles is isotropic
-> an universal solution of the problem can be found

## Rate at which particles cross the shock

## Let's calculate Rin...

$n \rightarrow$ density of accelerated particles close to the shock
n is isotropic: $\mathrm{d} n=\frac{n}{4 \pi} \mathrm{~d} \Omega$
velocity across the shock: $c \cos (\theta)$

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$$
R_{\text {in }}=\int_{u p \rightarrow \text { down }} \mathrm{d} n c \cos (\theta)
$$

## Rate at which particles cross the shock

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velocity across the shock: $c \cos (\theta)$


DOWN

$$
R_{i n}=\int_{u p \rightarrow d o w n} \mathrm{~d} n c \cos (\theta)=\frac{n c}{4 \pi} \int_{0}^{\frac{\pi}{2}} \cos (\theta) \sin (\theta) \mathrm{d} \theta \int_{0}^{2 \pi} \mathrm{~d} \psi=\frac{1}{4} n c
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$$

-> the same result is obtained for down $->$ up

## Residence time upstream

-> let's find the STEADY STATE solution upstream of the shock

behavior of particles is diffusive $D(E)$-> diffusion coefficient
very poorly constrained (from
DOWN

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both observations and theory)
-> due to diffusion particles spread over

$$
l \approx \sqrt{D t}
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$l=u_{1} t$

$$
l_{d} \approx \frac{D}{u_{1}}
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residence time upstream $\rightarrow \tau_{u p}=\frac{N_{u p}}{R_{i n}}=\frac{n l_{d}}{\frac{1}{4} n c}=\frac{4 D}{u_{1} c}$

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# Residence time downstream <br> - > a bit more subtle... 


$n$ is constant downstream of the shock

## Residence time downstream

-> a bit more subtle...

$n$ is constant downstream of the shock

$$
u_{2} \frac{\partial n}{\partial x}=D \frac{\partial^{2} n}{\partial x^{2}}+Q \delta\left(x-x_{0}\right) \quad n(0)=0
$$

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\left.D \frac{\partial n}{\partial x}\right|_{x=0}
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$$
\left.D \frac{\partial n}{\partial x}\right|_{x=0}
$$

$$
\longrightarrow P_{r e t}=\frac{\left.D \frac{\partial n}{\partial x}\right|_{x=0}}{Q}
$$

$$
P_{r e t}=\exp \left(-\frac{x_{0} u_{2}}{D}\right)
$$

## Residence time downstream

number of downstream particles that will return to the shock:

$$
\int_{0}^{\infty} \mathrm{d} x P_{r e t}(x) n=\frac{D n}{u_{2}}
$$

## Residence time downstream

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$\int_{0}^{\infty} \mathrm{d} x P_{r e t}(x) n=\frac{D n}{u_{2}}$ same expression upstream!
mean residence time upstream $<->$ mean residence time downstream
$\frac{4 D}{u_{1} c} \quad \frac{4 D}{u_{2} c}$

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## Acceleration rate



## Acceleration rate



## Acceleration rate



## Box model for shock acceleration


particles move up in energy

$$
r_{a c c}=\frac{u_{1}-u_{2}}{3 L}
$$

## Box model for shock acceleration


particles move up in energy

$$
r_{a c c}=\frac{u_{1}-u_{2}}{3 L}
$$

particles exit the box downstream

$$
r_{e s c}=\frac{u_{2}}{L}
$$

## Box model for shock acceleration



## Box model for shock acceleration



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$$
\frac{1}{n(E)} \frac{\partial}{\partial E}(E n(E))=-1 \longrightarrow n(E) \propto E^{-2}
$$

# Universality <br> of diffusive shock acceleration 

Let's search for a test-particle solution
Assumption: scattering is so effective at shocks that the distribution of particles is isotropic
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## Bell's approach

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-> \# of particles starting a cycle per second: $\quad n c / 4$
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-> Probability to leave the system per cycle: $\quad u_{1} / c$

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-> Return probability to the shock per cycle: $\quad P_{R}=1-\frac{u_{1}}{c}$

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$$
u_{1} / c
$$

-> Return probability to the shock per cycle:
-> \# of particles performing at least $k$ cycles:

$$
\begin{aligned}
& P_{R}=1-\frac{u_{1}}{c} \\
& N_{k}=N_{0}\left(1-\frac{u_{1}}{c}\right)^{k}
\end{aligned}
$$

