

# Master NPAC: An introduction to the theory of nuclear reactions

**Guillaume Hupin**

IPN Orsay

prepared with inputs of D. Lacroix

**Lecture 1 : Generalities from classical to  
quantum scattering**



# THE SCOPE OF THE PRESENT COURSE

Generalities from classical to quantum scattering

Two-body quantum scattering: phase-shifts, resonances...

Formal theory of scattering to optical potential

Inelastic channels, Fusion

Direct reactions: continuum effect, Break-up, knock-out

Microscopic approaches to reactions: TDHF

Few-body approaches to reactions







General aspects

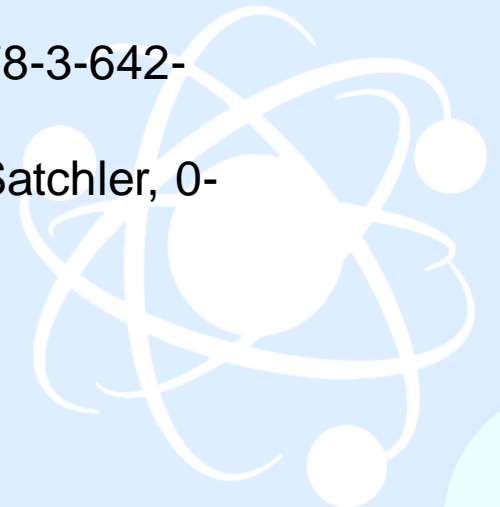
Nuclear Physics

Research topics

## AN INCOMPLETE LIST OF REFERENCES (TEXTBOOKS)

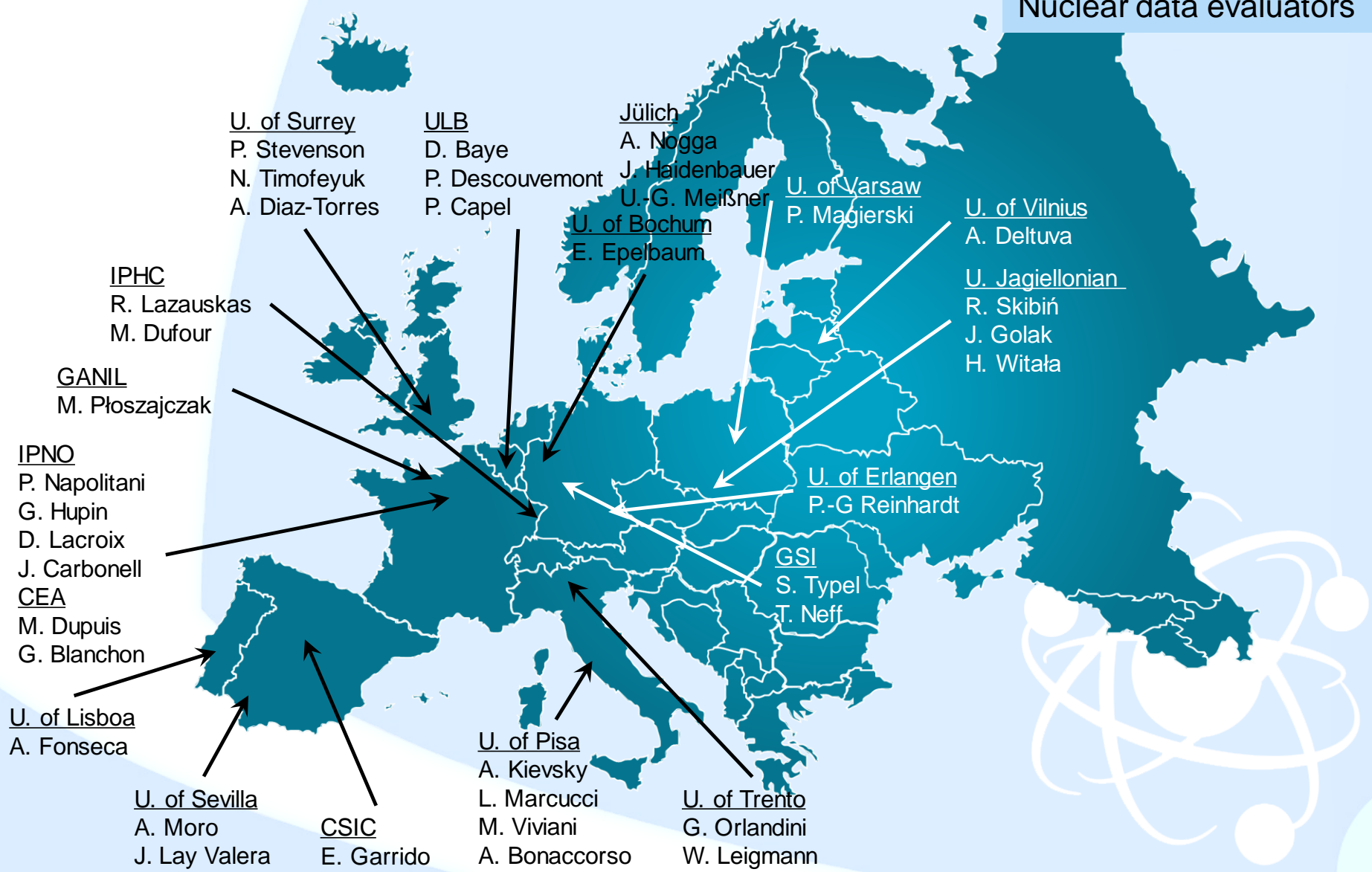
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-  Quantum Collision Theory C. J. Joachain, ISBN 978-0-444-86773-5, North-Holland, 1975.
-  Scattering Theory, J. R. Taylor, 978-0-486-45013-1, Dover publications, 1983.
-  Introduction to Nuclear Reactions, C. A. Bertulani and P. Danielewicz, 978-0-750-30932-5, Taylor & Francis, 2003.
-  Nuclear Reactions for Astrophysics, I. J. Thompson and F. M. Nunes, 978-0-524-85635-5, Cambridge University press, 2009.
-  Nuclear Reactions, H.P. gen. Schieck, 978-3-642-53985-5, Springer, 2014.
-  Introduction to Nuclear Reactions, G.R. Satchler, 0-333-25907-6, Macmillan Press, 1980.

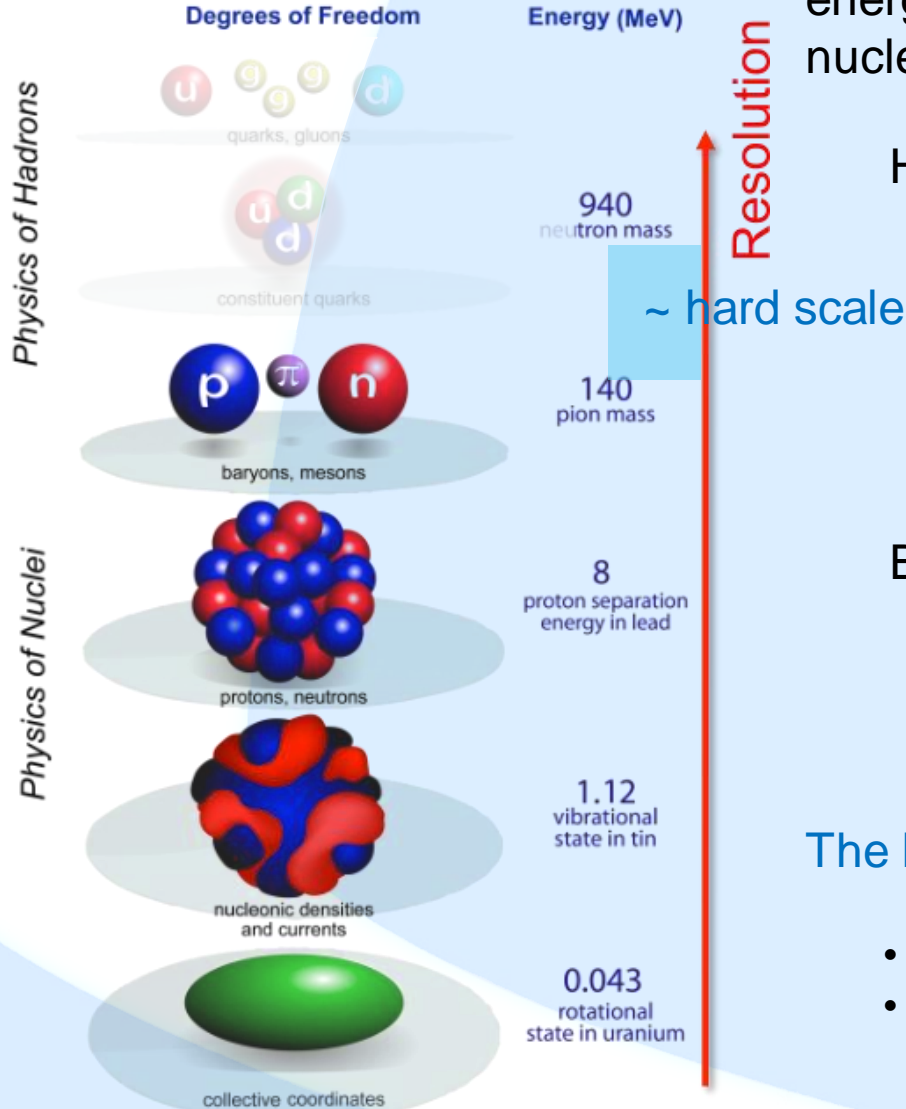


# AN INCOMPLETE LIST OF PRACTITIONERS IN EUROPE

Missing:  
 Nuclear data evaluators

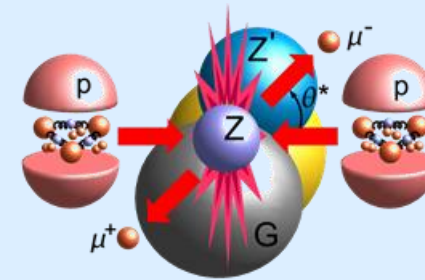


# LOW ENERGY NUCLEAR PHYSICS

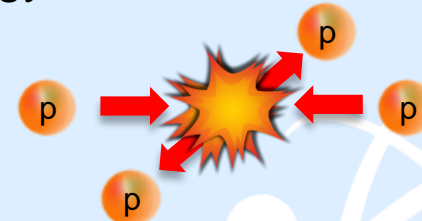


Here we consider that there is not enough energy to excite internal degrees of freedom of nucleons

High energy picture: from CMS (CERN)



Beam energy < 140 MeV/nucleon ( $\pi$  threshold)

