

Master NPAC: An introduction to the theory of nuclear reactions

Guillaume Hupin

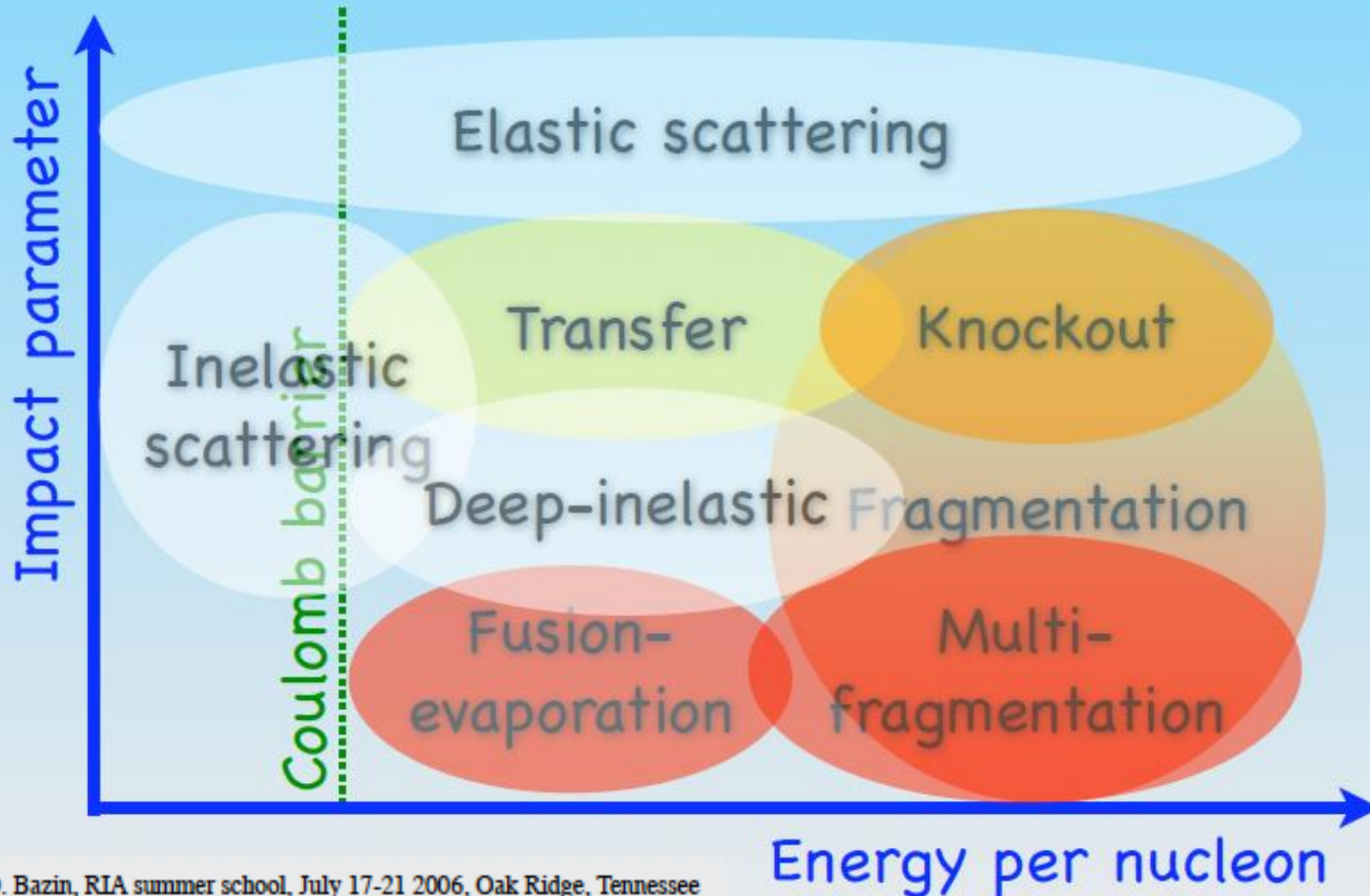
IPN Orsay

prepared with inputs of D. Lacroix

Lecture 4: Direct nuclear reactions
Coulomb excitation, transfer

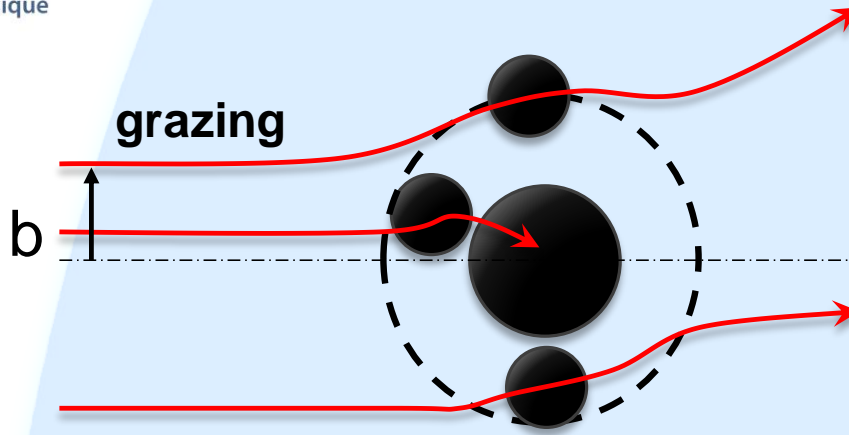


Schematic classification of some nuclear reaction

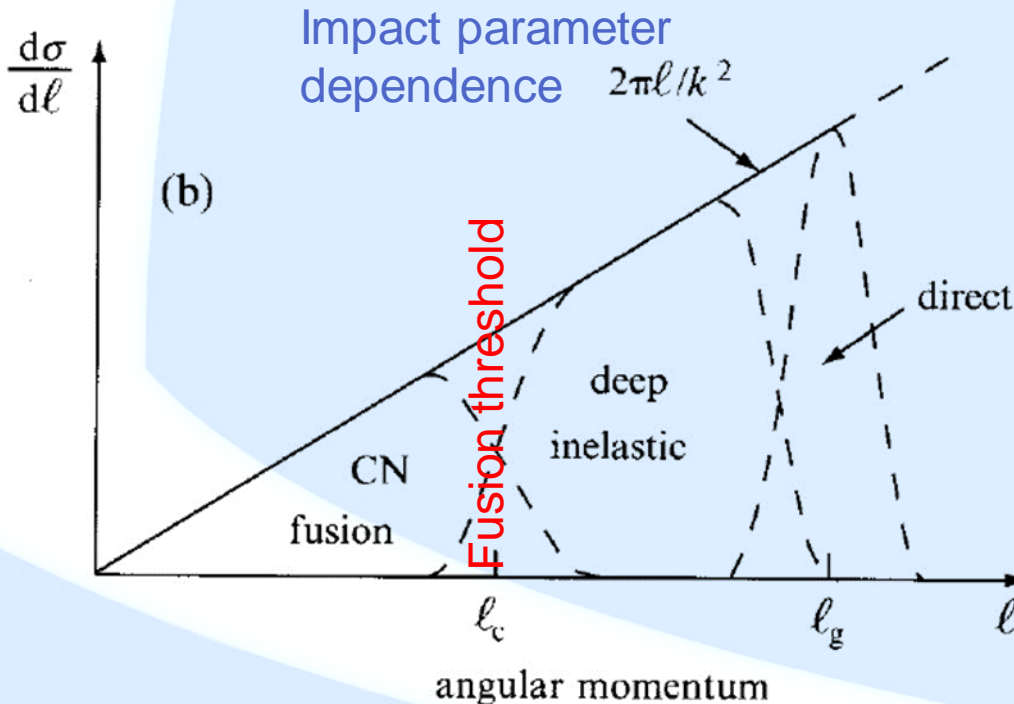


DIRECT REACTIONS

INTRODUCTION

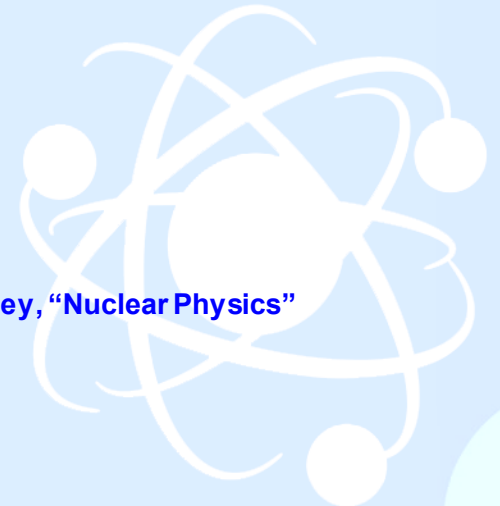


- Direct reaction
- Elastic and quasi-elastic
- Fusion
- Large amplitude Collective motion
- Multi-nucleon transfer
- Deep inelastic



Adapted from : W. Nörenberg and H.A. Weidenmüller, "Introduction to Heavy-Ion theory", Springer-Verlag 1981.

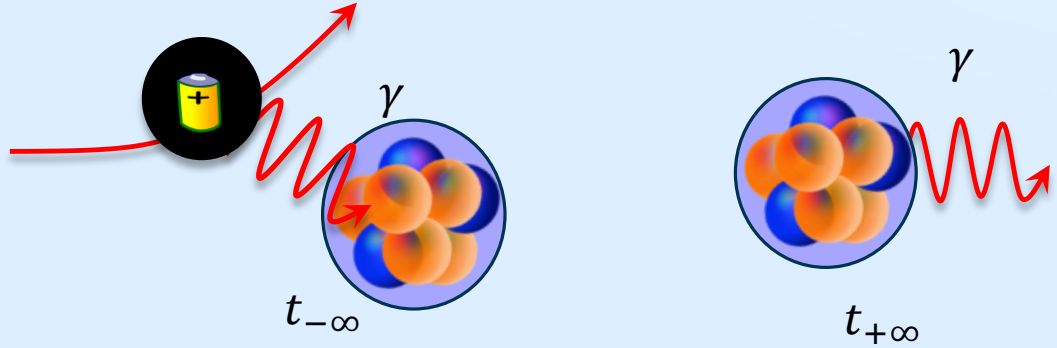
From J.S. Lilley, "Nuclear Physics"



DIRECT REACTIONS

INTRODUCTION

Coulomb excitation



Transfer reaction

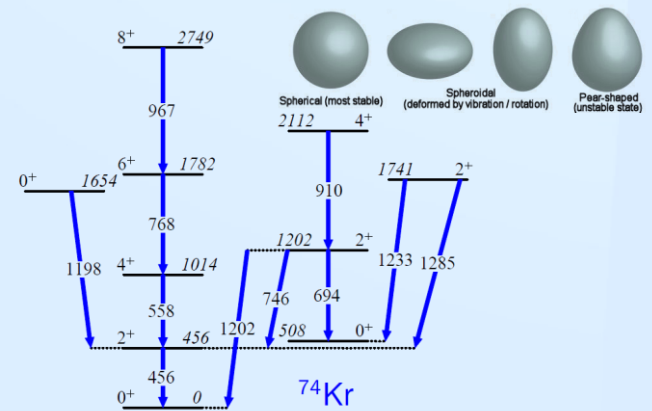


Break-up

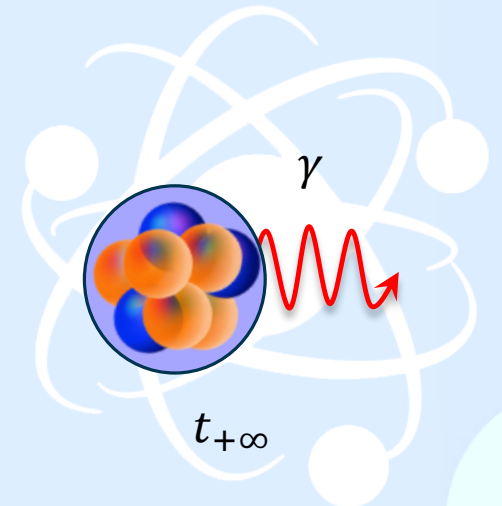
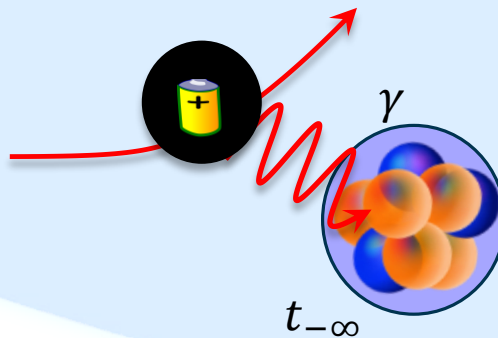


Knock-out

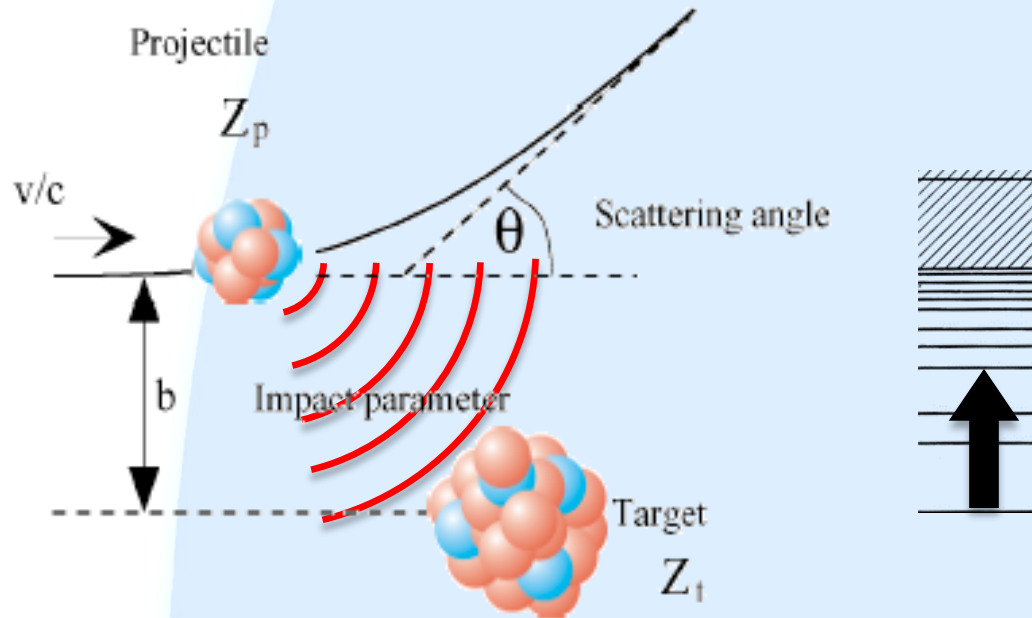




Coulomb Excitation (COULEX)