## Bell's approach

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-> Probability to leave the system per cycle:

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u_{1} / c
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-> Return probability to the shock per cycle: $\quad P_{R}=1-\frac{u_{1}}{c}$
-> \# of particles performing at least k cycles: $\quad N_{k}=N_{0}\left(1-\frac{u_{1}}{c}\right)^{k}$
$\rightarrow$ have an energy larger than: $E_{k}=E_{0}\left(1+\left\langle\frac{\Delta E}{E}\right\rangle\right)^{k}=E_{0}\left(1+\frac{u_{1}}{c}\right)^{k}$

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-> \# of particles starting a cycle per second:

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-> Return probability to the shock per cycle: $\quad P_{R}=1-\frac{u_{1}}{c}$
-> \# of particles performing at least $k$ cycles:

$$
N_{k}=N_{0}\left(1-\frac{u_{1}}{c}\right)^{\circledR}
$$

$\rightarrow$ have an energy larger than: $E_{k}=E_{0}\left(1+\left\langle\frac{\Delta E}{E}\right\rangle\right)^{k}=E_{0}\left(1+\frac{u_{1}}{c}\right)^{k}$

## Universal solution: Bell's approach

$$
\begin{aligned}
& \log \left(\frac{N}{N_{0}}\right)=k \log \left(1-\frac{u_{1}}{c}\right) \\
& \log \left(\frac{E}{E_{0}}\right)=k \log \left(1+\frac{u_{1}}{c}\right)
\end{aligned}
$$

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& N(>E)=N_{0}\left(\frac{E}{E_{0}}\right)^{\frac{\log \left(1-\frac{u_{1}}{c}\right)}{\log \left(1+\frac{u_{1}}{c}\right)}}
\end{aligned}
$$

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& N(>E)=N_{0}\left(\frac{E}{E_{0}}\right)^{\frac{\log \left(1-\frac{u_{1}}{c}\right)}{\log \left(1+\frac{u_{1}}{c}\right)}} \longrightarrow-1
\end{aligned}
$$

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& \quad N(>E)=N_{0}\left(\frac{E}{E_{0}}\right)^{\frac{\log }{\log \left(1-\frac{u_{1}}{c}\right.}} \boldsymbol{\operatorname { l o g } ( 1 + \frac { u _ { 1 } } { c } )}
\end{aligned}
$$

$$
\frac{\mathrm{d} N(E)}{\mathrm{d} E} \propto E^{-2}
$$

## Universal solution: Bell's approach

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\begin{gathered}
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N(>E)=N_{0}\left(\frac{E}{E_{0}}\right)^{\frac{\log \left(1-\frac{u_{1}}{c}\right)}{\log \left(1+\frac{u_{1}}{c}\right)}} \longrightarrow-1 \\
\text { Independent on D !!! } \\
\frac{\mathrm{d} N(E)}{\mathrm{d} E} \propto E^{-2}
\end{gathered}
$$

## Getting to the knee



$$
\tau_{a c c}=\frac{1}{r_{a c c}} \approx \frac{D(E)}{u^{2}}
$$

## Getting to the knee



$$
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maximum energy is given by:

$$
\tau_{a c c}(E)=\tau_{a g e}
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## Getting to the knee



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maximum energy is given by:

this depends on $D(E)$

## Getting to the knee



$$
\tau_{a c c}=\frac{1}{r_{a c c}} \approx \frac{D(E)}{u^{2}}
$$

maximum energy is given by:

this depends on $D(E)$
which age?


## Getting to the knee

Lagage \& Cesarsky 1983

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## Getting to the knee

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-> SNR shocks do not decelerate until $\lesssim 1000 \mathrm{yr} \longrightarrow \tau_{\text {age }} \approx 1000 \mathrm{yr}$
wavelength
->ERs are scattered by resonant MHD waves

$$
\lambda \approx R_{L} \leftarrow \text { Larmor radius }
$$

## Getting to the knee

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$$
D \approx l_{m f p} c \approx R_{L} c \propto \frac{E}{B}
$$

## Getting to the knee

Lagage \& Cesarsky 1983

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$$
\begin{array}{r}
D \approx l_{m f p} c \approx R_{L} c \propto \frac{E}{B} \\
E_{\max } \approx B u^{2} \tau_{a g e}=B u R \approx 10^{14} \mathrm{eV}
\end{array}
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## Getting to the knee