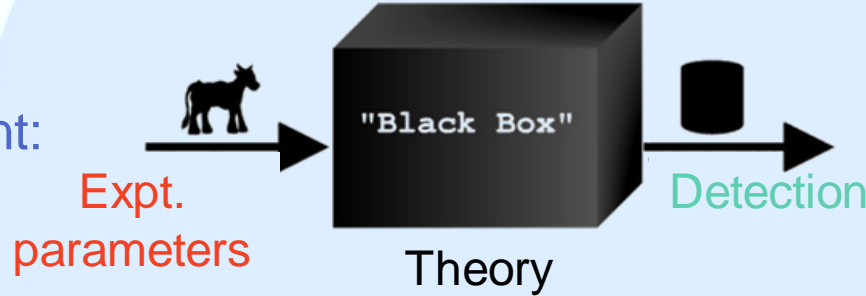


The low energy nuclear physicist viewpoint

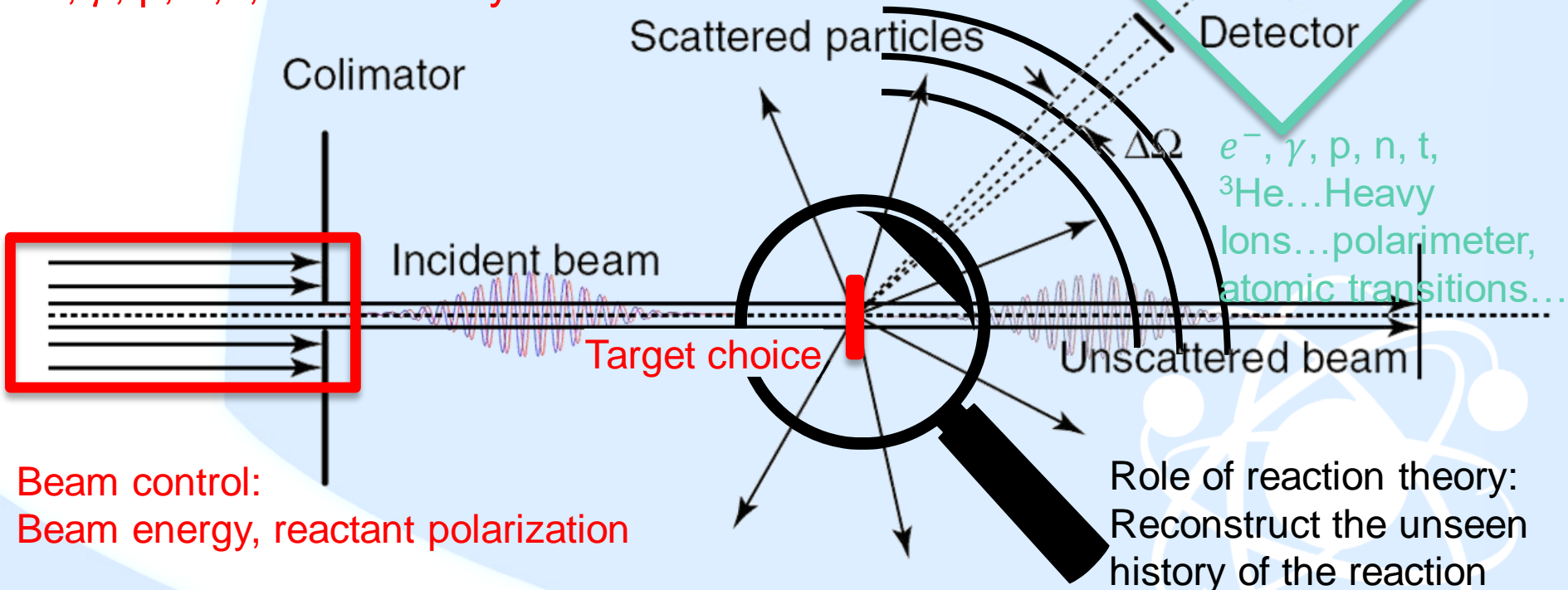
- NN-interaction is a fundamental force
- Nucleons are considered as elementary (point-like) particles

# SCHEMATIC VIEW OF A NUCLEAR REACTION

Typical experiment:



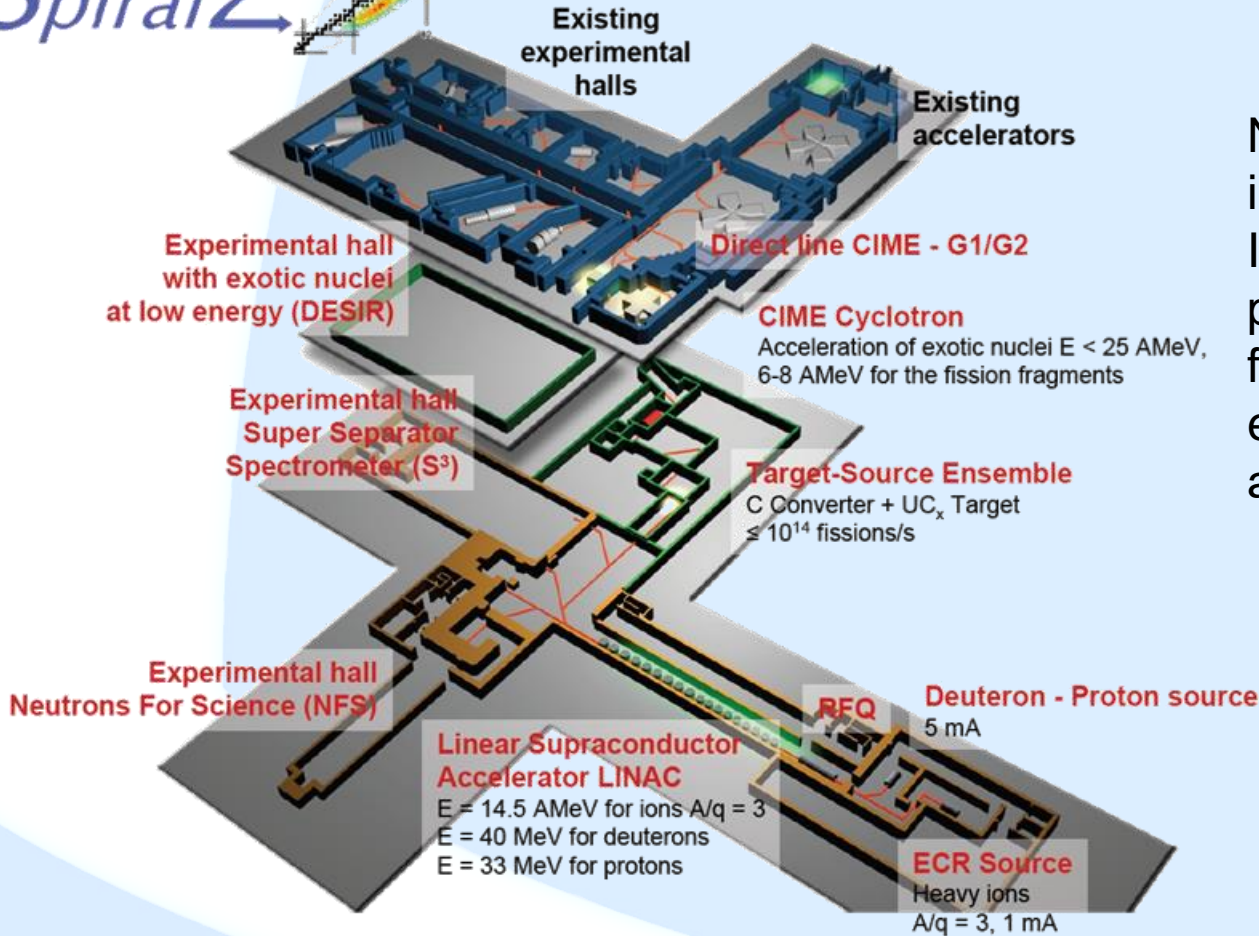
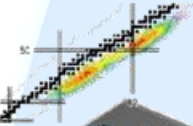
$e^-$ ,  $\gamma$ ,  $p$ ,  $n$ ,  $t$ ,  ${}^3\text{He}$ ...Heavy Ions...



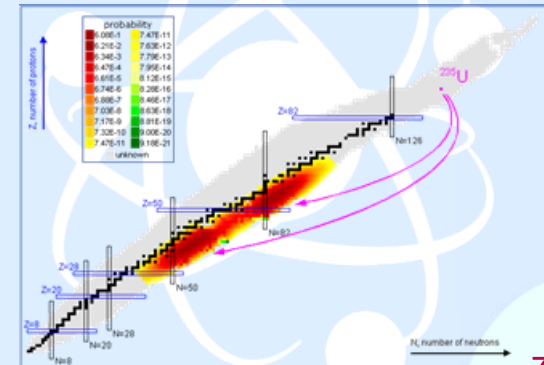
Beam control:  
Beam energy, reactant polarization

# NECESSARY PREMISES: PARTICLE ACCELERATOR

*Spiral2*



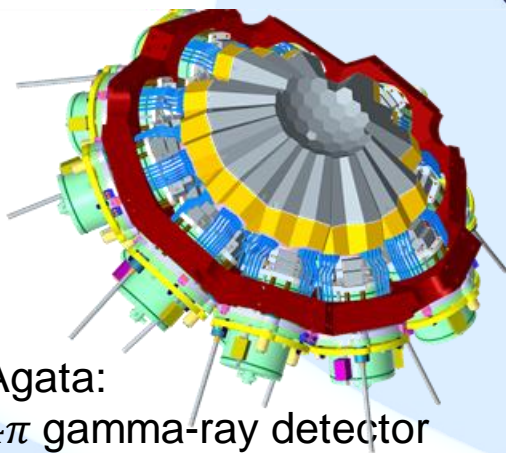
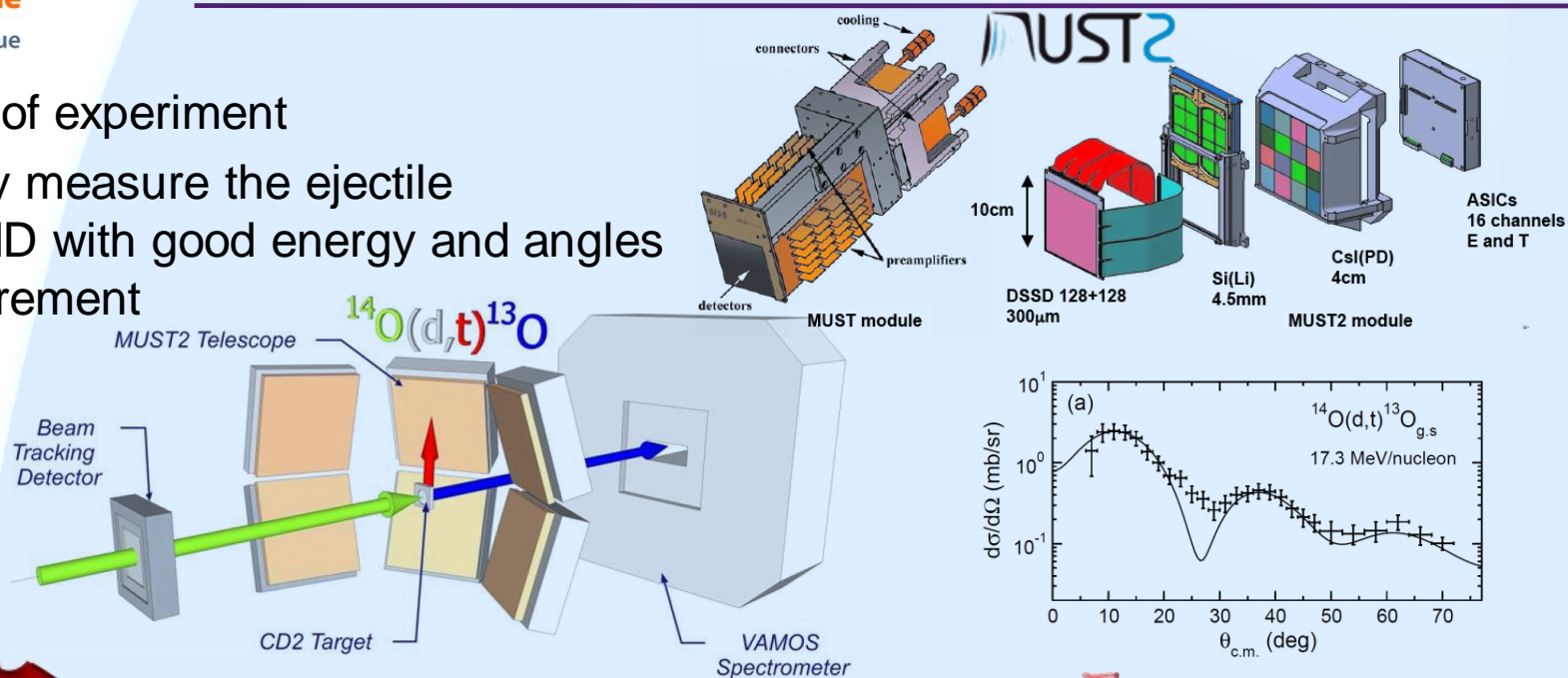
Nowadays, scientists are interested in Radioactive Ion Beams (RIB) to probe the physics far from the stability/ of extreme isospin/ of astrophysical interest/ ...