A. Gade et al., Phys. Rev. C 68, 014302 (2003).



Complete Hamiltonian

$$H = H_0 + V$$

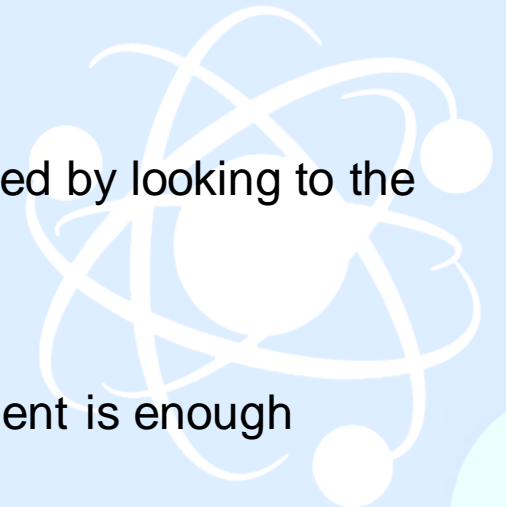
Internal excitations

$$H_0\psi_n = E_n\psi_n$$

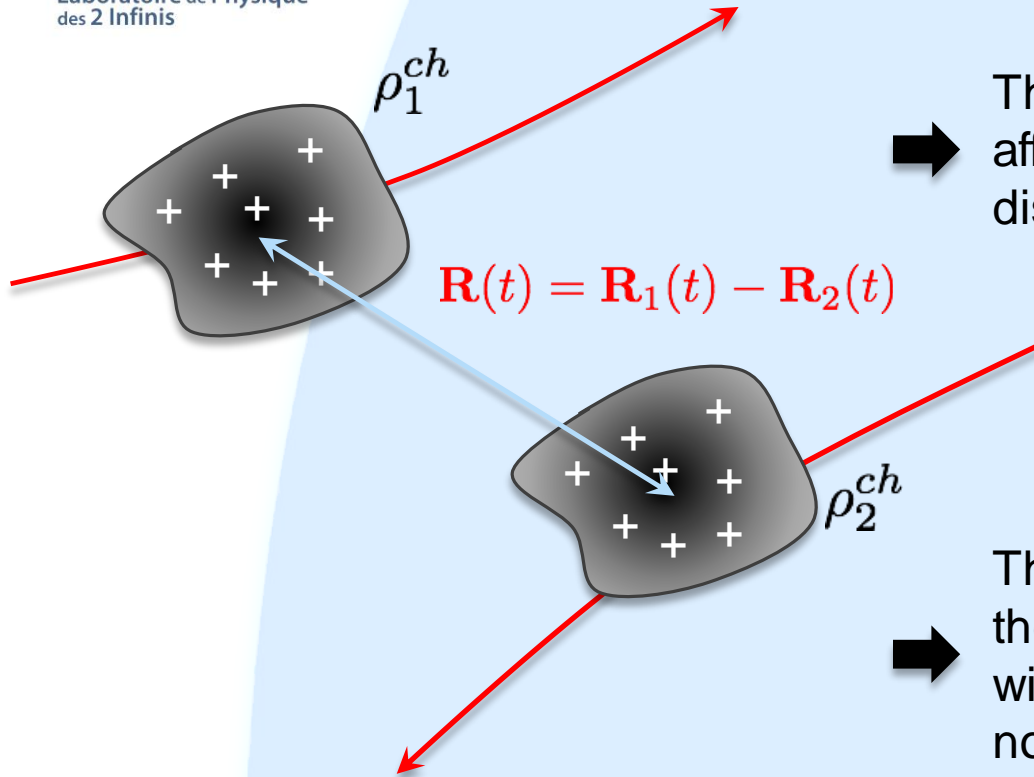
➔ Typical Coupled-Channel problem that could be solved by looking to the asymptotic properties of the solutions:


$$(H - E)\Psi = 0$$


➔ In most cases a time-dependent perturbative treatment is enough



COULOMB EXCITATIONS




 The short range nuclear part does not affect the nuclei trajectory at large distance


 The relative motion is usually treated in the *Born-Oppenheimer* approximation with a simple classical relativistic or non-relativistic trajectory

The time-dependent perturbation that induces internal transitions can be written as

$$V(t) = \int d^3r_1 d^3r_2 \frac{\rho_1^{ch}(\mathbf{r}_1 - \mathbf{R}_1) \rho_2^{ch}(\mathbf{r}_2 - \mathbf{R}_2)}{|\mathbf{r}_1 - \mathbf{r}_2|} - \frac{Z_1 Z_2 e^2}{R(t)}$$

with $\mathbf{R}(t) = \mathbf{R}_1(t) - \mathbf{R}_2(t)$

Starting point:

$$H = H_0 + V(t) \quad H_0 \psi_n = E_n \psi_n$$

Time-dependent solution

Coupled channel time-dependent equations

$$i\hbar \frac{\partial \Psi(t)}{\partial t} = H(t) \Psi(t)$$

$$\Psi(t) = \sum_n a_n(t) \psi_n e^{-iE_n t/\hbar}$$



$$\dot{a}_k(t) = -\frac{i}{\hbar} \sum_n a_n(t) V_{kn}(t) e^{i\frac{E_k - E_n}{\hbar} t}$$

with $V_{kn}(t) = \langle \Psi_k | V(t) | \Psi_n \rangle$

➡ This situation is equivalent to the scattering problem (cf. lecture 2 and 3)

$$\Psi(t) = \underbrace{a_i(t) \psi_i e^{-iE_i t/\hbar}}_{\text{Entrance}} + \sum_f \underbrace{a_f(t) \psi_f e^{-iE_f t/\hbar}}_{\text{Exit}}$$

Asymptotic conditions

$$t \rightarrow -\infty$$

Reaction time T

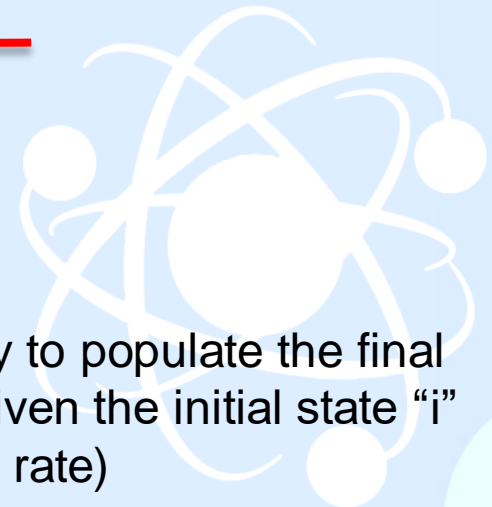
$$t \rightarrow +\infty$$

$$a_i = 1$$

$$a_f = 0$$

$$|a_f(+\infty)|^2$$

Probability to populate the final state "f" given the initial state "i" (transition rate)



$t \rightarrow -\infty$

Reaction time T

$t \rightarrow +\infty$

$$a_i = 1$$

$$a_f = 0$$

$|a_f(+\infty)|^2$ Probability to populate the final state "f" given the initial state "i" (transition rate)

$$\dot{a}_k(t) = -\frac{i}{\hbar} \sum_n a_n(t) V_{kn}(t) e^{i\frac{E_k - E_n}{\hbar}t}$$

$$\Rightarrow a_k = -\frac{i}{\hbar} \int_0^T V_{kn}(t) e^{i(E_k - E_n)t/\hbar} dt$$

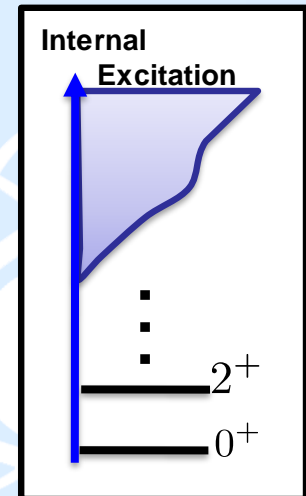
$$\rho(E) = \frac{dN}{dE}$$

First order Perturbation theory (Born approximation)

$$P(i \rightarrow f) = \frac{1}{\hbar^2} \int_0^T \left| \langle \Psi_f | V(s) | \Psi_i \rangle e^{-i(E_f - E_i)s} \right|^2 ds$$

Transition to a set of states with density ρ

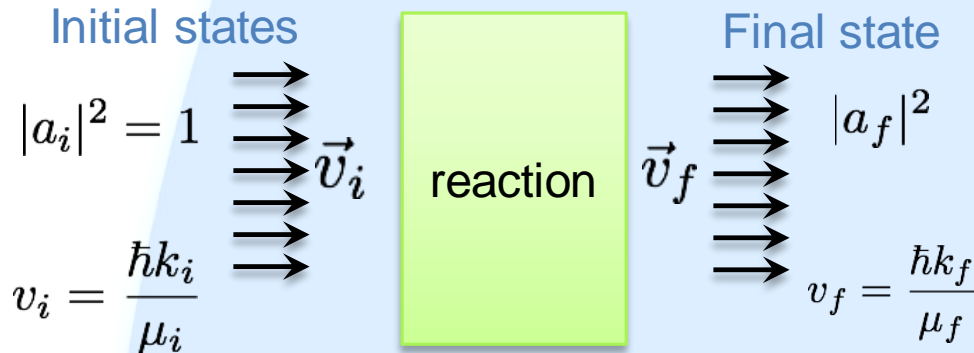
$$dP(i \rightarrow f) = \frac{1}{\hbar^2} \int_0^T \left| \langle \Psi_f | V(s) | \Psi_i \rangle e^{-i(E_f - E_i)s} \right|^2 ds \rho(E_f) dE_f$$



COULOMB EXCITATIONS

LINK TO FERMI GOLDEN RULE

From time-dependent to time independent picture



Cross section = number of particles scattered per unit time into a given final state normalized to the incident flux

$$\sigma_{i \rightarrow f} = \frac{\Gamma_{i \rightarrow f}}{v_i |a_i|^2}$$

with

$$\Gamma_{i \rightarrow f}(E_f) = \frac{1}{T} dP(i \rightarrow f)$$

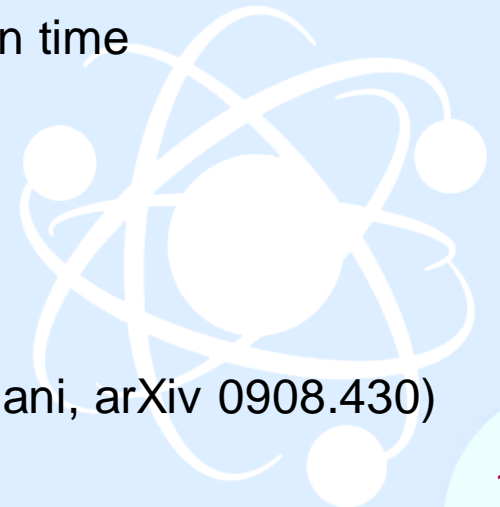
Link with the Fermi Golden Rule

If V and ρ varies slowly compared to the typical interaction time

$$\Rightarrow \Gamma_{i \rightarrow f} \simeq \frac{2\pi}{\hbar} |V_{if}|^2 \rho(E_f)$$

For a isotropic distribution of final momentum

$$\sigma_{i \rightarrow f} = \frac{\mu_f \mu_i}{(2\pi \hbar^2)^2} \frac{k_f}{k_i} |V_{fi}|^2 \quad (\text{see C. Bertulani, arXiv 0908.430})$$



APPLICATION TO COULOMB EXCITATIONS

EXCITATION CHANNELS

Time-dependent perturbation

$$V(t) = \int d^3x_1 d^3x_2 \frac{\rho_1^{ch}(\mathbf{x}_1)\rho_2^{ch}(\mathbf{x}_2)}{|\mathbf{R}(t) + \mathbf{x}_1 - \mathbf{x}_2|} - \frac{Z_1 Z_2 e^2}{R(t)}$$

$(\mathbf{x}_1, \mathbf{x}_2)$ Intrinsic coordinates

Multipole decomposition of the perturbation

$$\frac{1}{|\mathbf{r} - \mathbf{s}|} = \sum_{LK} \frac{4\pi}{2L+1} \frac{r_{<}^L}{r_{>}^{L+1}} Y_{LK}^*(\Omega_s) Y_{LK}(\Omega_r)$$

For $R \gg x_{1/2}$

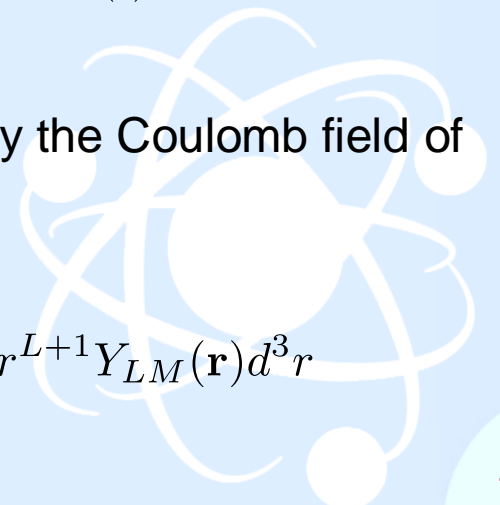
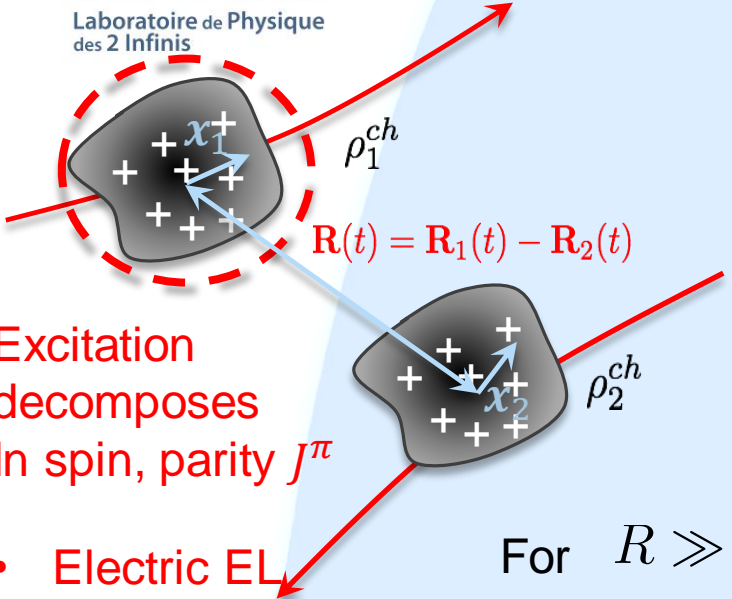
$$V(t) = \int d^3x_1 d^3x_2 \rho_1^{ch}(\mathbf{x}_1)\rho_2^{ch}(\mathbf{x}_2) \sum_{L>0,M} \frac{4\pi}{2L+1} \frac{x_{12}^L}{R^{L+1}(t)} Y_{LM}(\mathbf{x}_{12}) Y_{LM}^*(\mathbf{R})$$

Suppose that we focus on excitation in nucleus 1 induced by the Coulomb field of the second nucleus: $\rho_2^{ch}(\mathbf{x}_2) \approx Z_2 e \delta(\mathbf{x}_2)$

$$\Rightarrow V(t) = Z_2 e \sum_{L>0,M} \frac{4\pi}{2L+1} \frac{1}{R^{L+1}(t)} Y_{LM}^*(\mathbf{R}(t)) \int \rho_1^{ch}(\mathbf{r}) r^{L+1} Y_{LM}(\mathbf{r}) d^3r$$

Excitation decomposes
In spin, parity J^π

- Electric EL
- Magnetic ML



APPLICATION TO COULOMB EXCITATIONS

EXCITATION CHANNELS

$$V(t) = Z_2 e \sum_{L>0, M} \frac{4\pi}{2L+1} \frac{1}{R^{L+1}(t)} Y_{LM}^*(\mathbf{R}(t)) \int \rho_1^{\text{ch}}(\mathbf{r}) r^{L+1} Y_{LM}(\mathbf{r}) d^3r$$