Lagage \& Cesarsky 1983

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-> SNR shocks do not decelerate until $\lesssim 1000 \mathrm{yr} \longrightarrow \tau_{\text {age }} \approx 1000 \mathrm{yr}$
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\end{array}
$$

>10 times below the knee

## How to solve the problem

horribly oversimplified, for a proper treatment see Bell 2004

$$
E_{\max } \approx B u R
$$

## How to solve the problem

horribly oversimplified, for a proper treatment see Bell 2004

$$
E_{\max } \approx B \backsim \text { the only way is to increase B }^{\circ}
$$

## How to solve the problem

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E_{\max } \approx B \backsim R
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shock

## How to solve the problem

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Alfven speed


$$
V_{A}=\frac{B}{\sqrt{4 \pi \varrho}}
$$

shock

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Alfven speed


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\begin{gathered}
V_{A}=\frac{B}{\sqrt{4 \pi \varrho}} \\
u_{s h} \gg V_{A}
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shock

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E_{\max } \approx B \cup R
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Alfven speed

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## shock

-> CRs move with the shock -> faster than waves -> CR and waves strongly coupled -> $V_{A}$ increases -> $B$ increases!

## Observational test: X-ray filaments

electrons

$$
\tau_{a c c}(E)=\tau_{a g e}
$$

## Observational test: X-ray filaments

electrons


## Observational test: X-ray filaments

electrons $\quad \tau_{\text {ace }}()^{1} / \tau_{\text {age }}$

$$
\tau_{a c c} \sim \frac{D}{u_{s}^{2}}=\tau_{s y n}
$$

## Observational test: X-ray filaments

electrons $\tau_{\text {ace }} / \tau_{\text {age }}$

$$
\tau_{a c c} \sim \frac{D}{u_{s}^{2}}=\tau_{s y n} \approx E^{-1} B^{-2}
$$

## Observational test: X-ray filaments

electrons $\quad \tau_{\text {ace }}\left(\frac{\tau_{\text {age }}}{}\right.$

$$
\tau_{a c c} \sim \frac{D}{u_{s}^{2}}=\tau_{s y n} \approx E^{-1} B^{-2} \quad \longrightarrow E_{\max } \sim u_{s} B^{-1 / 2}
$$

## Observational test: X-ray filaments

electrons $\quad \tau_{\text {acc }}\left(\stackrel{H}{=}=\tau_{\text {age }}\right.$

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max energy of synchrotron photons -> $\quad E_{s y n} \sim E^{2} B^{2} \sim u_{s}^{2}$

## Observational test: X-ray filaments

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$\max$ energy of synchrotron photons $->\quad E_{s y n} \sim E^{2} B^{2} \sim u_{s}^{2}$
depends on
velocity only!!!

## Observational test: X-ray filaments

electrons


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\tau_{a c c} \sim \frac{D}{u_{s}^{2}}=\tau_{s y n} \approx E^{-1} B^{-2} \quad \longrightarrow E_{\max } \sim u_{s} B^{-1 / 2}
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max energy of synchrotron photons ->

$$
\begin{array}{r}
E_{s y n} \sim E^{2} B^{2} \sim u_{s}^{2} \\
\text { depends on } \\
\text { velocity only!!! }
\end{array}
$$

$$
u_{s} \approx 10^{3} \mathrm{~km} / \mathrm{s} \longrightarrow E_{s y n}^{\max } \approx 1 \mathrm{kev}
$$

X-rays!

## Observational test: X-ray filaments



$$
\Delta l_{X} \sim \tau_{s y n} u_{2} \sim B^{-3 / 2}
$$

$B \sim$ hundreds of microGauss!

# The supernova remnant paradigm: does it work? 

diffusive transport of cosmic rays in the galaxy $\rightarrow$ ISOTROPY
slope of the spectrum $\rightarrow E^{-2}$ is too hard!
what we see from gamma ray observations of SNRs seems to suggest that shock accelerate steeper spectra
theoreticians proposed tricks (modification of the diffusive shock acceleration
theory) to explain this
if magnetic field amplification operates at shocks (???) -> protons can be accelerated up to the knee ( $\sim 10^{15} \mathrm{eV}$ )
things we did not discuss: chemical composition, electrons, ...