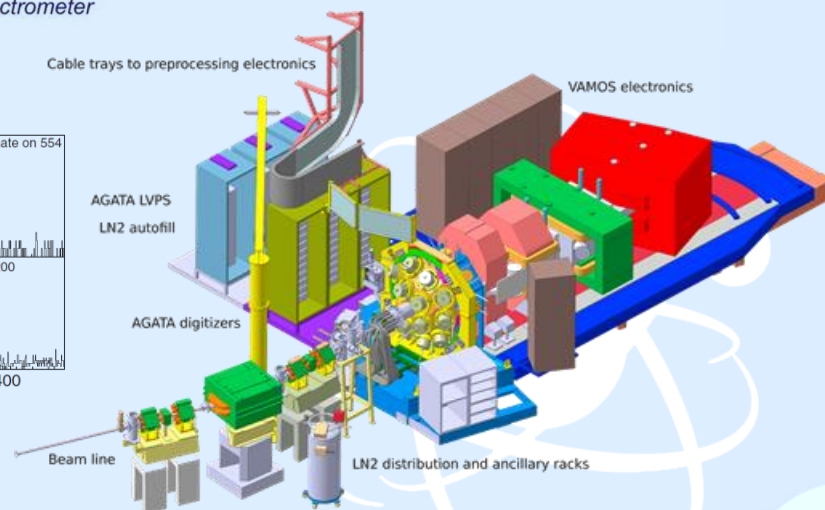
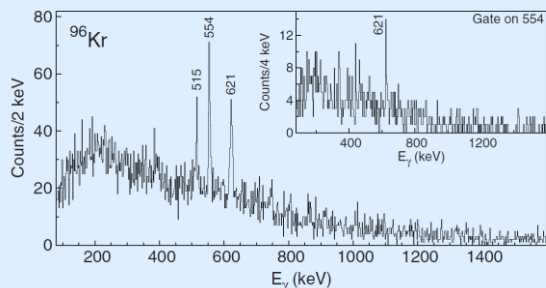
# REALISTIC VIEW OF TODAY'S NUCLEAR REACTIONS

An example of experiment

- Precisely measure the ejectile
- Particle ID with good energy and angles
- $\gamma$  measurement



Agata:  
 $4\pi$  gamma-ray detector  
 FWHM 6keV @ 1 MeV  
 $\epsilon \sim 43\%$



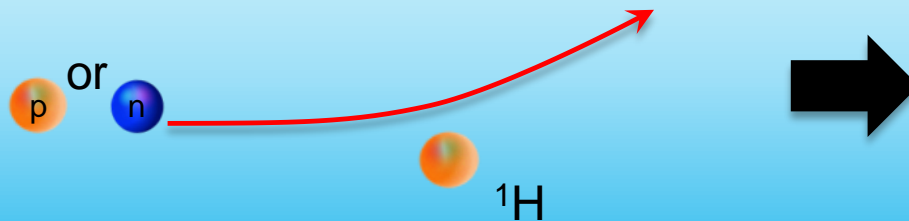
VAMOS spectrometer



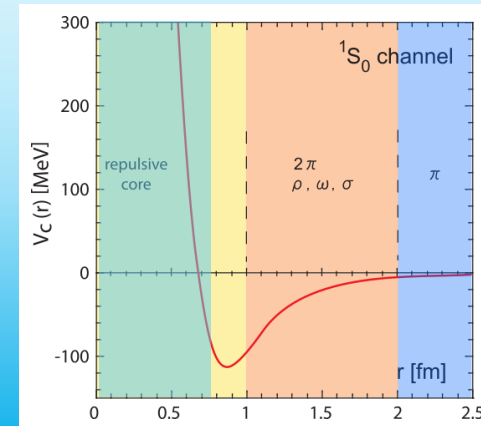
Why do we need so many beams/detectors ?

Reactions observables depends on the wavelength of the projectile and how it interacts with target

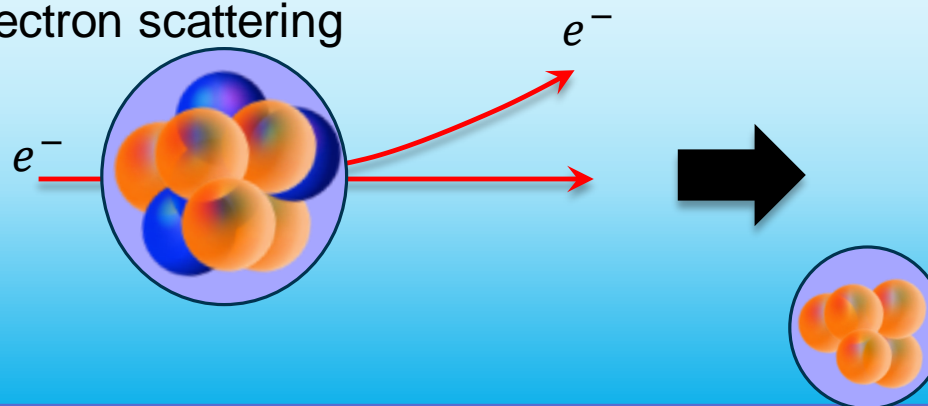
## Nucleon-nucleon collisions



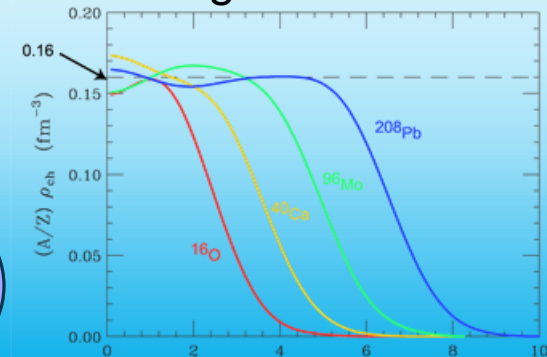
## NN interactions



## Electron scattering

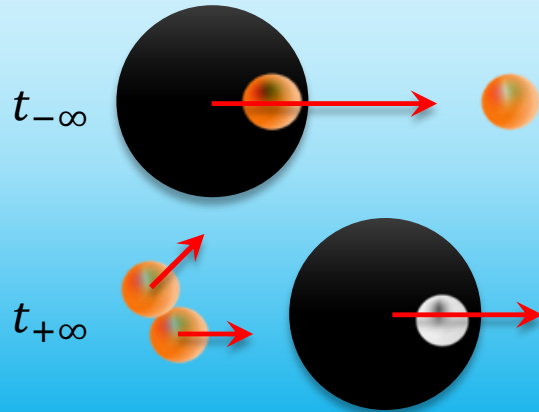


## Charge densities

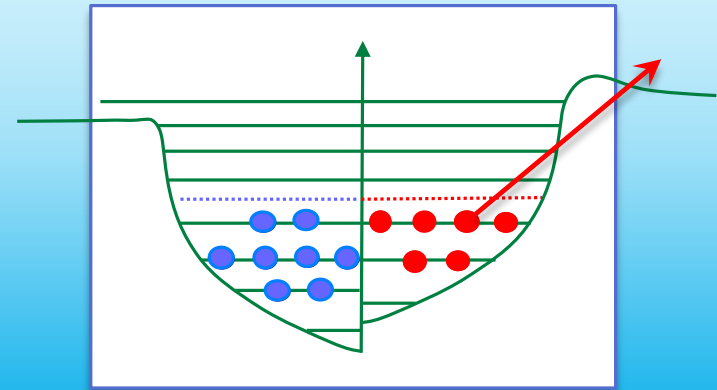


Some reactions are designed to study nuclear structure

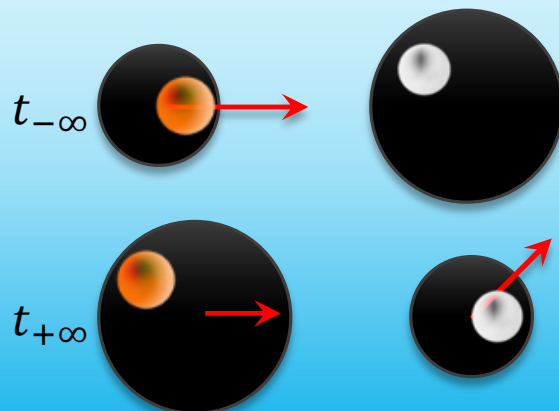
Knockout reactions (high energy)



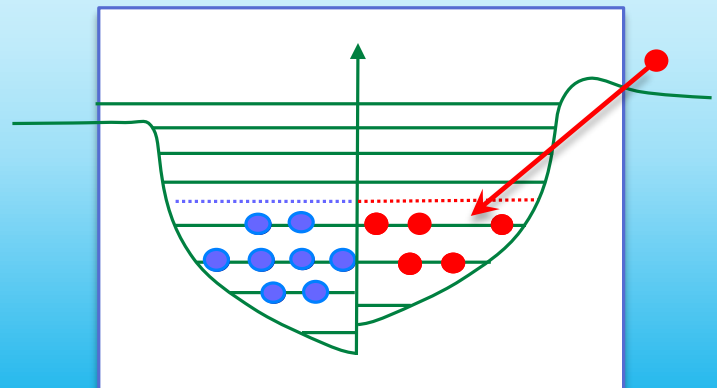
Spectroscopic information



Transfer reactions (low energy)