Contains all the information on the nuclei trajectories

Defines the operator that will induces a specific excitation. Independent of the trajectory

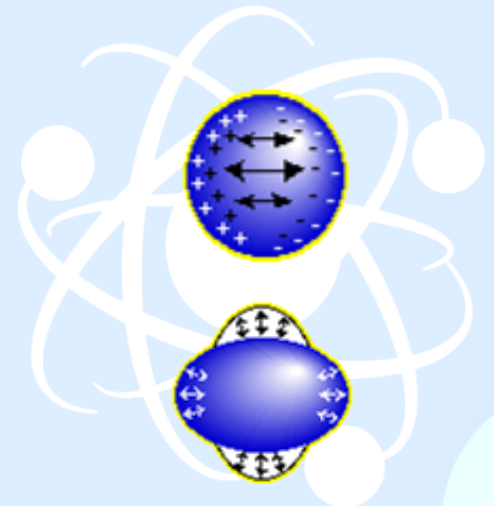
Schematic expression

$$V(t) = Z_2 e \sum_{L>0 M} \frac{4\pi}{2L+1} F_{LM}(t) \mathcal{M}(EL, M)$$

Electric Multipole moments independent on the perturbation type

Example

- monopole $\mathcal{M}(E0, 0) = \frac{1}{\sqrt{4\pi}} \int d^3r \rho^{\text{ch}}(\mathbf{r}) = \frac{1}{\sqrt{4\pi}} Z e$
- dipole $\mathcal{M}(E1, 0) = \sqrt{\frac{3}{4\pi}} \int d^3r \mathbf{r} \rho^{\text{ch}}(\mathbf{r}) = \sqrt{\frac{3}{4\pi}} d$
- quadrupole $\mathcal{M}(E2, 0) = \frac{1}{4} \sqrt{\frac{5}{\pi}} \int d^3r (2z^2 - x^2 - y^2) \rho^{\text{ch}}(\mathbf{r})$



APPLICATION TO COULOMB EXCITATIONS

PERTURBATION THEORY

$$V(t) = Z_2 e \sum_{L>0M} \frac{4\pi}{2L+1} F_{LM}(t) \mathcal{M}(EL, M) \quad \text{with} \quad \Phi(t) \sim \chi(\mathbf{R}(t)) \sum_i a_{fi}(t) \Phi_f$$

Transition probability $a_{fi} = -\frac{i}{\hbar} \int_{-\infty}^{\infty} dt \langle \chi_{\mathbf{R}(t)} \Phi_f | V(t) | \chi_{\mathbf{R}(t)} \Phi_i \rangle e^{i\omega t}$

$\hbar\omega = E_f - E_i$

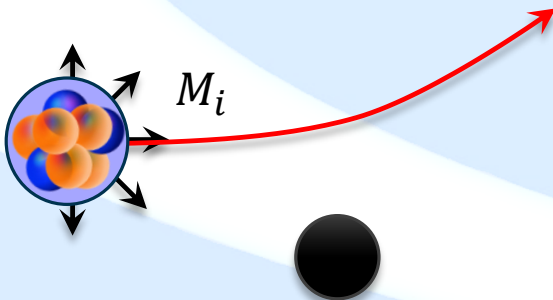
Reaction part

$$I_{LM}(\omega) = \int_{-\infty}^{\infty} dt \frac{1}{R^{L+1}(t)} Y_{LM}(\mathbf{R}(t)) e^{i\omega t}$$

Excitation part

$$\langle \Phi_f | \mathcal{M}(EL, M) | \Phi_i \rangle$$

For unpolarized beam (all \mathcal{M} contributes and should be summed up for final J and average for initial)



$$w_{fi} = \frac{1}{2J_i + 1} \sum_{M_f M_i} |a_{fi}|^2$$

Average transition probability

$$w_{fi} = \left(\frac{4\pi Z_2 e}{\hbar} \right)^2 \sum_{L>0} \frac{B(\text{EL}; i \rightarrow f)}{(2L+1)^3} \sum_M |I_{LM}(\omega_{fi})|^2$$

Reduced transition probability

$$B(\text{EL}; i \rightarrow f) = \sum_{M_i M_f} \left| \mathcal{M}(\text{EL}, M)_{fi} \right|^2$$

Differential cross-section:

$$\frac{d\sigma_{fi}(L)}{d\Omega} = \underbrace{\left(\frac{d\sigma}{d\Omega} \right)_{\text{Ruth}}}_{\text{Reaction only}} \times \underbrace{\frac{B(\text{EL}; i \rightarrow f)}{(2L+1)^3}}_{\text{Intrinsic structure only}} \underbrace{\sum_M |I_{LM}(\omega_{fi})|^2}_{\text{Both reaction and structure}}$$

Reaction only
independent
on the
channel and J

Intrinsic
structure only

Both reaction
and structure

$$\hbar\omega = E_f - E_i$$

with

$$\left(\frac{d\sigma}{d\Omega} \right)_{\text{Ruth}} = \frac{(e^2 Z_1 Z_2)^2}{16E^2} \frac{1}{\sin^4 \frac{\theta}{2}}$$

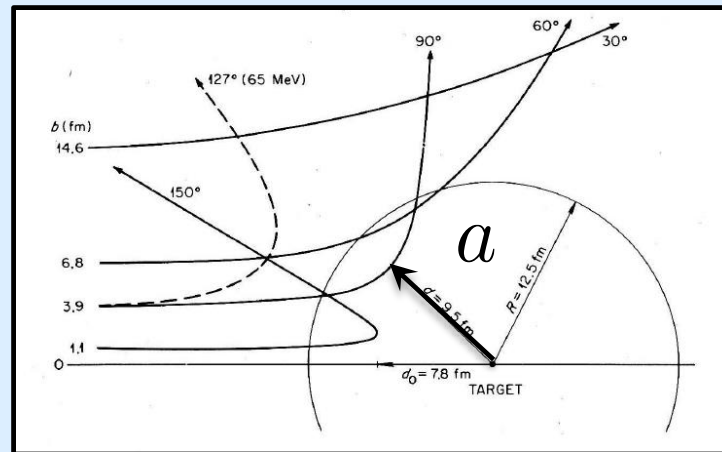
(For magnetic excitation see
C. Bertulani, arXiv 0908.430)

COULOMB EXCITATIONS

$$\frac{d\sigma_{fi}(\mathbf{L})}{d\Omega} = \left(\frac{d\sigma}{d\Omega} \right)_{\text{Ruth}} \times \frac{B(EL; i \rightarrow f)}{(2L+1)^3} \sum_M |I_{LM}(\omega_{fi})|^2$$

$f_L(\omega) = \sum_M |I_{LM}(\omega_{fi})|^2$ is a rather complex quantity

with
$$I_{LM}(\omega) = \int_{-\infty}^{\infty} dt \frac{1}{R^{L+1}(t)} Y_{LM}(\mathbf{R}(t)) e^{i\omega t}$$



Collision time $\tau_{\text{col}} \simeq \frac{a}{v}$

Period of the transition $\tau_{fi} \simeq \frac{1}{\omega_{fi}}$ \Rightarrow Adiabatic parameter $\zeta = \frac{\tau_{\text{col}}}{\tau_{fi}} \propto \frac{\Delta E_{fi}}{E}$

$$\frac{d\sigma_{fi}(\mathbf{L})}{d\Omega} = \left(\frac{d\sigma}{d\Omega} \right)_{\text{Ruth}} \times \frac{B(\text{EL}; i \rightarrow f)}{(2L+1)^3} \sum_M |I_{LM}(\omega_{fi})|^2$$

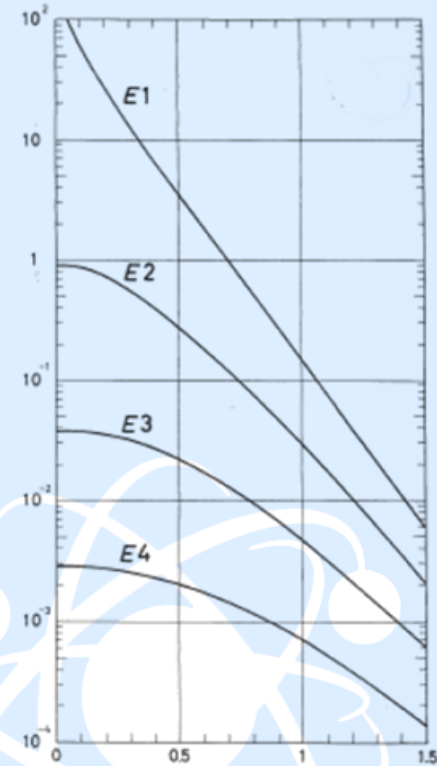
$f_L(\omega) = \sum_M |I_{LM}(\omega_{fi})|^2$ is a rather complex quantity

$$\left(\frac{d\sigma}{d\Omega} \right)_{\text{Ruth}} \propto a^2$$

$$\sigma(\text{EL}) \propto \frac{B(\text{EL})}{a^{2L-2}} \tilde{f}_L(\zeta)$$

$$f_L(\omega) \propto \frac{1}{a^{2L}} \tilde{f}(\zeta)$$

$\tilde{f}_L(\zeta)$



More phenomenology in

REVIEWS OF MODERN PHYSICS

VOLUME 28, NUMBER 4,

OCTOBER, 1956

Study of Nuclear Structure by Electromagnetic Excitation with Accelerated Ions

K. ALDER, A. BOHR, T. HUUS, B. MOTTELSON, AND A. WINNER

CERN Theoretical Study Division and Institute for Theoretical Physics,
University of Copenhagen, Copenhagen, Denmark

$$\zeta \propto \frac{\Delta E_{fi}}{E}$$

COULOMB EXCITATIONS

$$\frac{d\sigma_{fi}(\mathbf{L})}{d\Omega} = \left(\frac{d\sigma}{d\Omega} \right)_{\text{Ruth}} \times \frac{B(EL; i \rightarrow f)}{(2L + 1)^3} \sum_M |I_{LM}(\omega_{fi})|^2$$

- ➔ Describe the excitation process from an initial to a final state of the nucleus
- ➔ For a given multipolarity, it can be obtained by applying a time-dependent field to the nucleus

$$V(t) = Z_2 e \sum_{L>0M} \frac{4\pi}{2L + 1} F_{LM}(t) \mathcal{M}(EL, M)$$



In the weak coupling regime = linear response theory to a set of Time-dependent field

$$i\hbar \frac{\partial}{\partial t} |\Psi(t)\rangle = (\hat{H} + \epsilon f(t) \hat{F}) |\Psi(t)\rangle$$

Examples:

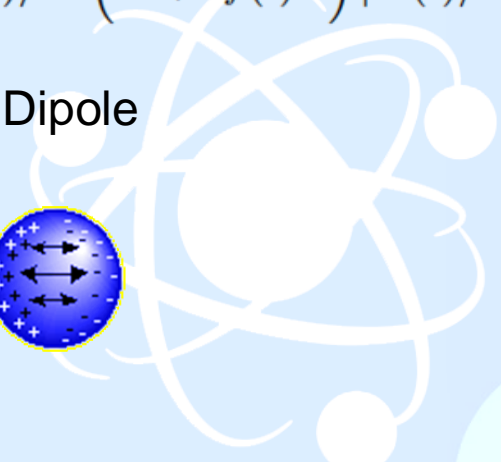
Monopole



Quadrupole

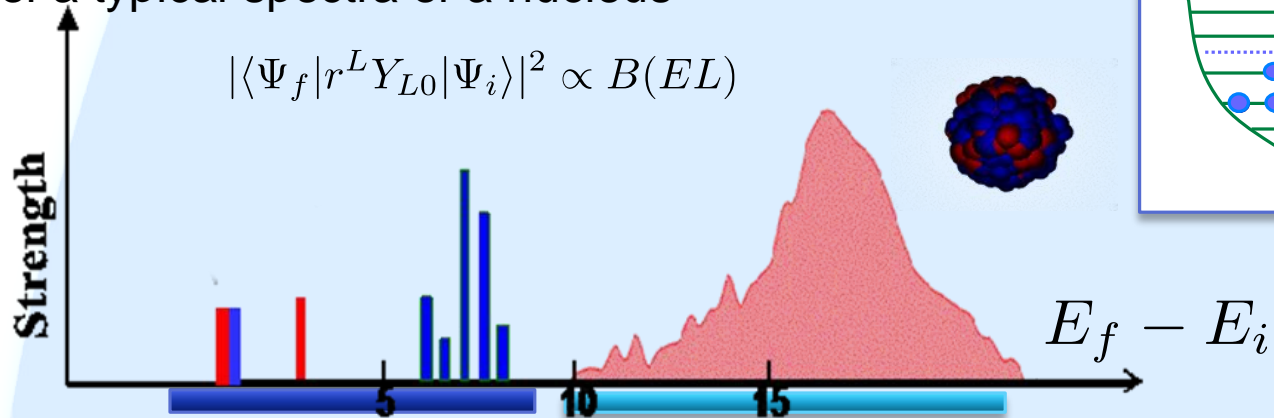


Dipole



COULOMB EXCITATIONS

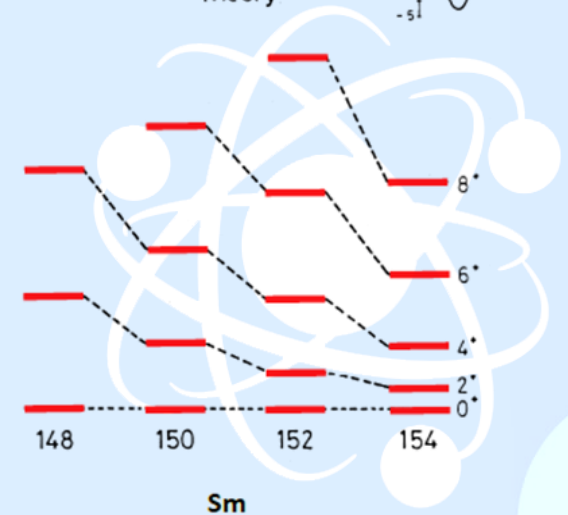
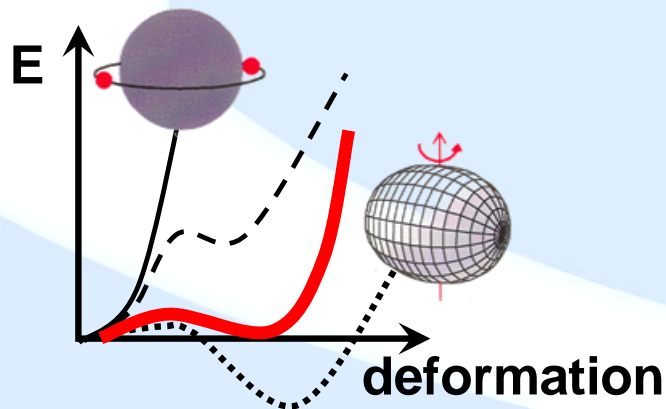
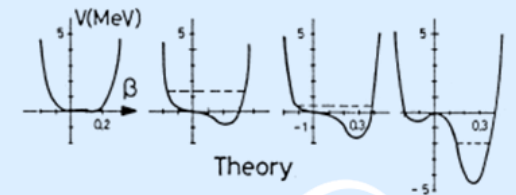
Illustration of a typical spectra of a nucleus



Low lying excitation (collective and non-collective)

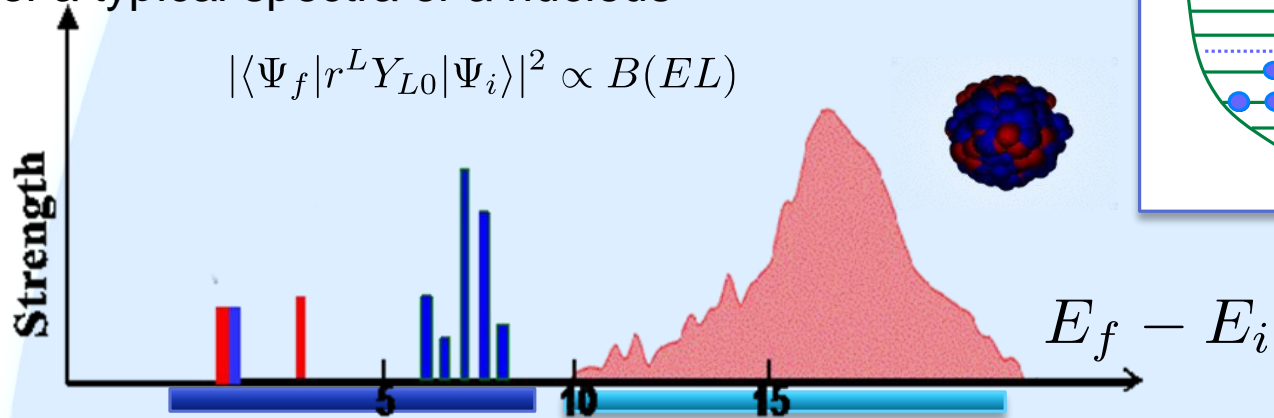
Sensitive to “magicity”, shell evolution...