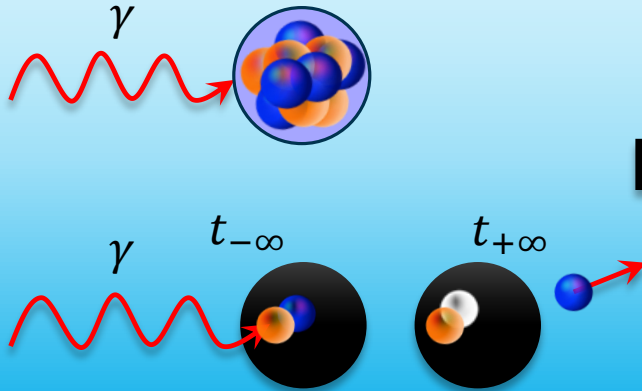


Spectroscopic information

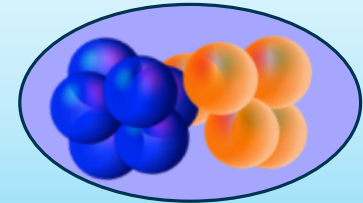


Others give access to specific mode of excitations

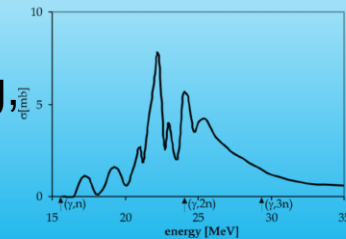
## Photonuclear reactions



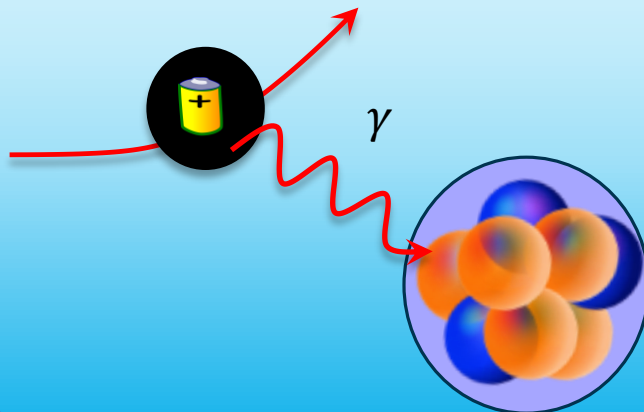
GDR excitation



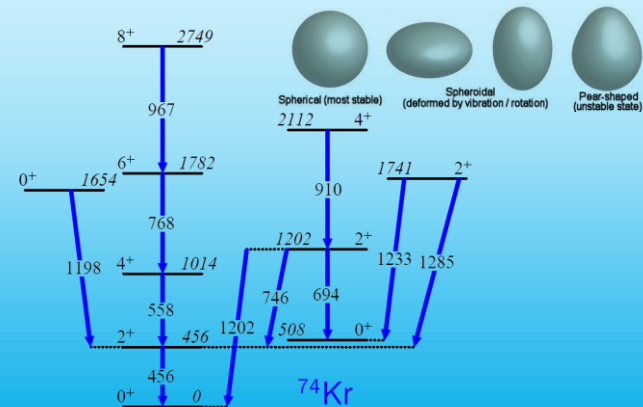
Also Bremsstrahlung  
radiative capture



## Coulomb excitation

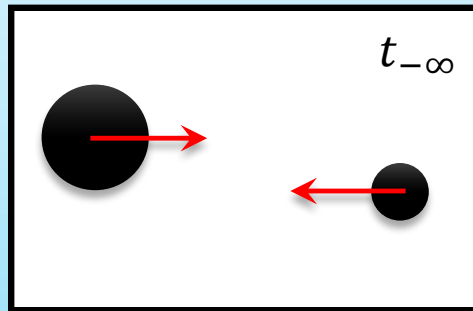


## Collective motion

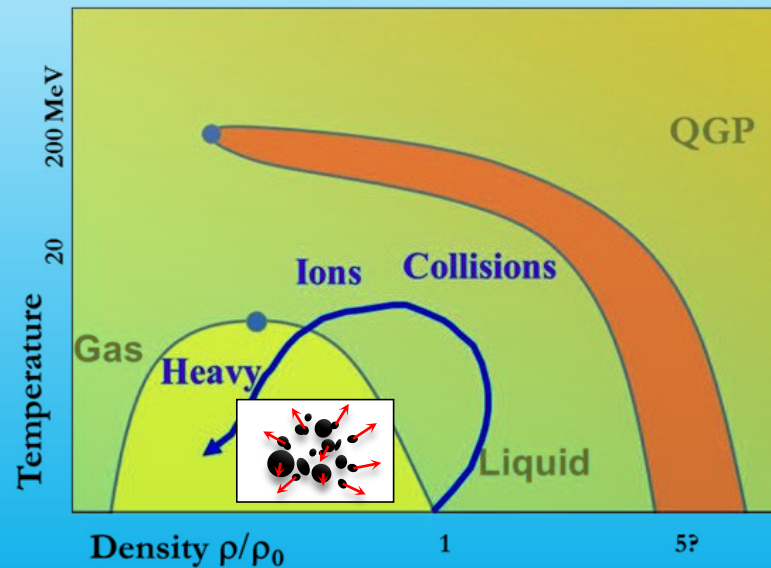
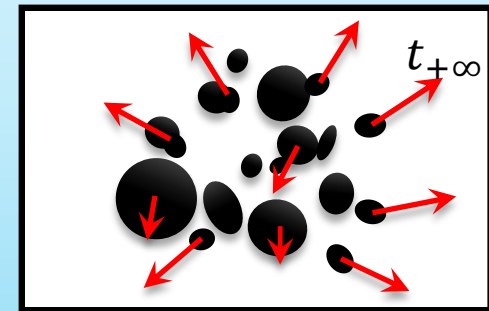


Out of equilibrium properties (away from nuclear density + cold)

Reactions at Fermi energy  
(30 to 150 MeV/A)



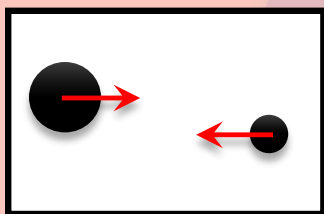
Fragmentation



# RICHNESS AND COMPLEXITY OF NUCLEAR REACTIONS

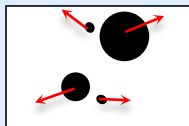
We control

Incoming channel

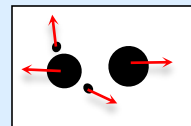


$t_{-\infty}$

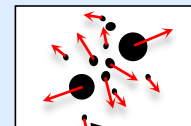
Quasi-elastic



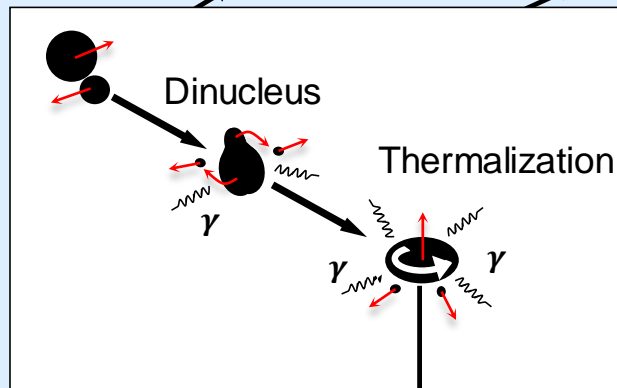
Quasi-fission  
Transfer



Spectator/participant  
fragmentation

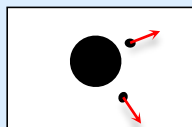


$t_{+\infty}$

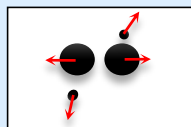


Formation of a  
compound nucleus

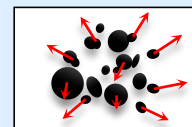
Fusion  
evaporation



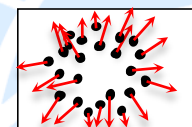
Fusion  
fission



Fragmentation



Vaporization



0-3 MeV/A

3-10 MeV/A

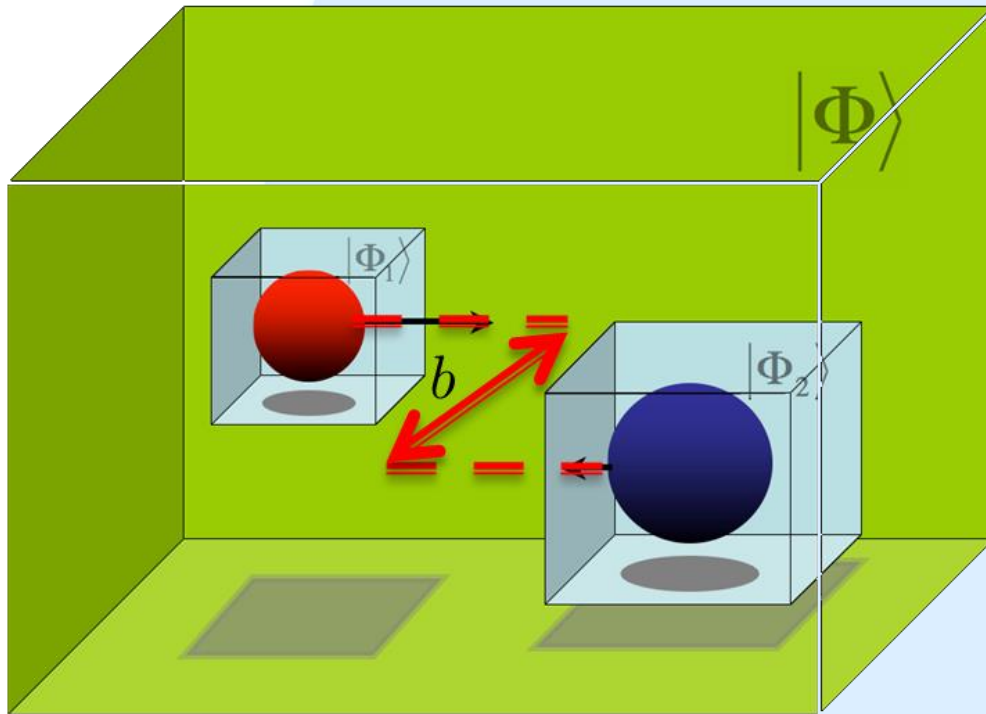
8-10 MeV/A

Typical excitation energy

Fusion barrier (5-30 MeV/A)

Fermi-Energy (30-100 MeV/A)

Beam Energy



## Mass/Charge:

- Projectile ( $N_p, Z_p$ )
- Target ( $N_t, Z_t$ )

## Beam Energy

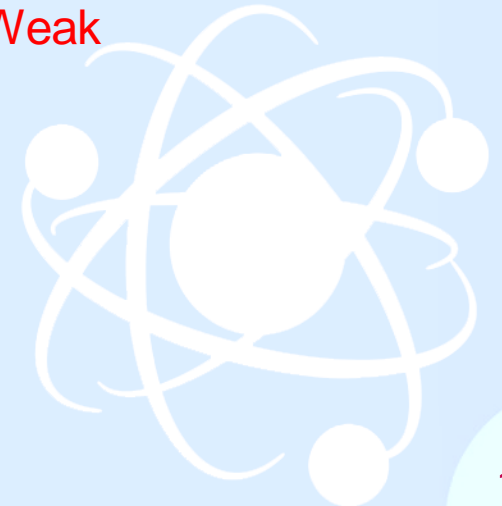
- $E_b/A, E_p$

## Spin moments

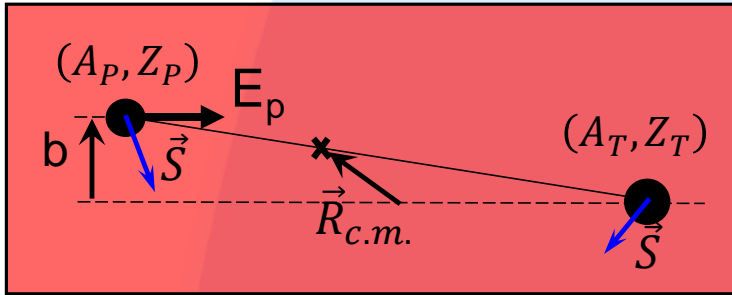
- Projectile ( $p_z, p_{zz} \dots$ )
- Target ( $q_z, q_{zz} \dots$ )

## How the probe interacts

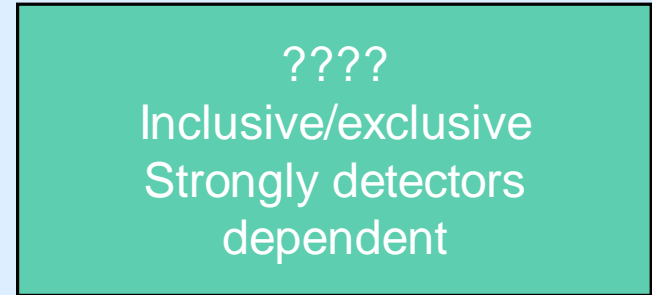
- Strong nuclear
- Electromagnetic
- Weak



## Entrance channel



## Exit channel



- No energy transfer
  - $A + a \rightarrow A + a$
  - $A + \vec{a} \rightarrow \vec{A} + a$
- Energy transfer
  - $A + a \rightarrow B + b$
  - $A + a \rightarrow A + a + \gamma$
  - $A + a \rightarrow B^* + b^* + \dots$
  - $A + a \rightarrow C^* \rightarrow \text{decay}$

Elastic scattering  $A(a, a)A$

Spin transfer  $A(\vec{a}, a)\vec{A}$

Transfer reaction  $A(a, b)B$

Nuclear Bremsstrahlung

Inelastic scattering

Deeply inelastic...

Compound nucleus

$X^*$  Means that X is not in its ground state

# CONSERVATION LAWS

- ⊗ Total charge:

$$\sum_i Z_i = \sum_f Z_f$$

- ⊗ Total Baryonic number; i.e. when  $E < E_{\pi}$  threshold protons and neutron are equilibrated:

$$\left\{ \begin{array}{l} \sum_i Z_i = \sum_f Z_f \\ \sum_i N_i = \sum_f N_f \end{array} \right.$$

- ⊗ Total energy
- ⊗ Total linear momentum
- ⊗ Total angular momentum
- ⊗ Total parity
- ⊗ Total isospin

Important kinematics relations through, which one related quantities to initial parameter

$$J_i^{\pi_i T_i} = J_f^{\pi_f T_f}$$

where

$$\left[ [A(I_A, \pi_A, T_A), a(I_a \pi_a, T_a)]^S \psi(r) \right]^{J_i^{\pi_i T_i}}$$

$$\left[ [B(I_B, \pi_B, T_B), b(I_b \pi_b, T_b)]^S \tilde{\psi}(r) \right]^{J_f^{\pi_f T_f}}$$



## GOALS OF REACTION THEORY: FILL THE BLACK BOX

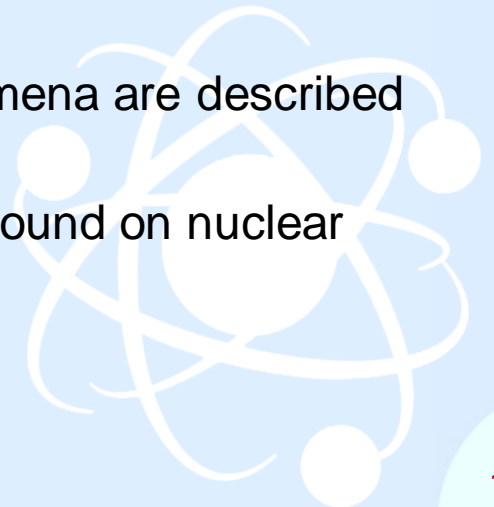


### Challenge of nuclear reaction theory

- ➔ Understand the reaction mechanism to get information on nuclei
- ➔ Explain the diversity of nuclear phenomena
- ➔ Give a unified description of these phenomena

### Challenge of this lecture

- ➔ Gives some hint on how these (some) phenomena are described
- ➔ Gives you some starting point/minimal background on nuclear reactions models
- ➔ Gives you overview of hot topics in the field

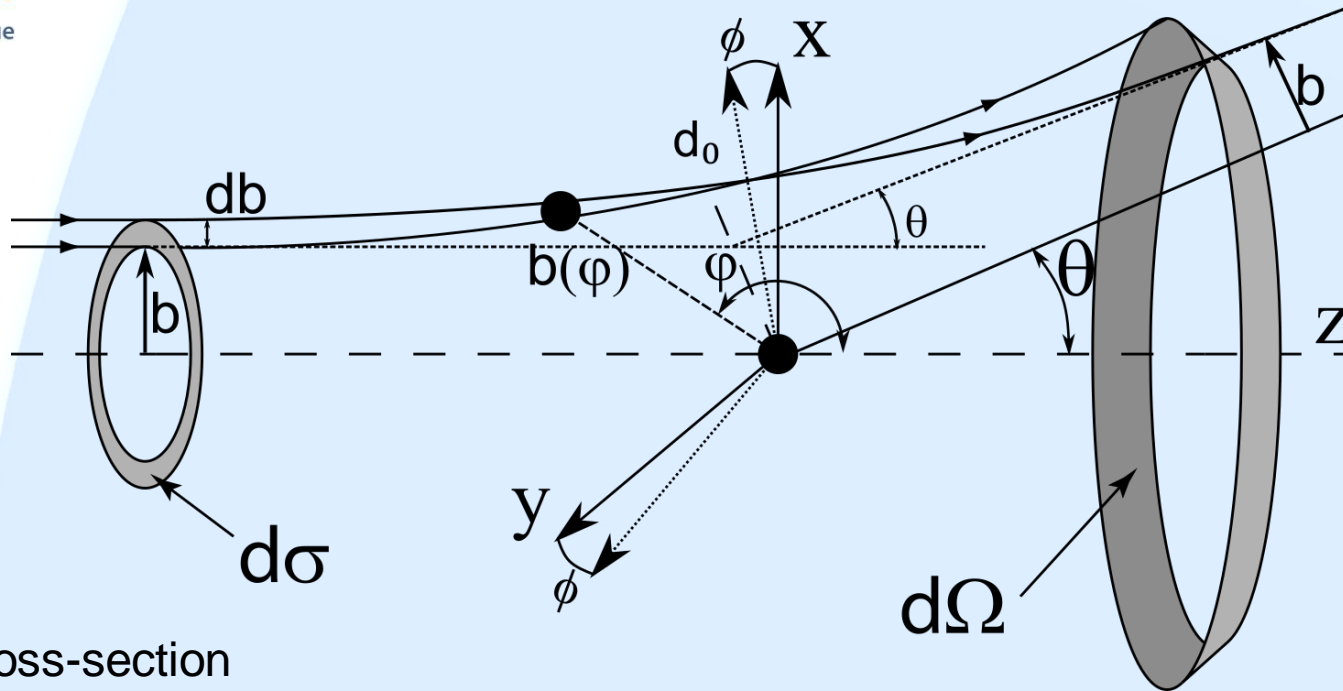


# Scattering in Classical Mechanics

As an introduction



# DEFINITIONS OF CROSS-SECTION AND OTHER QUANTITIES



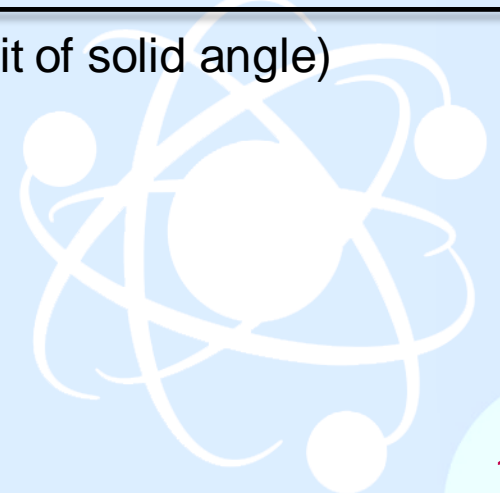
Differential cross-section

$$\frac{d\sigma_{\text{channel}}(\theta, \varphi)}{d\Omega} = \frac{\text{(Number of scattered particle per unit time)}(\theta, \varphi)}{\text{(Incident flux)} \text{ (Scattering centers, } n)\text{(unit of solid angle)}}$$

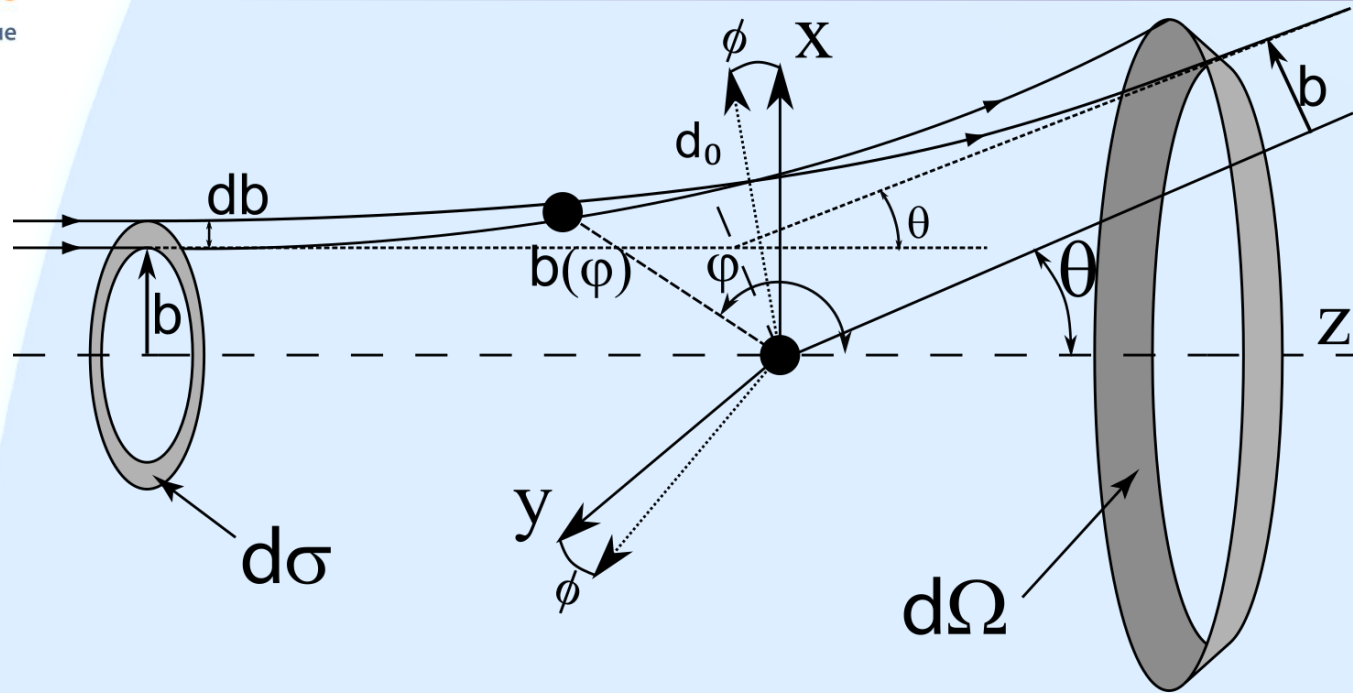
$$\rightarrow \frac{\sum_{c=1}^n j_f(\theta, \varphi)}{j_i}$$

Total cross-section

$$\sigma_{\text{tot}} = \int \sum_c \frac{d\sigma_c(\theta, \varphi)}{d\Omega} d\Omega$$



## DEFINITIONS OF CROSS-SECTION AND OTHER QUANTITIES



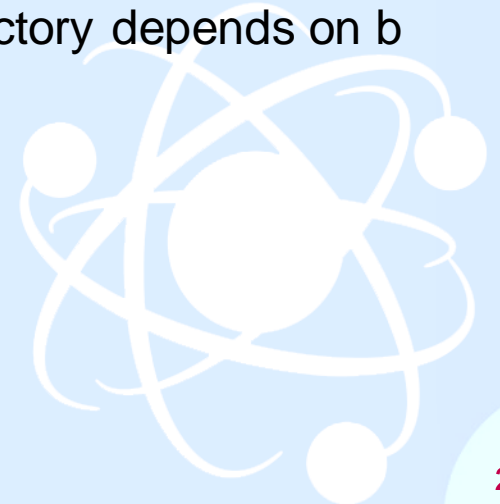
In classical mechanics, equations are deterministic i.e. the trajectory depends on  $b$  (or equivalently  $b(\pi)$ ).