Give information on shape coexistence



COULOMB EXCITATIONS

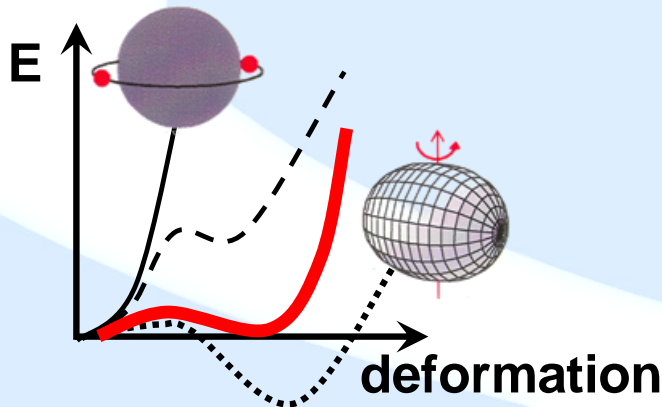
Illustration of a typical spectra of a nucleus



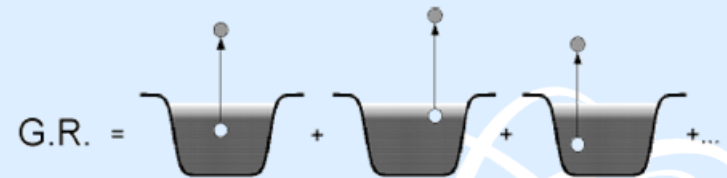
Low lying excitation (collective and non-collective)

Sensitive to “magicity”, shell evolution...

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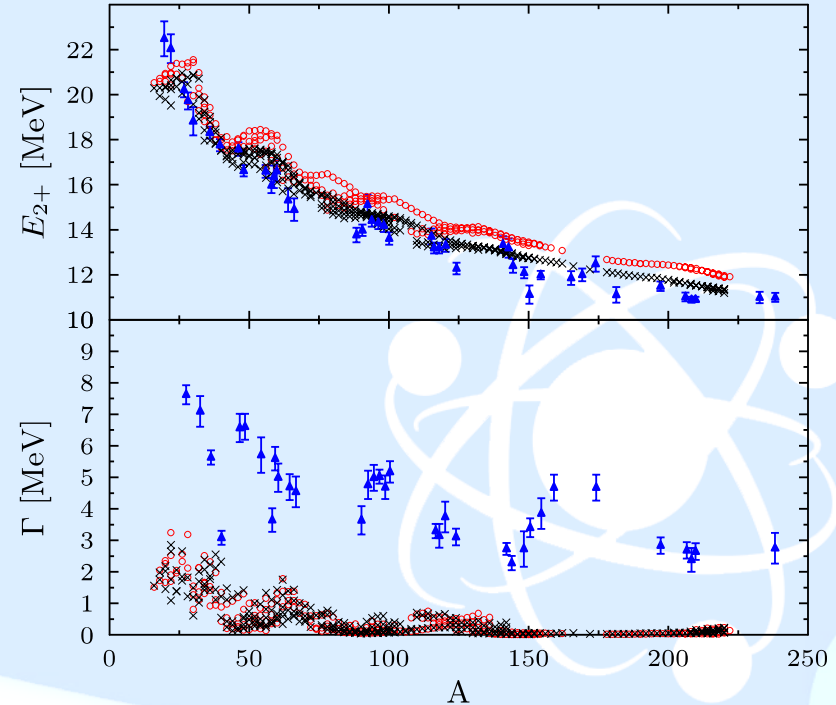
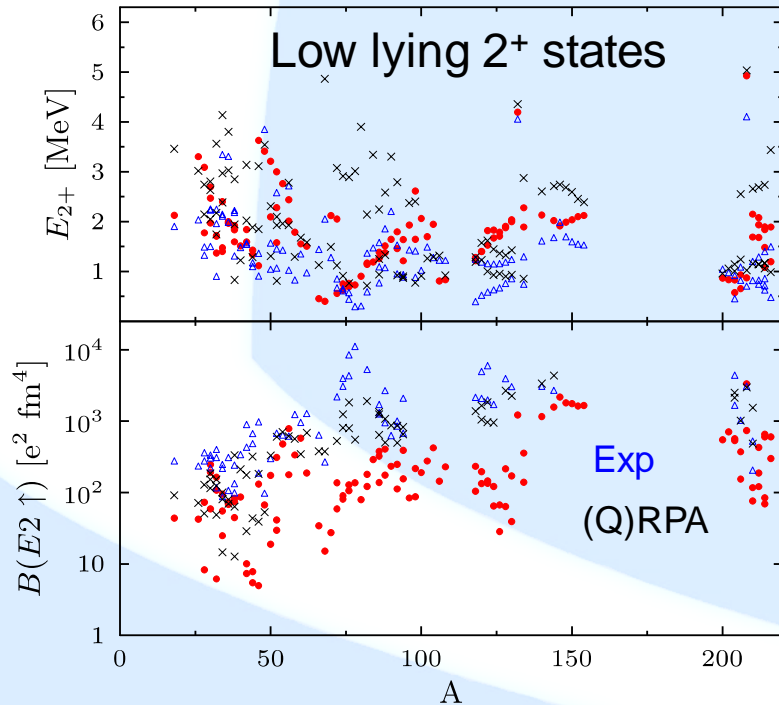
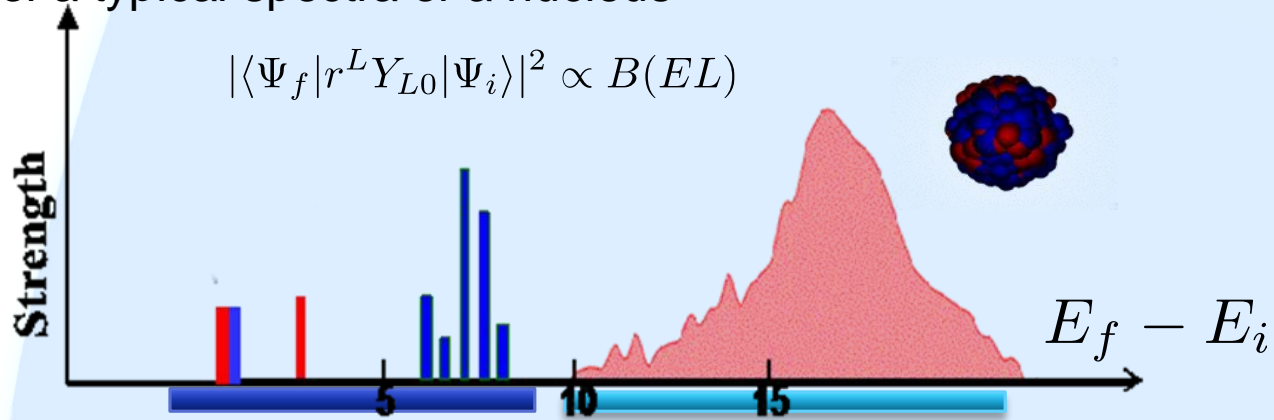


High lying excitation (highly collective)



Sensitive to magicity, shell evolution,
 ...
 Sensitive to internal correlations

Illustration of a typical spectra of a nucleus



COULOMB EXCITATIONS: ILLUSTRATION OF CROSS-SECTION

$$\rho(E) = \frac{dN}{dE}$$

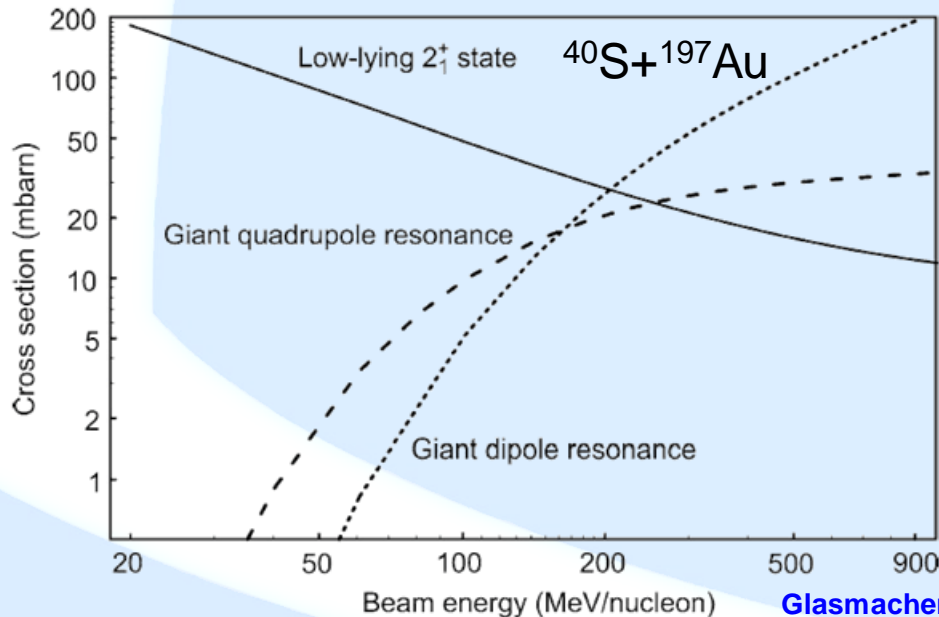
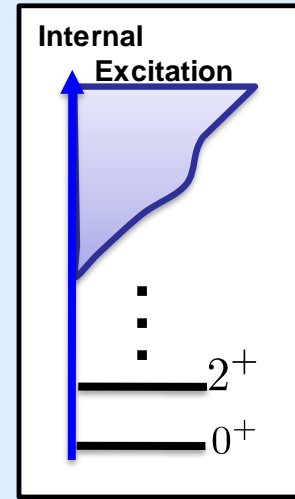
$$\frac{d\sigma_{fi}(L)}{d\Omega} = \left(\frac{d\sigma}{d\Omega} \right)_{\text{Ruth}} \times \frac{B(EL; i \rightarrow f)}{(2L+1)^3} \sum_M |I_{LM}(\omega_{fi})|^2$$

Total differential cross-section

$$\frac{d\sigma(L)}{d\Omega} = \sum_f \int \frac{d\sigma_{fi}(L)}{d\Omega} \rho_f(E) dE$$

Total cross-section

$$\sigma(L, E) = \int d\Omega \frac{d\sigma(L)}{d\Omega}$$



Note:

At high energy $\left(\frac{v}{c}\right) \nearrow$

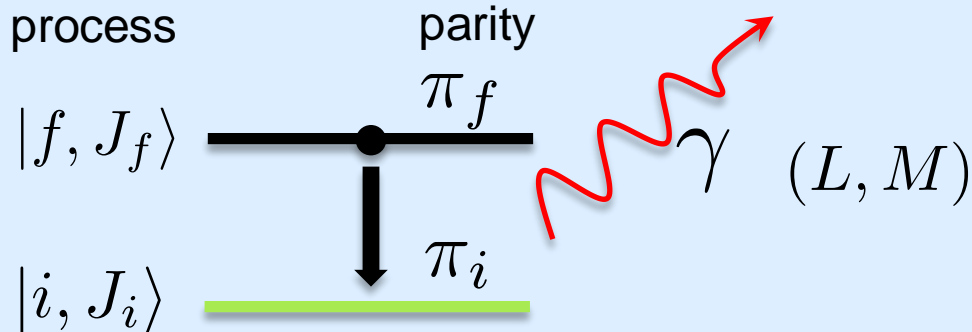
➡ Relativistic treatment

➡ Wave-distortion is important (eikonal approximation)

Glasmacher, Nucl. Phys. A 693, 90 (2001).

EXPERIMENTAL OBSERVATION THROUGH GAMMA DECAY: SOME PRELIMINARY REMARKS

After the excitation process



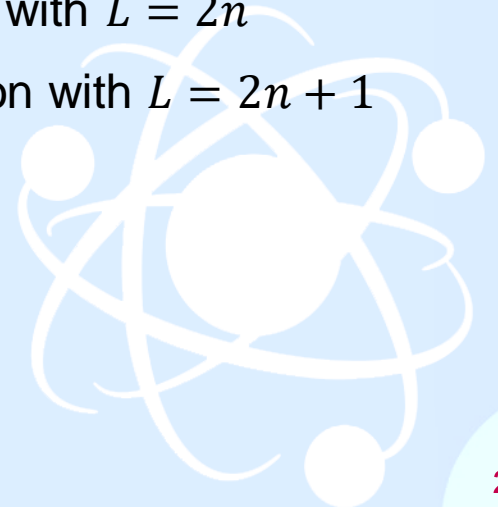
Not all transitions can be seen (selection rules)

$$|J_f - J_i| \leq L \leq J_f + J_i$$

If $\pi_i = \pi_f$ Only possible for electric transition with $L = 2n$

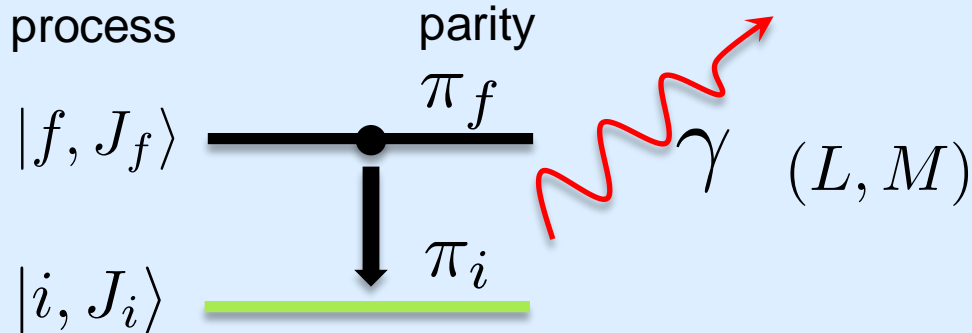
Only possible for magnetic transition with $L = 2n + 1$

$\pi_i \neq \pi_f$ Just the opposite

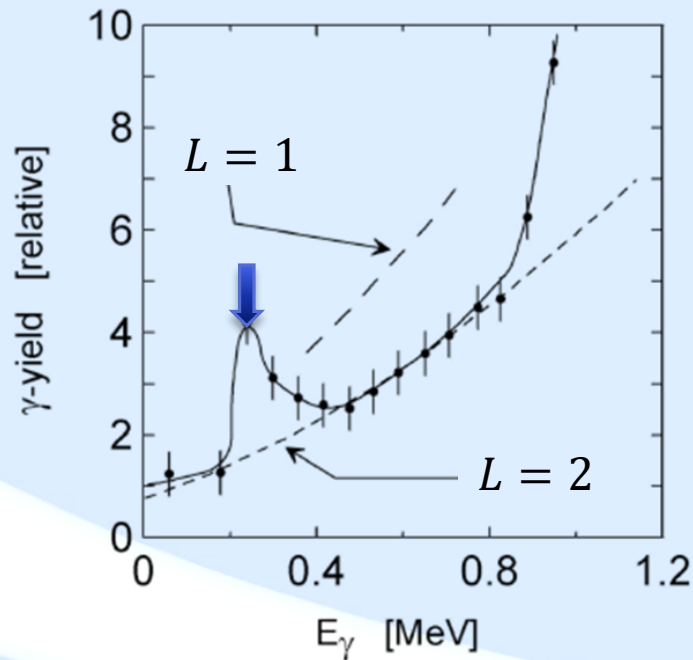


EXPERIMENTAL OBSERVATION THROUGH GAMMA DECAY: SOME PRELIMINARY REMARKS

After the excitation process

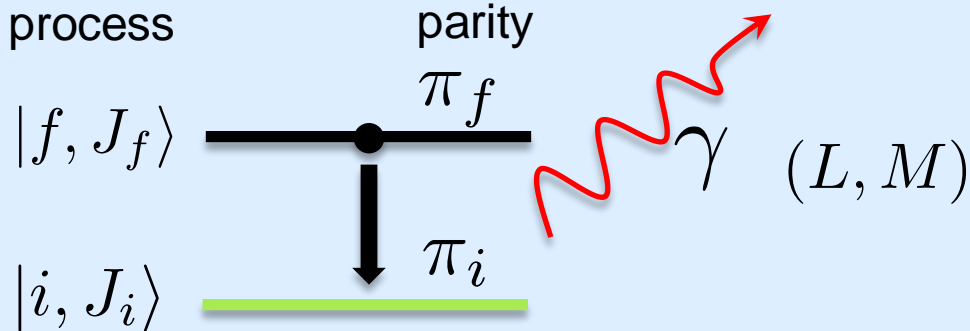


➔ Emitted γ have energetic and angular properties that depend on L



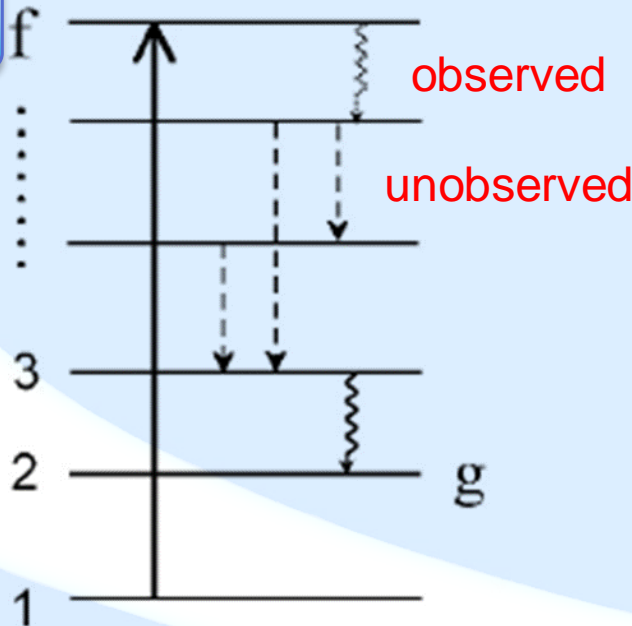
EXPERIMENTAL OBSERVATION THROUGH GAMMA DECAY: MORE REALISTIC CASE

After the excitation process

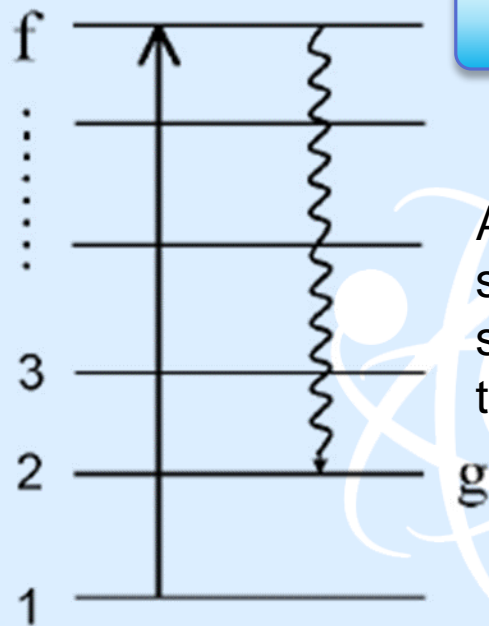


Experimental spectra is a mixing of direct and multistep process (some of them unobserved because of selection rules)

multistep



direct

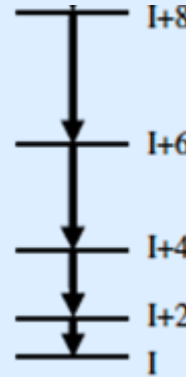


Assignment of spin parity is sometimes tricky

COULEX & SHAPE COEXISTENCE IN A NUTSHELL

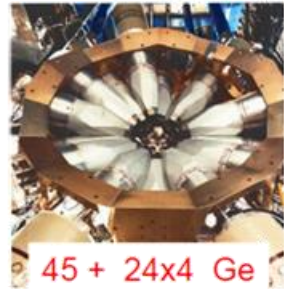
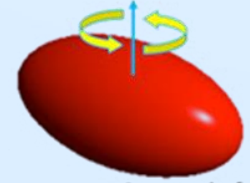
➔ Experiments requires high precision g detector

Physics case:
 Probing the shape of nuclei

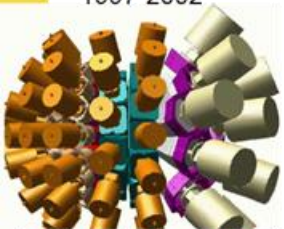


$$E_{\text{rot}} = \frac{I(I + 1)}{2J} \hbar^2$$

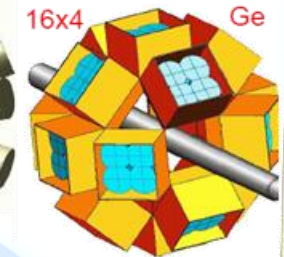
$$\mathcal{J} \rightarrow \beta_2$$



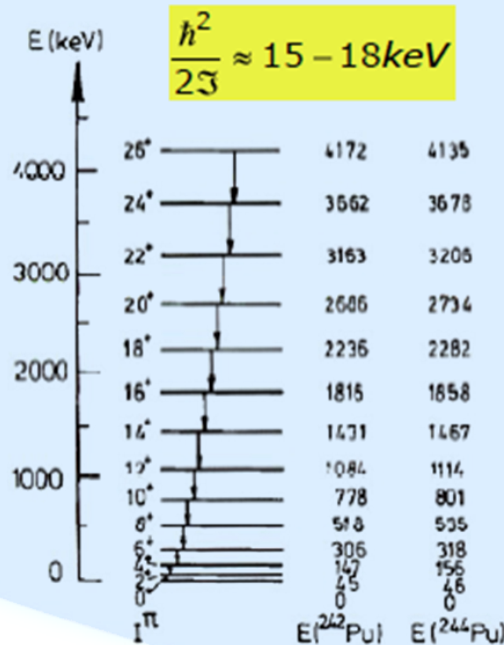
EUROBALL
 1997-2002



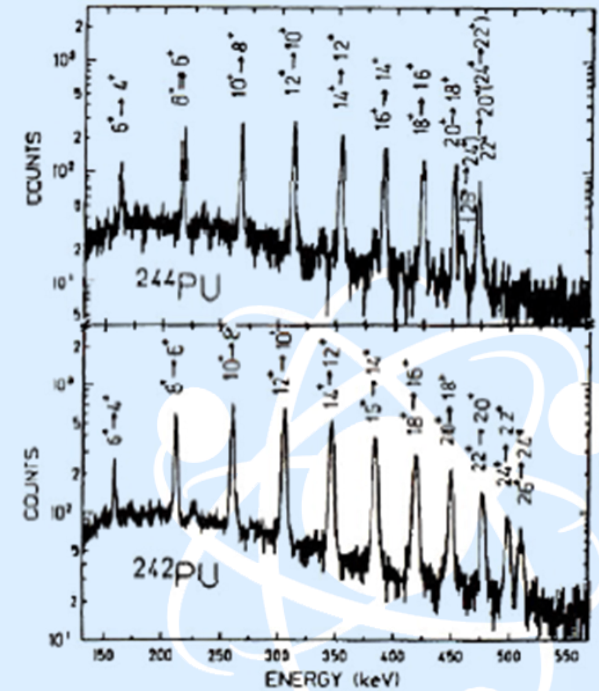
EXOGAM
 since 2002



AGATA
 demonstrator since 2009

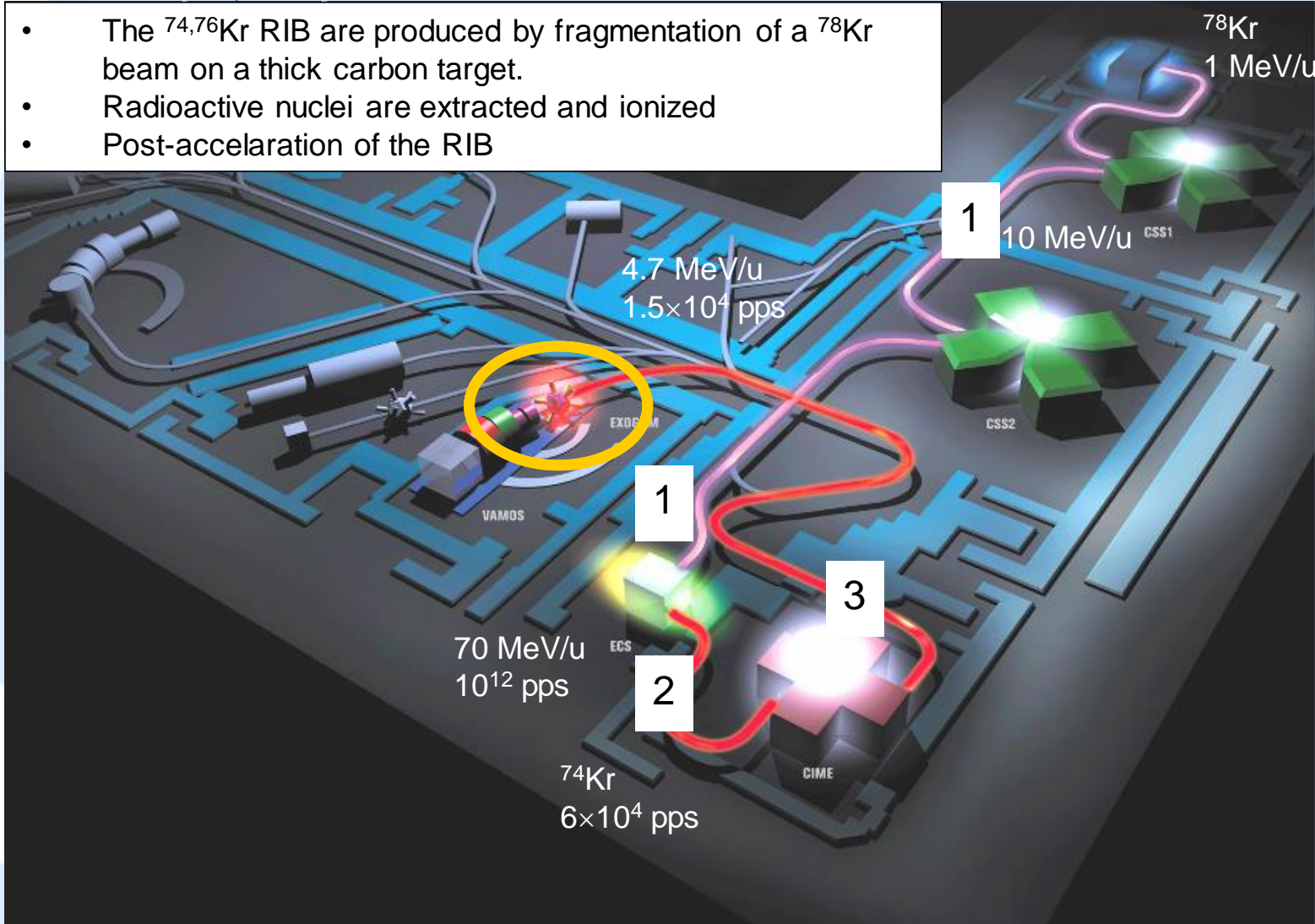


Deexcitation-Gamma Spectra

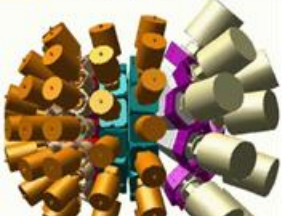


COULEX & SHAPE COEXISTENCE IN A NUTSHELL

- The $^{74,76}\text{Kr}$ RIB are produced by fragmentation of a ^{78}Kr beam on a thick carbon target.
- Radioactive nuclei are extracted and ionized
- Post-acceleration of the RIB