So number of scattered particle per unit time:

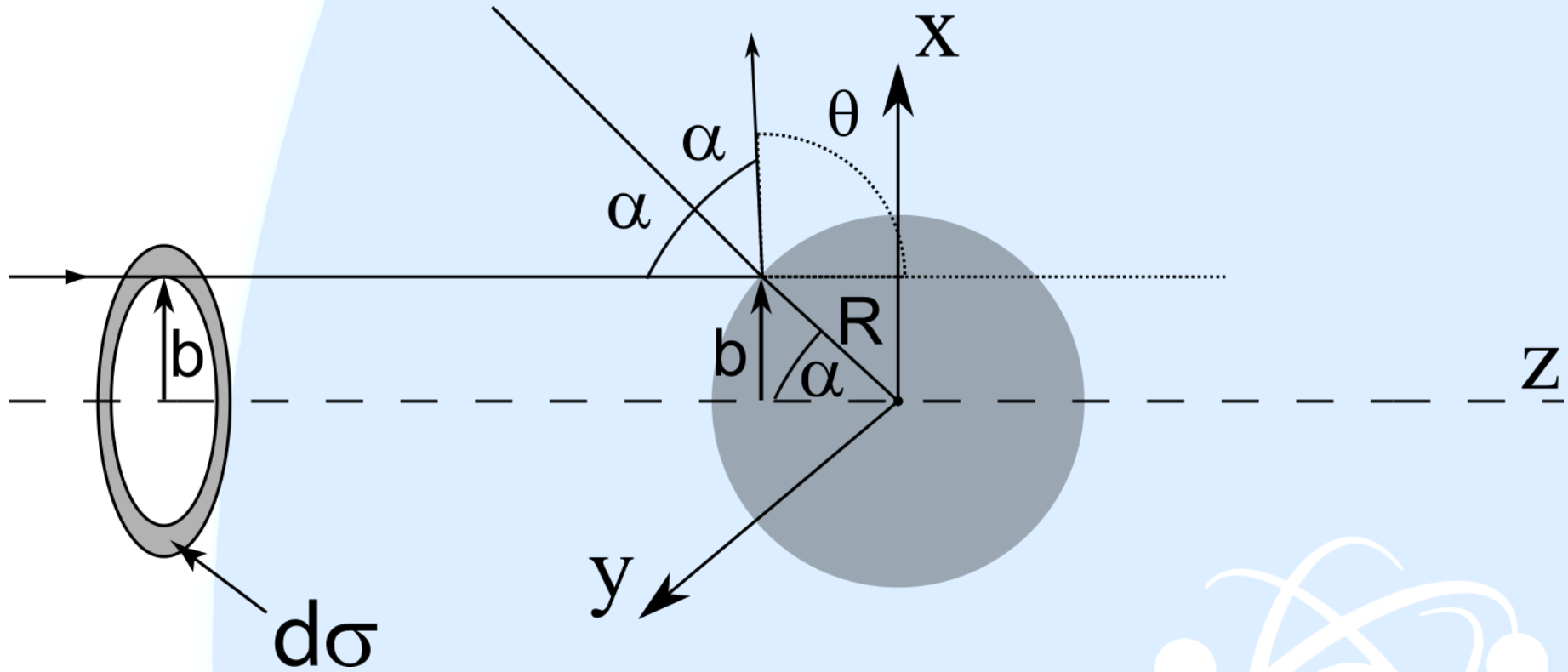
$$j_i \pi [(b + db)^2 - b^2] \sim j_i 2\pi b db$$

The differential cross-section is:

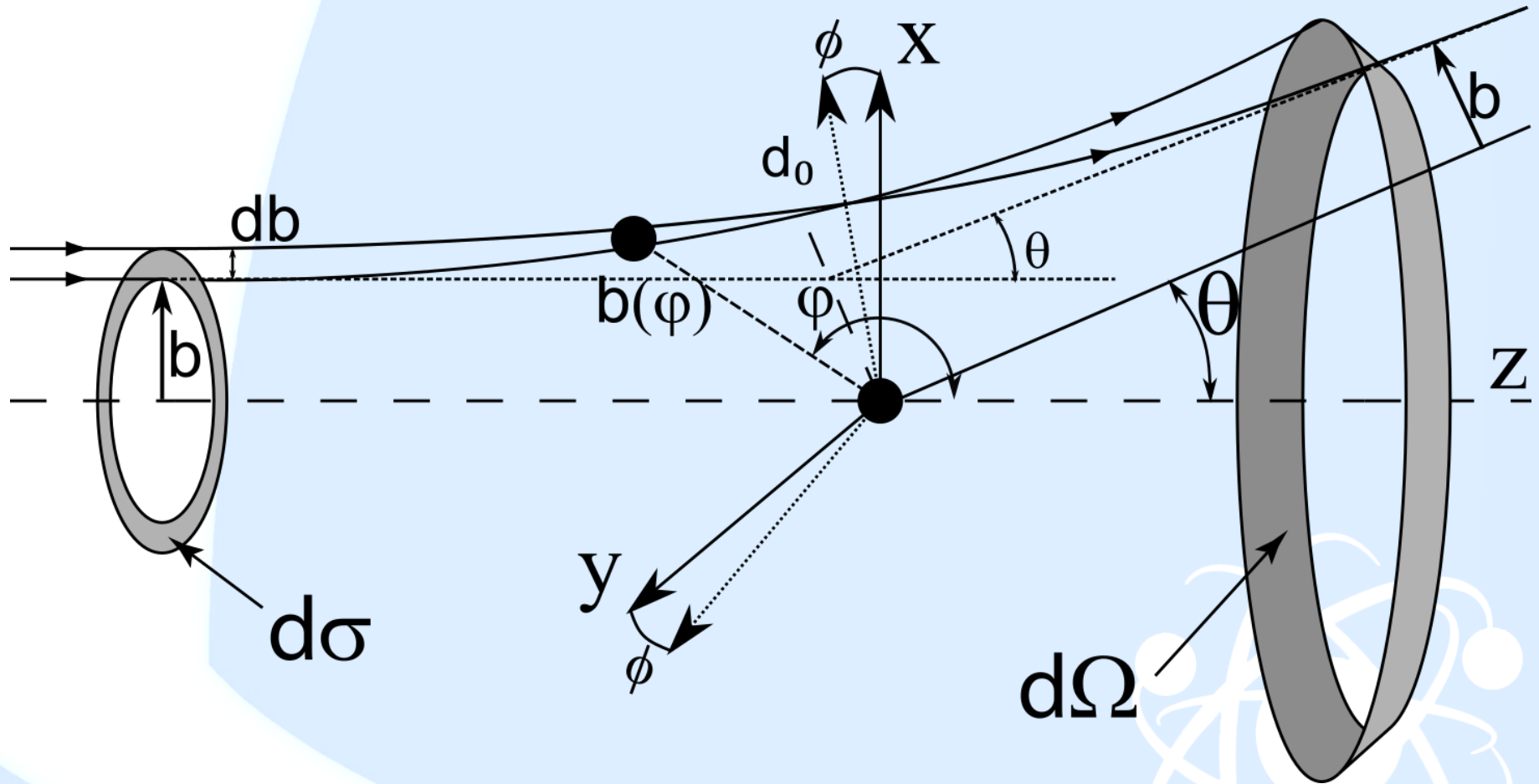
$$\frac{d\sigma_c(\theta, \varphi)}{d\Omega} = \frac{b}{\sin \theta} \left| \frac{db}{d\theta} \right|$$



# HARD SPHERE SCATTERING

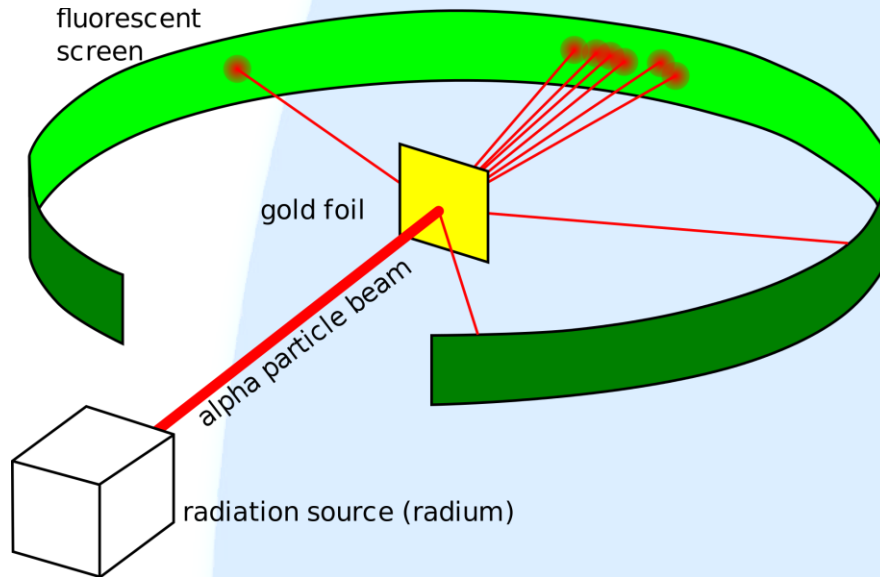


## CENTRAL FORCE SCATTERING (COULOMB)

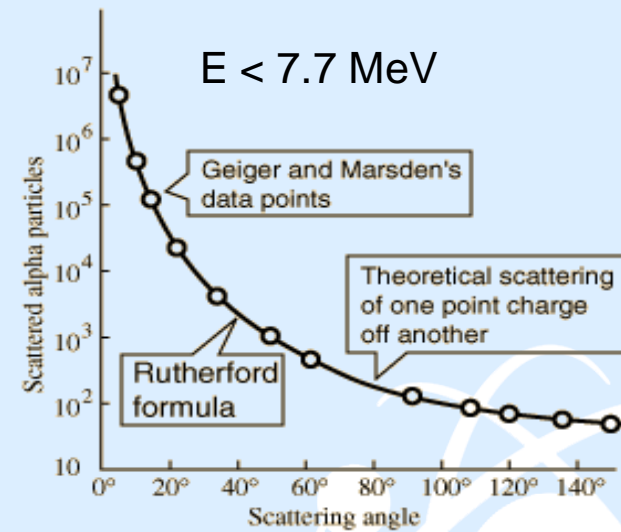


# RUTHERFORD SCATTERING: EXPERIMENTAL PROOF AND VALIDATION

## Geiger-Marsden experiment (1908-1913)



$$\frac{d\sigma}{d\Omega} = \left( \frac{Z_1 Z_2 e^2}{8\pi\epsilon_0 m v_0^2} \right)^2 \csc^4 \left( \frac{\theta}{2} \right)$$