EUROBALL
1997-2002



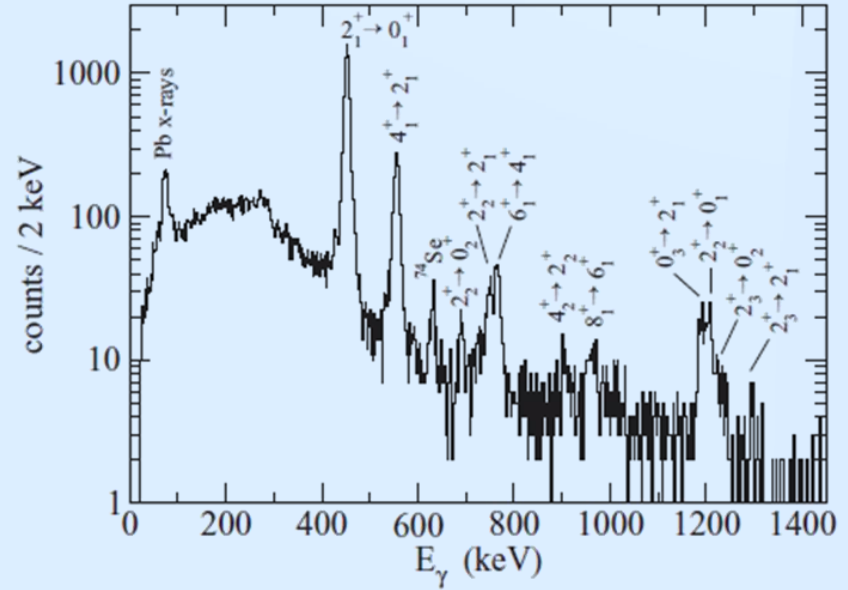
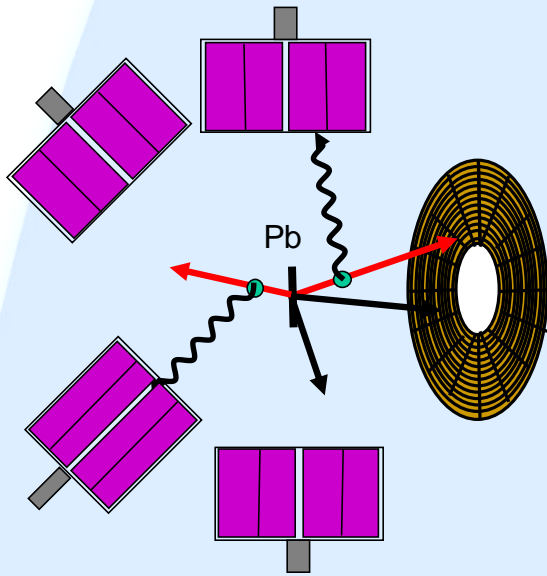
EXOGAM
since 2002



AGATA
demonstrator since 2009



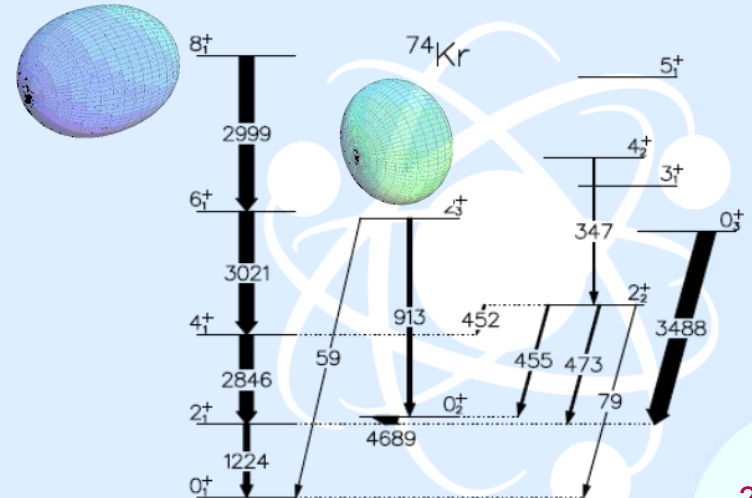
COULEX & SHAPE COEXISTENCE IN A NUTSHELL



E. Clément et al. PRC 75, 054313 (2007)

γ detection

Particle detection



Physics case: Study of specific giant resonances (the Giant Dipole Resonance)


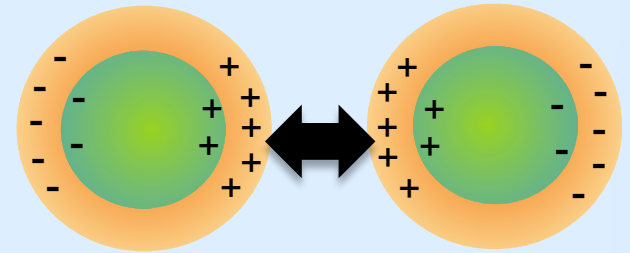
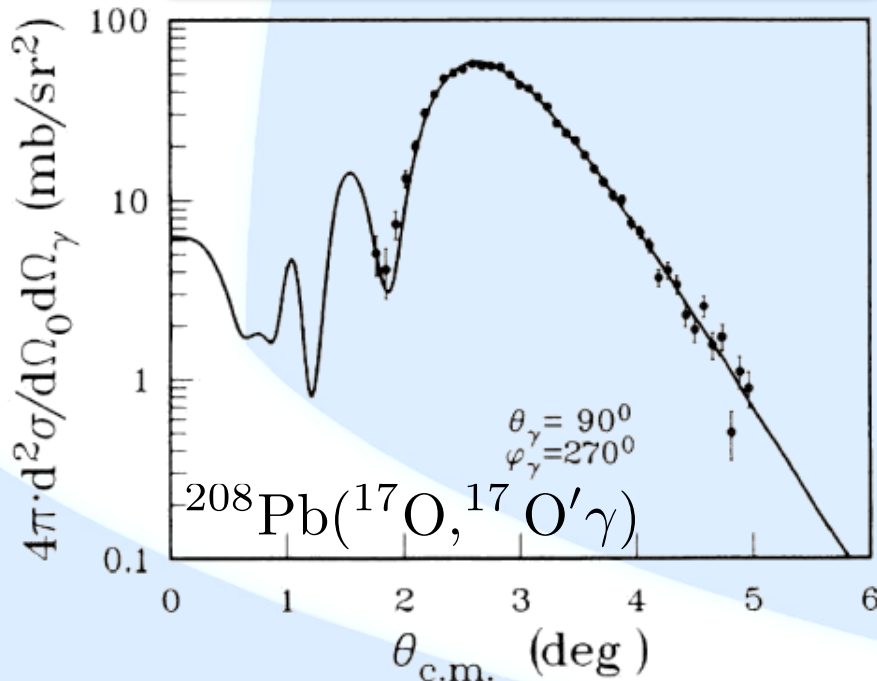

 The GDR has high cross section at high beam energy and can suitably be studied with Coulomb excitation experiments

Illustration:

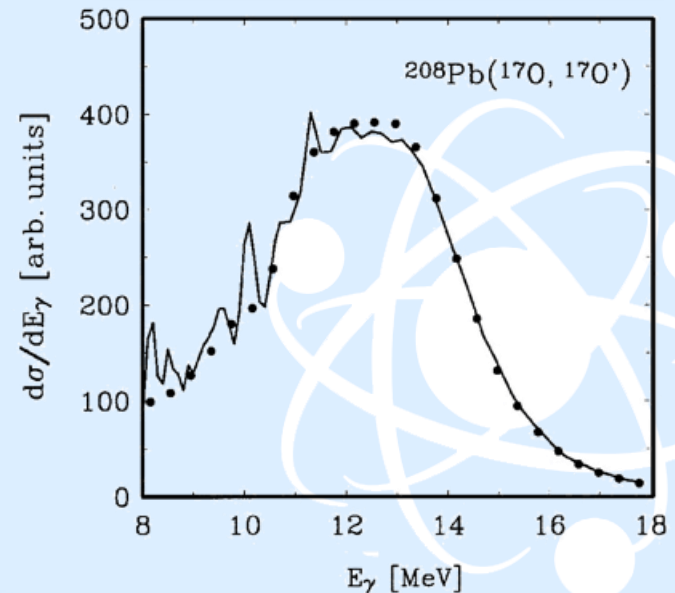
J. Beene et al., Phys. Rev. C 41, 920 (1990).



Differential cross-section

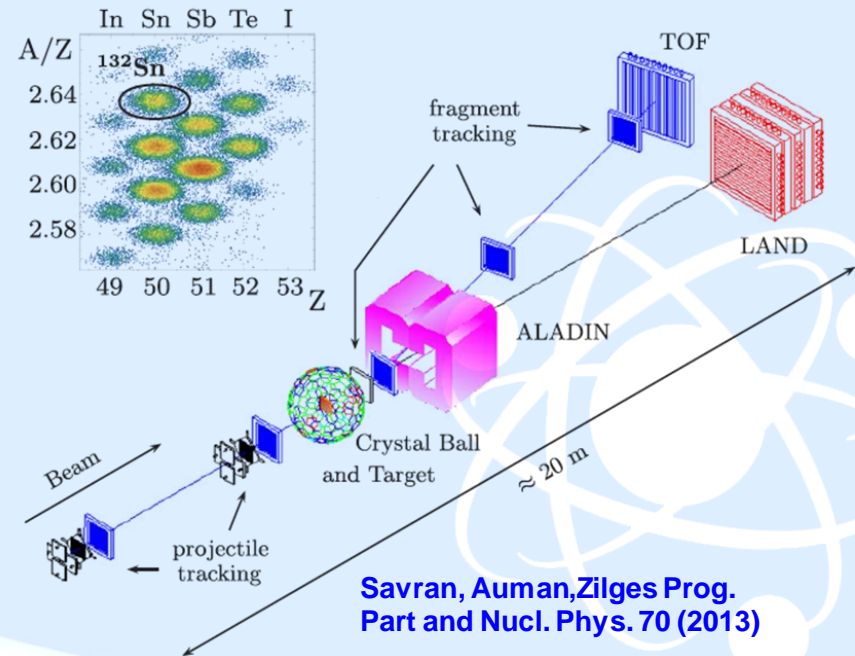
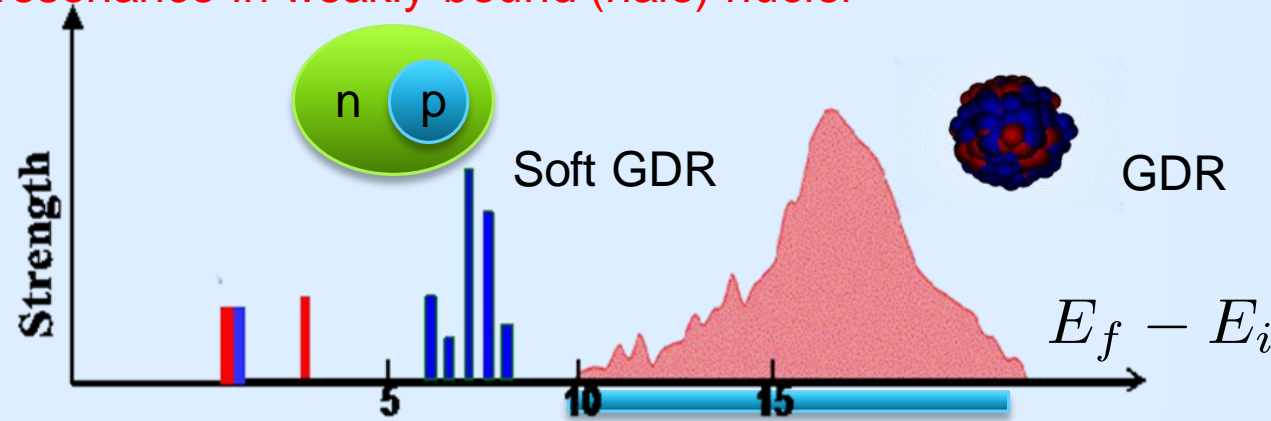
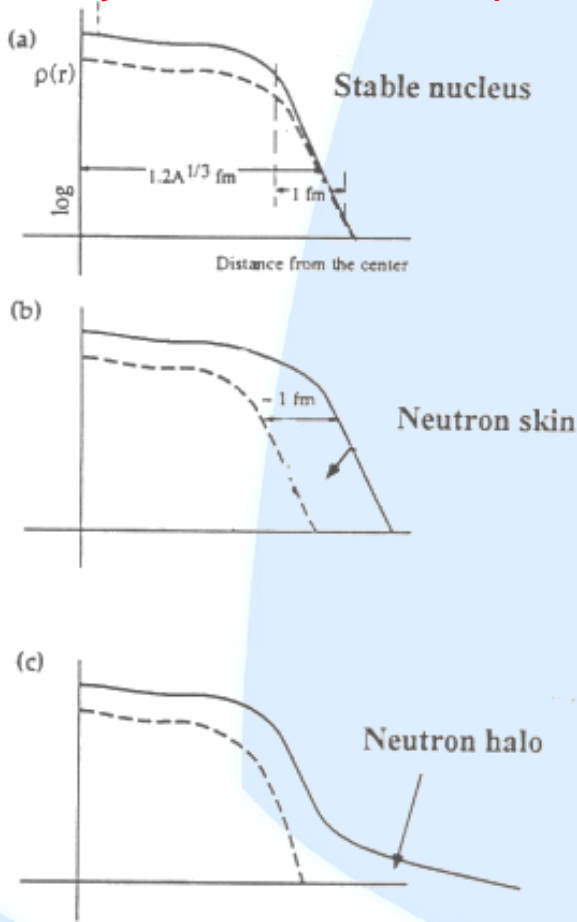


GDR (E1) response



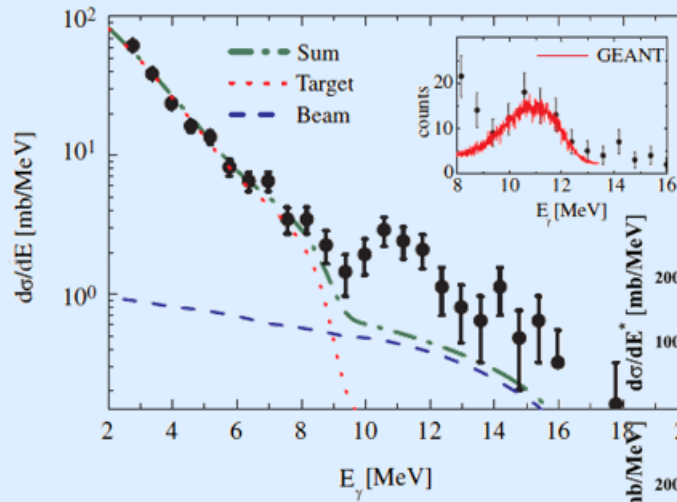
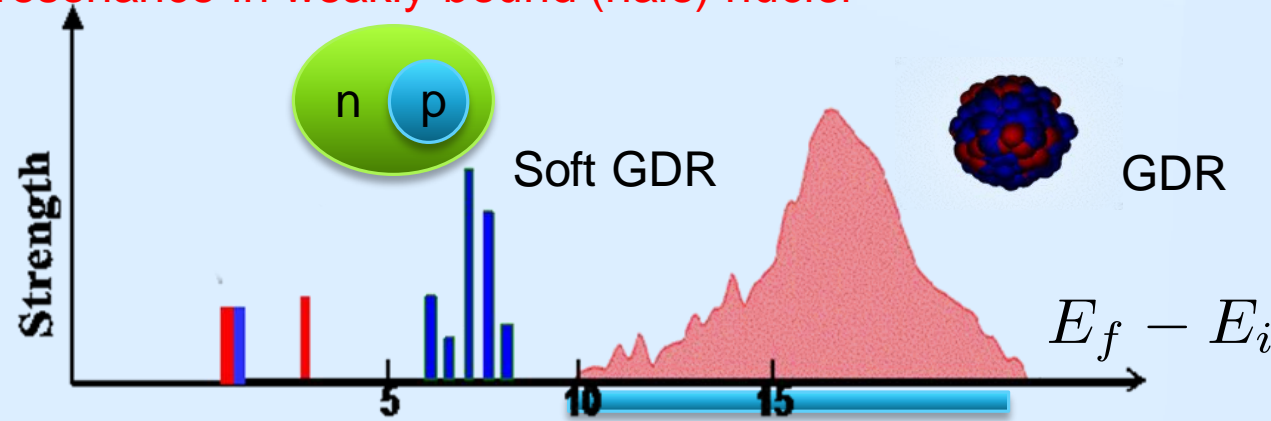
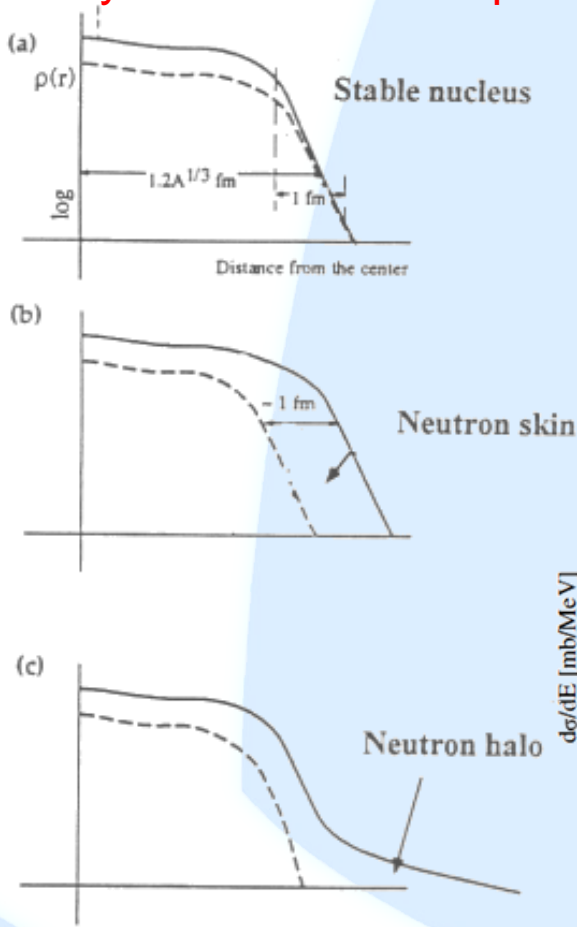
FEW WORDS ON EXPERIMENTS WITH COULEX

Physics case: Soft Dipole resonance in weakly bound (halo) nuclei



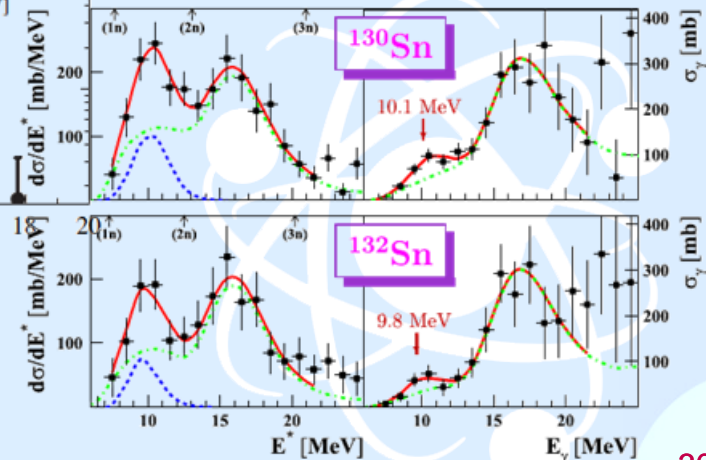
Savran, Auman, Zilges Prog.
 Part and Nucl. Phys. 70 (2013)

Physics case: Soft Dipole resonance in weakly bound (halo) nuclei



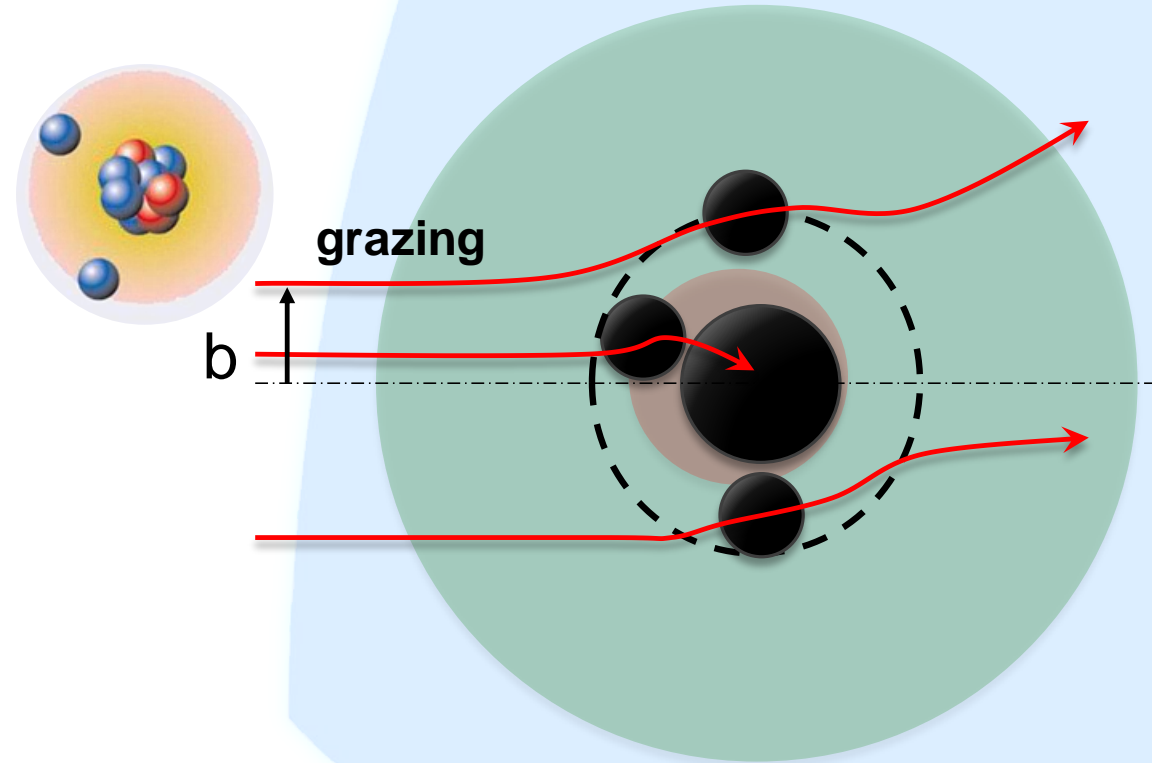
$^{68}\text{Ni}[600\text{MeV}/A] + ^{197}\text{Au}$



$^{13x}\text{Sn}[500\text{MeV}/A] + ^{208}\text{Pb}$







Savran, Auman, Zilges Prog.
Part and Nucl. Phys. 70 (2013)

FEW WORDS ON EXPERIMENTS WITH COULEX SOME REMARKS



-  Nuclear interaction range
-  Coulomb interaction range

-  In many cases, Coulomb and nuclear effects cannot be separated leading to interference
-  This is even more true for very extended systems
-  Most direct reactions are due to nuclear effects (transfer, knock-out, deep inelastic)
-  Weakly bound systems are also easier to break (continuum effect)

Transfer reactions

The many-facets of nuclear reactions

One-nucleon transfer

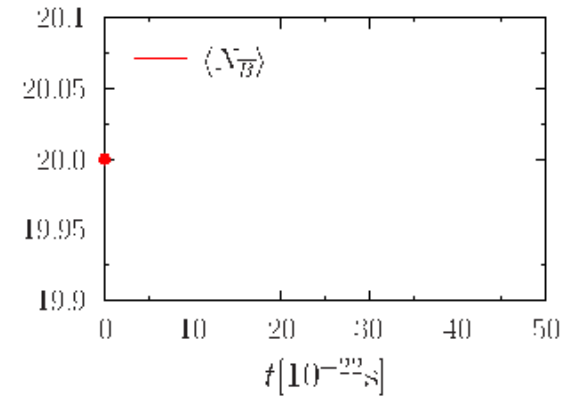
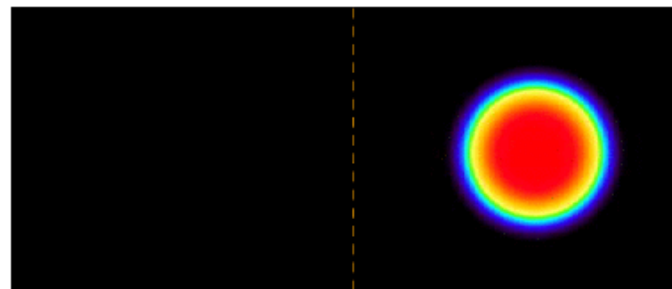
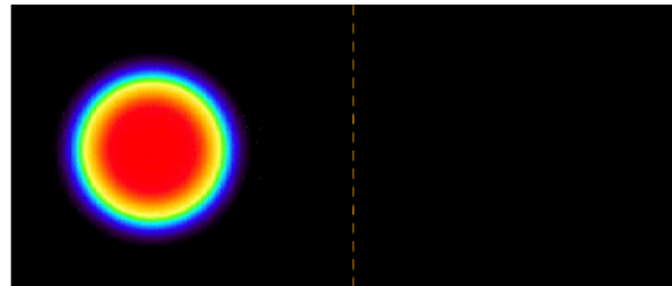
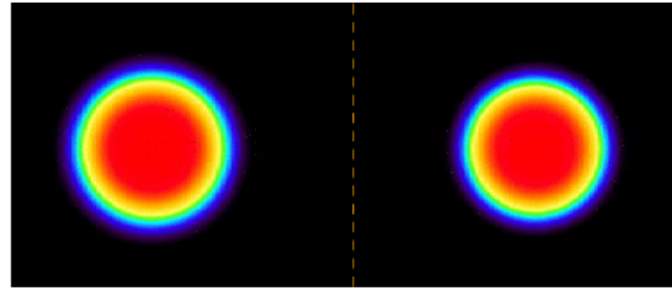
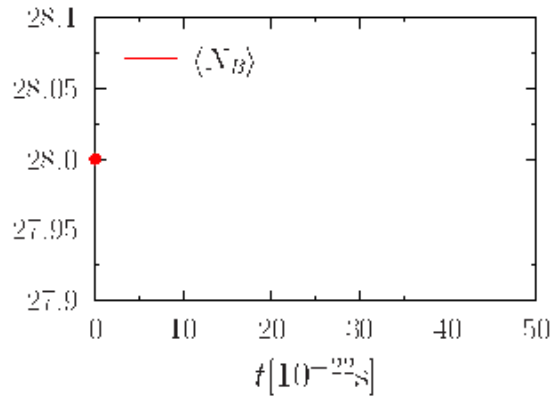


Two-nucleon transfer



Composite particle transfer





Central collisions

(Courtesy G. Scamps)

NUCLEON TRANSFER

PHENOMENOLOGICAL ASPECTS

$a-1$

$A+1$

Particle flow

Contact time

a

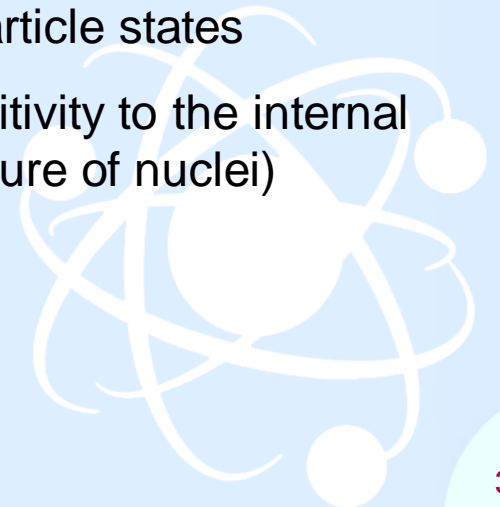
A

➡ The transfer will depend on the contact time

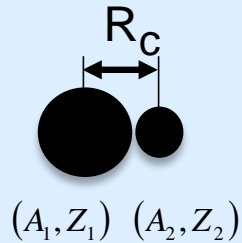
The contact should be enough to let the particle go from one nucleus to another (low energy process)

➡ The transfer depends on the initial and final single-particle states

(sensitivity to the internal structure of nuclei)



The driving force to transfer
 Suppose infinite contact time
 (Di-nuclear picture)



$$V(r) = \langle H \rangle_r - \langle H_1 \rangle_\infty - \langle H_2 \rangle_\infty$$




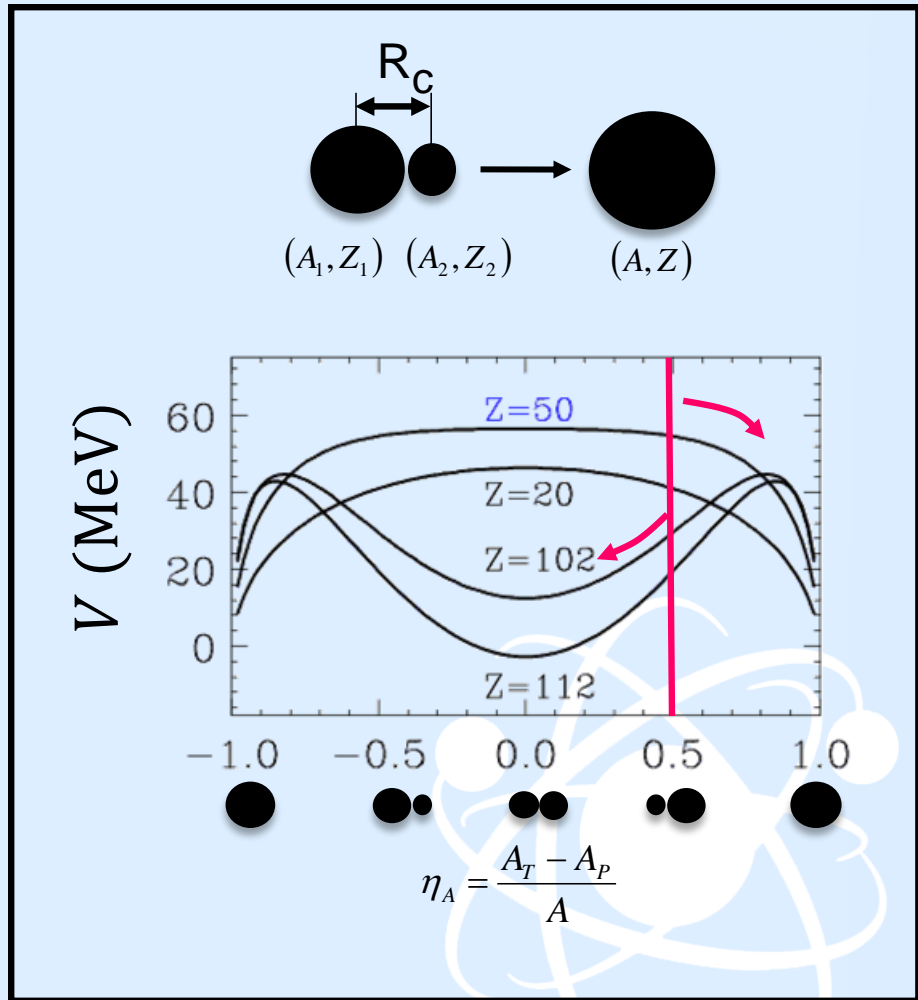
$$V(A_T, Z_T, A_P, Z_P) = M_{LD}(A_T, Z_T) + M_{LD}(A_P, Z_P)$$