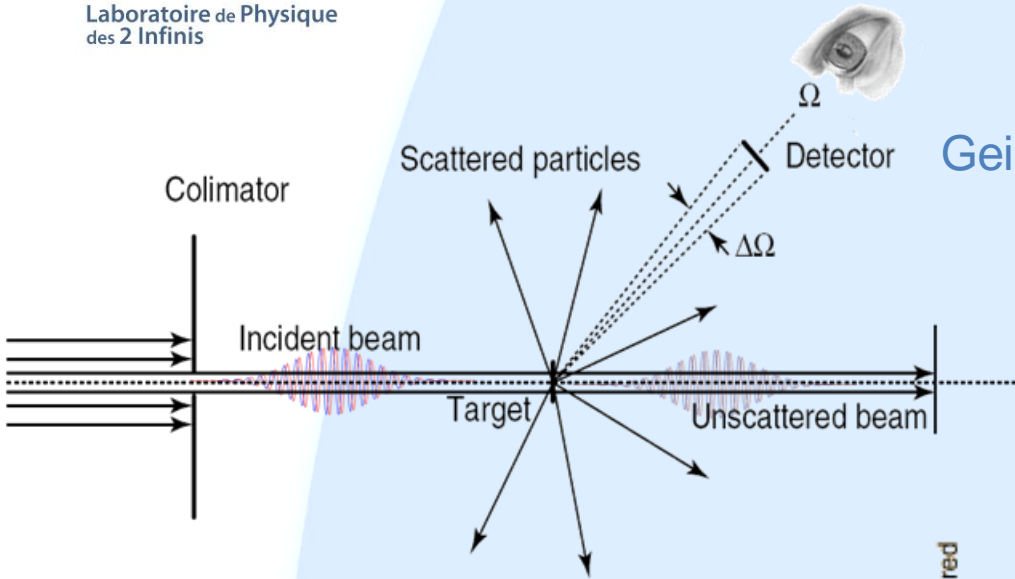


Data: <http://hyperphysics.phy-astr.gsu.edu>

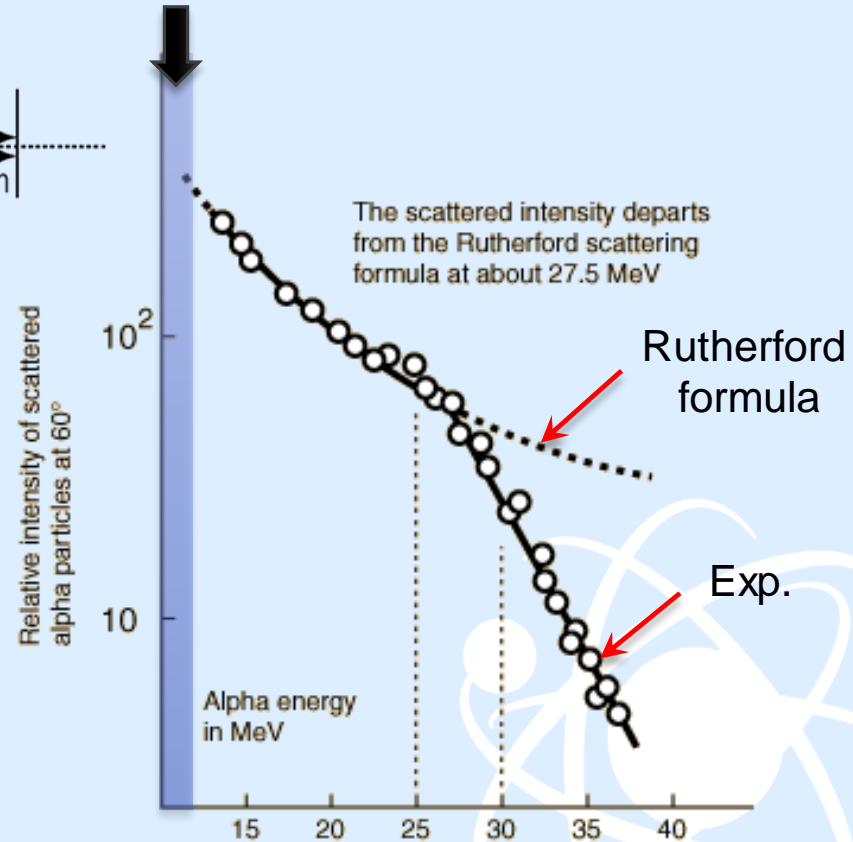
# DEPARTURE FROM RUTHERFORD

$$\frac{d\sigma}{d\Omega} = \left( \frac{Z_1 Z_2 e^2}{8\pi\epsilon_0 m v_0^2} \right)^2 \csc^4 \left( \frac{\theta}{2} \right)$$

## Geiger-Marsden data



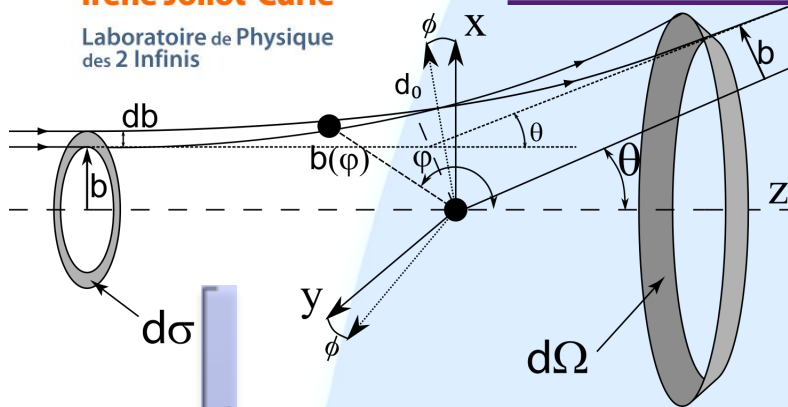
- Detector at a fixed  $\theta$  angle
- Scan for various beam energy



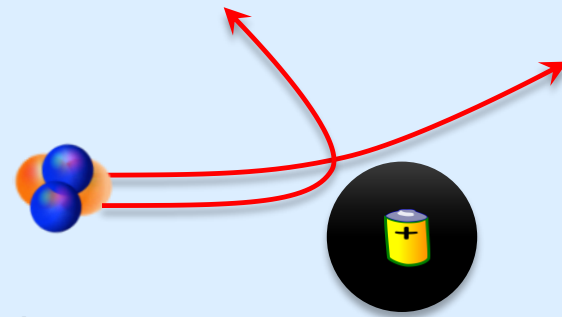
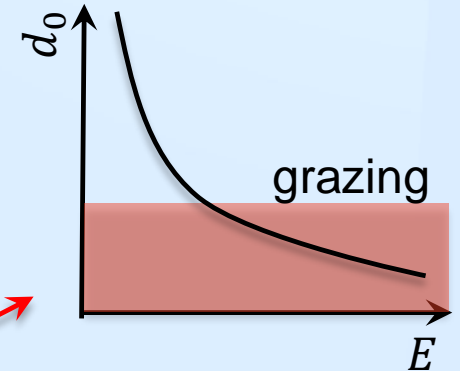
Eisberg, R. M. and Porter, C. E., Rev. Mod. Phys. 33, 190 (1961)



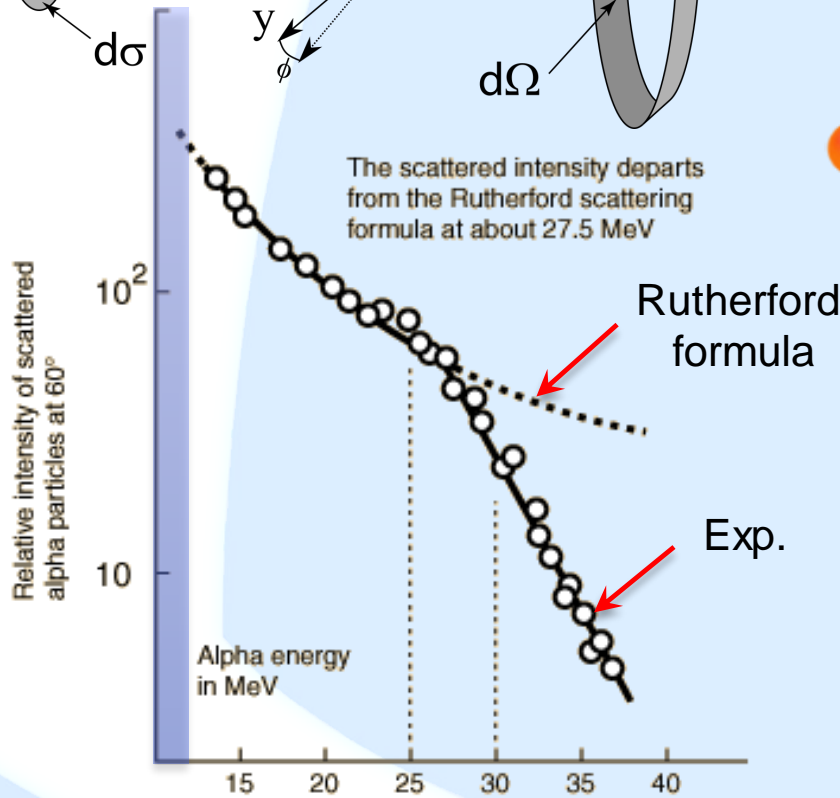
# DEPARTURE FROM RUTHERFORD: INTERPRETATION



$$d_0 = \frac{e^2 Z_1 Z_2}{2\pi\epsilon_0 2E}$$



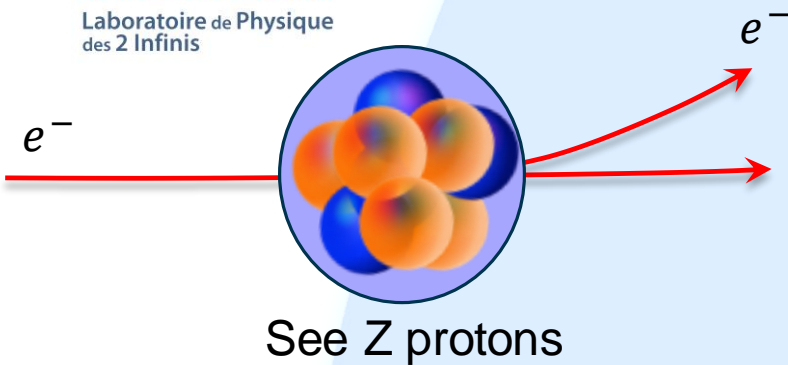
Alpha particle are not point like-particle



But this alone cannot explain the deviations from Rutherford

- Nucleons do interact also through the nuclear force.
- This interaction is a short-range interaction

Eisberg, R. M. and Porter, C. E., Rev. Mod. Phys. 33, 190 (1961)



Nucleons or  $\alpha$  scattering on nuclei are sensitive to both the **nucleus spatial extension** and the **nuclear interaction**


Electron scattering is mostly **sensitive to charged matter** (proton) density

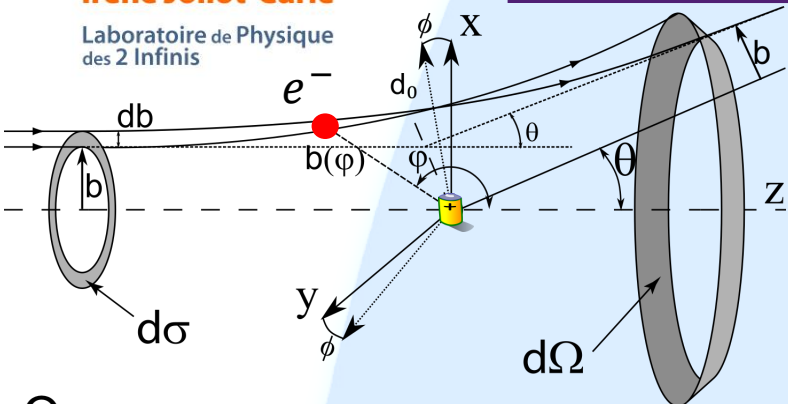
To probe nuclear properties with electrons, high energy electron beam are needed ( $m_e \ll m_\alpha$ )


 $\beta = \frac{v}{c}$  is not small and relativistic effects are important

Rutherford with relativistic effects (assuming no nuclear spatial extension):

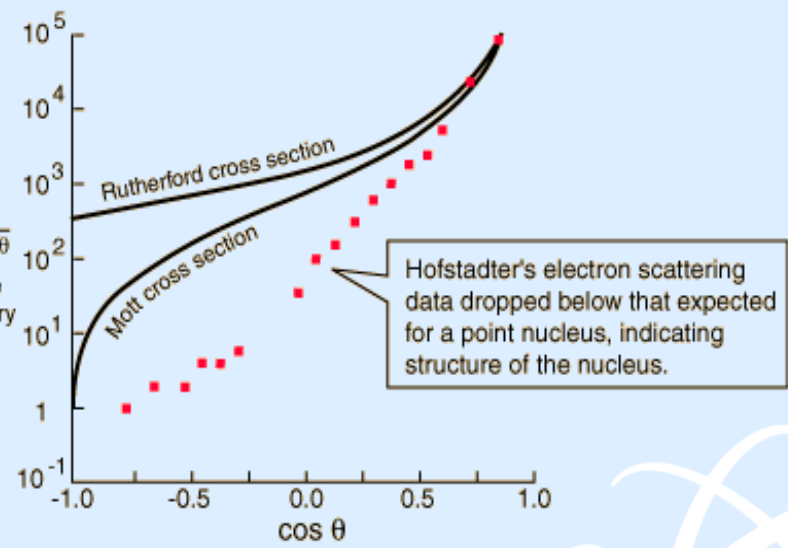
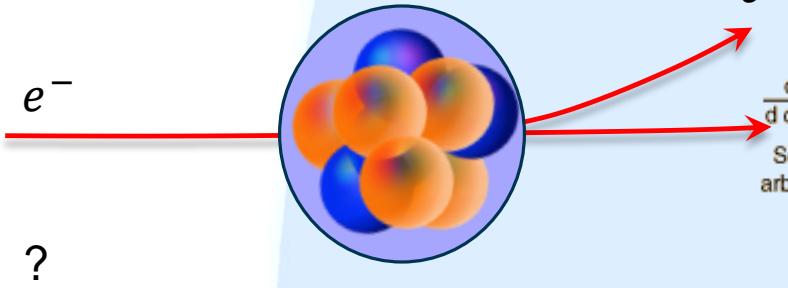
$$\frac{d\sigma}{d\Omega} \simeq \left( \frac{d\sigma}{d\Omega} \right)_{\text{Ruth}} \left( 1 - \beta^2 \sin^2 \frac{\theta}{2} \right) \quad \text{valid for small } Z$$

For  $\beta = 1$   Mott scattering formula  $\left( \frac{d\sigma}{d\Omega} \right)_{\text{Mott}} \simeq \left( \frac{d\sigma}{d\Omega} \right)_{\text{Ruth}} \cos^2 \frac{\theta}{2}$



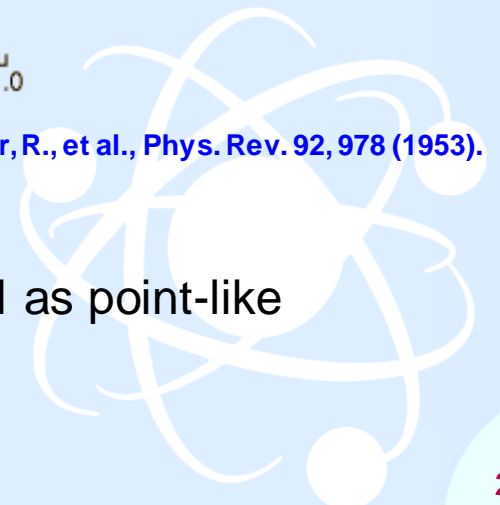
$$\left(\frac{d\sigma}{d\Omega}\right)_{\text{Mott}} \approx \left(\frac{d\sigma}{d\Omega}\right)_{\text{Ruth}} \cos^2 \frac{\theta}{2}$$

Or



Hofstadter, R., et al., *Phys. Rev.* **92**, 978 (1953).

➔ Nuclear systems cannot be treated as point-like particles and have finite extension



# Quantum collisions

What ? Why for nuclei ?

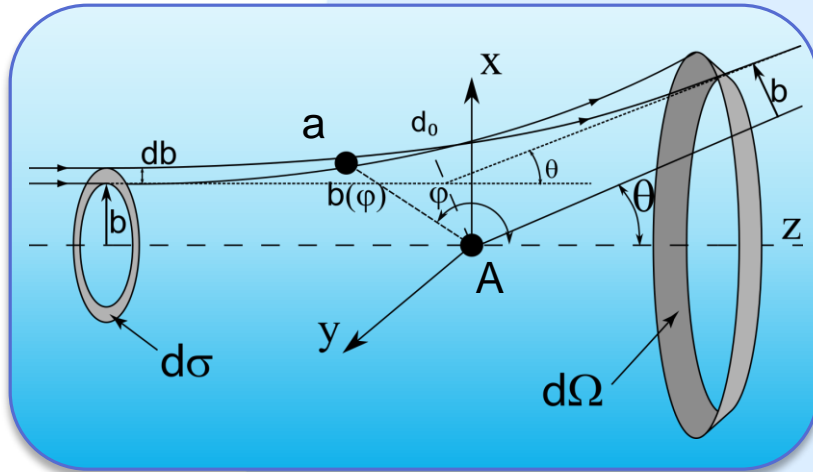


# BINARY ELASTIC COLLISIONS

## THE (SEMI-)CLASSICAL VS QUANTUM PICTURE

We assume that the “entities” interact through a central potential  $V(|\vec{r}_A - \vec{r}_a|)$

### Classical picture



Incoming beam

$$E_B = \frac{P_a^2}{2M_a}$$

Trajectories are deterministic

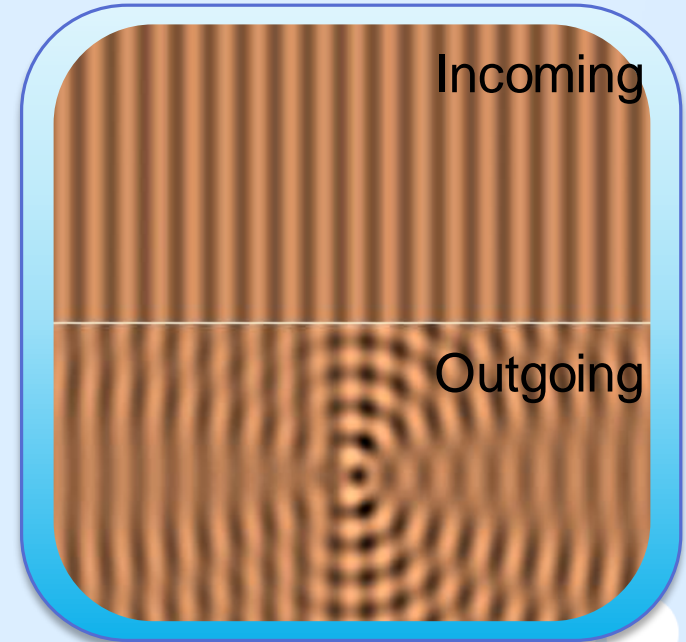
~~Incoming beam~~

$$E_B = \frac{\hbar^2 k^2}{2M_a} \quad \text{plane wave}$$

Outgoing spherical wave

$$|\phi_f\rangle \xrightarrow{r \rightarrow \infty} f(\Theta, \varphi) \frac{e^{ikr}}{r}$$

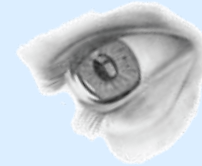
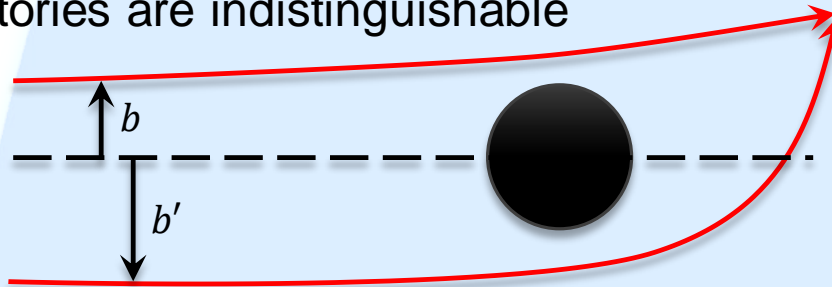
### Quantum picture



$$|\phi_i\rangle \xrightarrow{r \rightarrow \infty} e^{i\vec{k} \cdot \vec{r}}$$

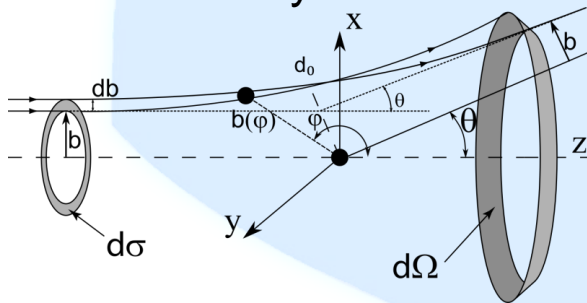
### Few implications of quantum mechanics

Trajectories are indistinguishable

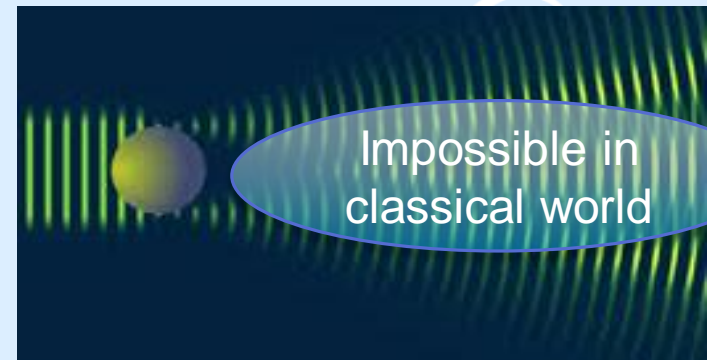


- Impact parameter is not defined in the quantum world

Particle-Target motion must be described by wave functions



- Quantum interference phenomenon



- Interfering trajectories can be constructive or destructive.

# BINARY ELASTIC COLLISIONS

## QUANTUM CROSS-SECTION

We start from

$$\frac{d\sigma(\theta, \varphi)}{d\Omega} = \frac{j_f(\theta, \varphi)}{j_i}$$

and

$$|\psi^+\rangle = |\phi_i\rangle + |\phi_f\rangle$$

$$\psi_k^+(\vec{r}) \xrightarrow{r \rightarrow \infty} A \left( e^{i\vec{k}\cdot\vec{r}} + f(\Theta, \varphi) \frac{e^{ikr}}{r} \right)$$

Quantum current is defined as

$$\vec{J} = \frac{\hbar}{2\mu i} \left( (\psi_k^+)^* \nabla \psi_k^+ - \psi_k^+ \nabla (\psi_k^+)^* \right)$$

$$\left\{ \begin{array}{l} j_f(\theta, \varphi) = \vec{J} \cdot \hat{r} r^{-2} \\ j_i = \vec{J} \cdot \hat{z} \end{array} \right.$$

$$\vec{J} = \vec{J}_i + \vec{J}_f + \vec{J}_{\text{int}}$$

$$\left\{ \begin{array}{l} j_f(\theta, \varphi) = v |A|^2 |f(\Theta, \varphi)|^2 \\ j_i = v |A|^2 \\ j_{\text{int}} = 0 \text{ for } \theta \neq 0 \end{array} \right. \quad \begin{array}{l} 1/r \text{ leading} \\ \text{contributions} \end{array}$$

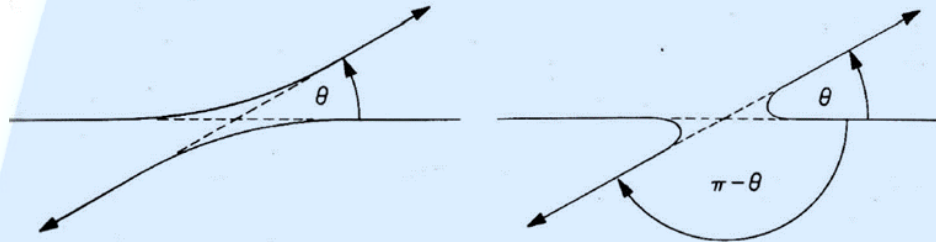
We find the cross section to be:

$$\frac{d\sigma(\theta, \varphi)}{d\Omega} = |f(\Theta, \varphi)|^2$$

gives the optical theorem

# ILLUSTRATION OF QUANTUM EFFECTS : SCATTERING OF IDENTICAL PARTICLES

Since nucleons are fermions



One cannot distinguish the two cases i.e. wf is antisymmetric

This leads to

$$\frac{d\sigma(\theta, \varphi)}{d\Omega} = |f(\theta, \varphi) + f(\pi - \theta, \varphi)|^2$$

causes interferences !

Note that the same happens with Coulomb

Ex: scattering of  $^{12}\text{C} + ^{12}\text{C}$  @ 5 MeV

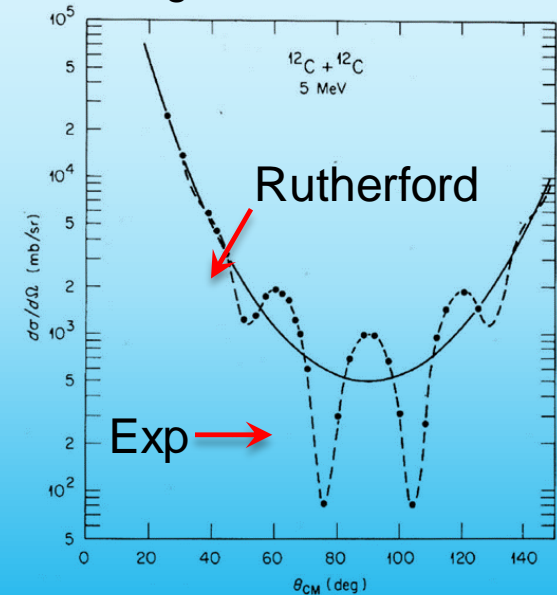


Illustration from G. R. Satchler  
"Introduction to direct reactions"