$$- e^2 \frac{Z_T Z_P}{R_C} - M_{LD}(A, Z)$$



$$\frac{dA_T}{dt} = F_{P \rightarrow T}$$

 Q-value dependence



The driving force to transfer

Suppose infinite contact time
(Di-nuclear picture)

$$V(r) = \langle H \rangle_r - \langle H_1 \rangle_\infty - \langle H_2 \rangle_\infty$$



$$V(A_T, Z_T, A_P, Z_P) = M_{LD}(A_T, Z_T) + M_{LD}(A_P, Z_P) - e^2 \frac{Z_T Z_P}{R_C} - M_{LD}(A, Z)$$

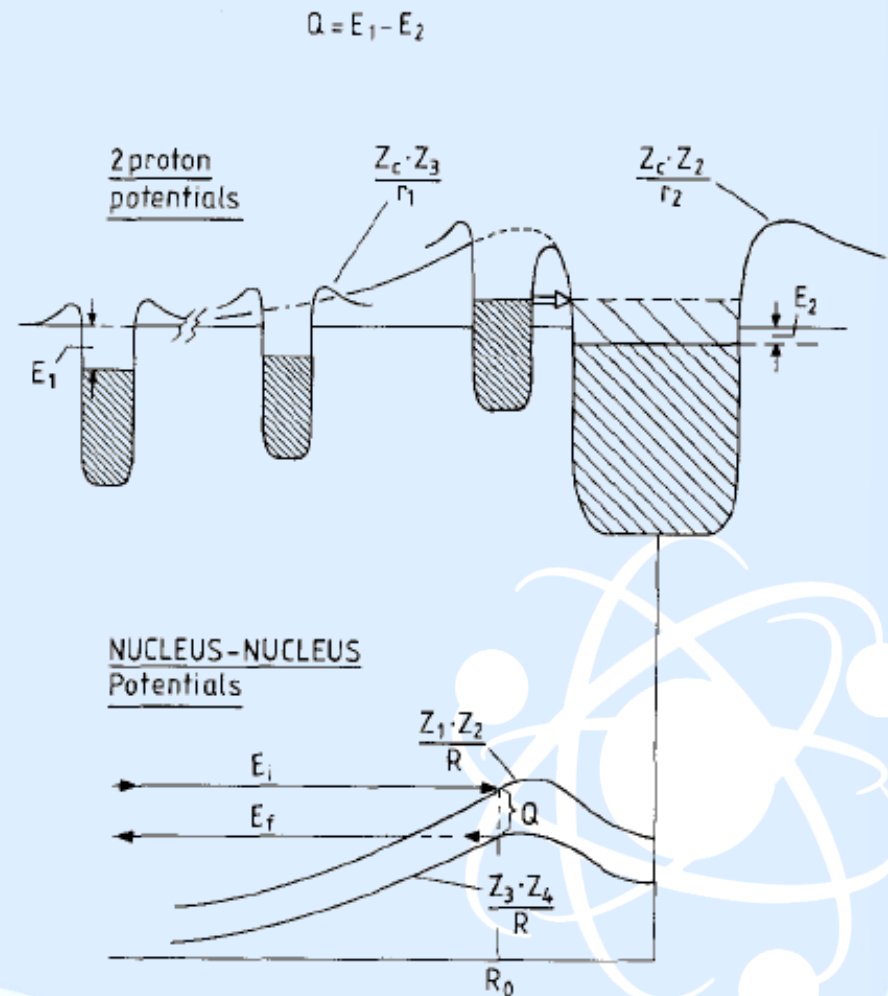


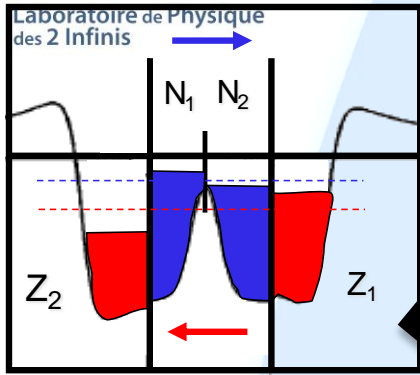
$$\frac{dA_T}{dt} = F_{P \rightarrow T}$$



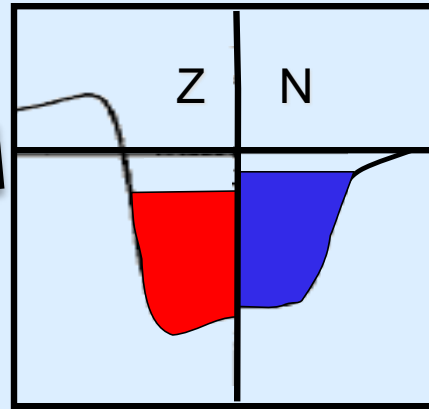
Q-value dependence

Schematic view of the process





Two-fluid dynamics picture



N/Z equilibration is much faster

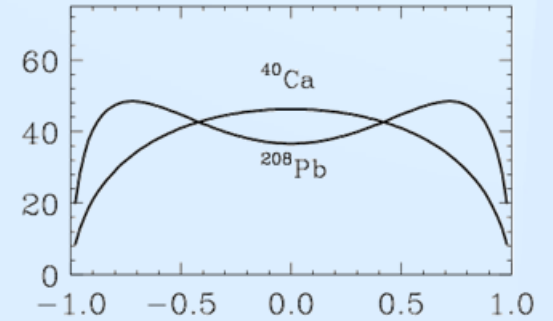


Linked to the equilibration of chemical potential

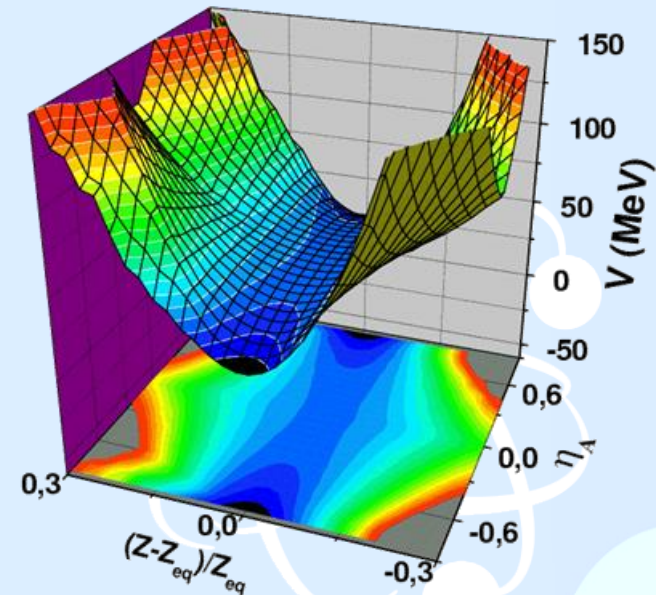
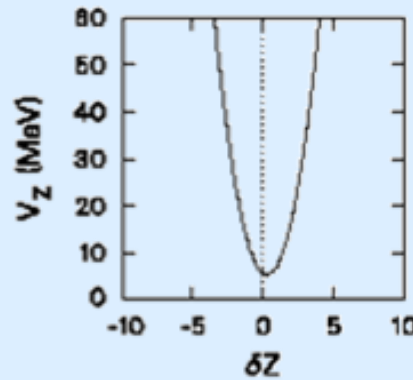


Ultimately linked to the symmetry energy of the masse formula

$$\tau_{N/Z} \ll \tau \left(\frac{A_1 - A_2}{A} \right)$$



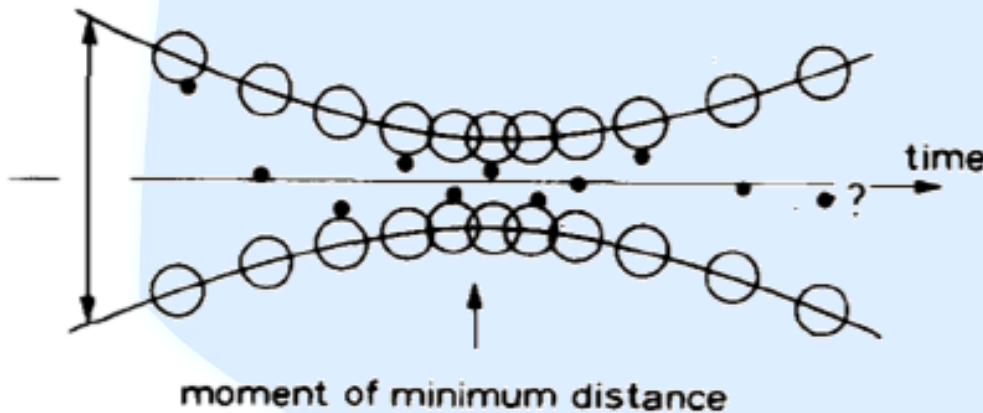
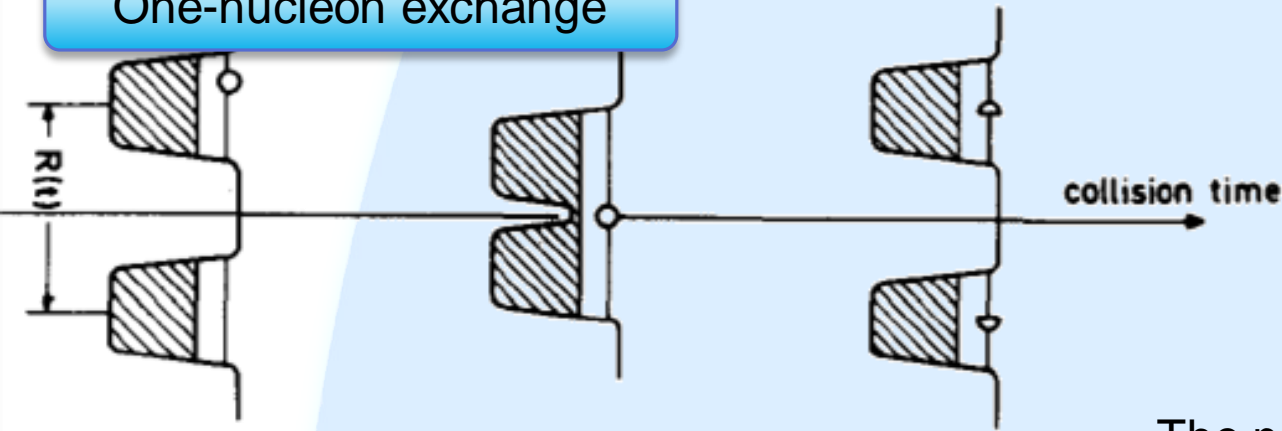
$$\eta_A = \frac{A_1 - A_2}{A_{tot}}$$



NUCLEON TRANSFER

PHENOMENOLOGICAL ASPECTS

One-nucleon exchange



The nucleon can stay in the initial nucleus

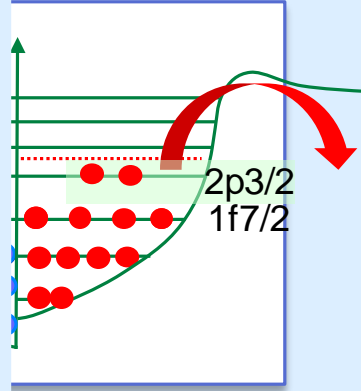
➔ The nucleon can participate to other open channels

The nucleon can be transmitted

NUCLEON TRANSFER

ONE-PARTICLE TRANSFER: SIMPLE TIME-DEPENDENT APPROACH

Single-particle scenario



Hypothesis:

- Treat classically the nucleus-nucleus center of mass evolution
- Consider independently the transfer from each initial state
- Consider that the particle transfer does not affect the nuclei potentials

$$i\hbar\partial_t|\Phi_\alpha(t)\rangle = \left\{ \frac{\mathbf{p}^2}{2m} + V_P(\vec{\mathbf{r}}, t) + V_T(\vec{\mathbf{r}}, t) \right\} |\Phi_\alpha(t)\rangle$$

Wood-Saxon potentials

$$V_{P/T}(\vec{\mathbf{r}}, t) = \frac{V_0}{1 + \exp\{|\vec{\mathbf{r}} - \vec{\mathbf{r}}_{T/P}(t)|/a\}}$$

➡ Equivalent to solve a three-dimensional wave equation

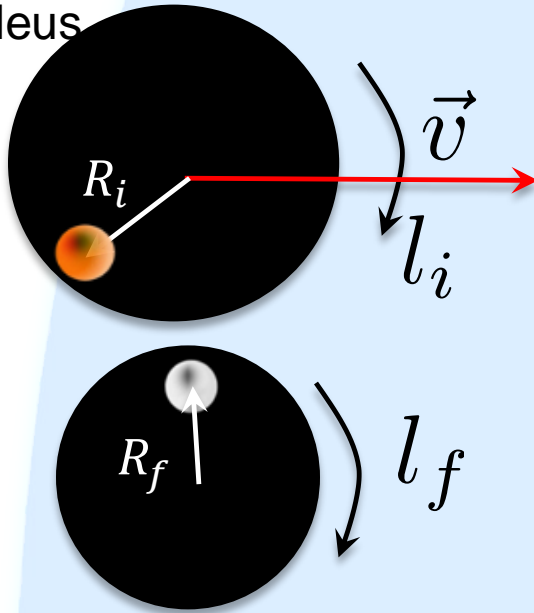
NUCLEON TRANSFER

NUCLEAR STRUCTURE EFFECTS

Brink, Phys. Lett B 40 (1972)

Semi-classical selection rules

Initial nucleus



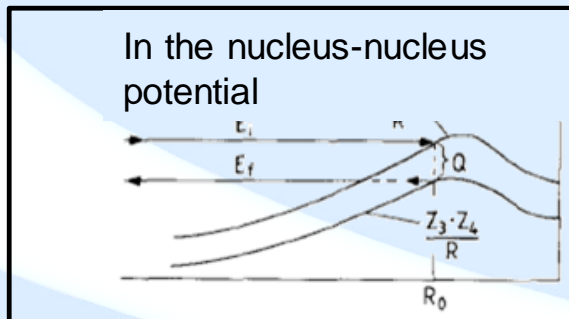
Conservation of parallel momentum before and after the transfer:

$$mv - \frac{\hbar l_i}{R_i} = \frac{\hbar l_f}{R_f}$$

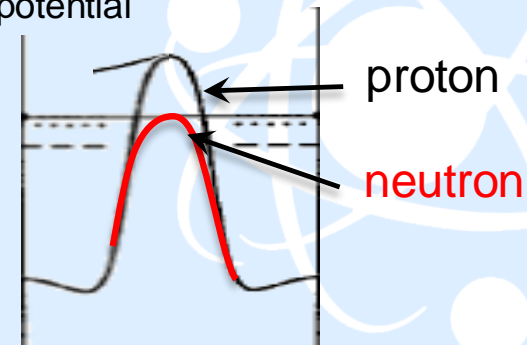
(angular momentum matching condition)

Other conditions might be derived from the conservation of perpendicular angular momentum

Proton-neutron difference in the exchange



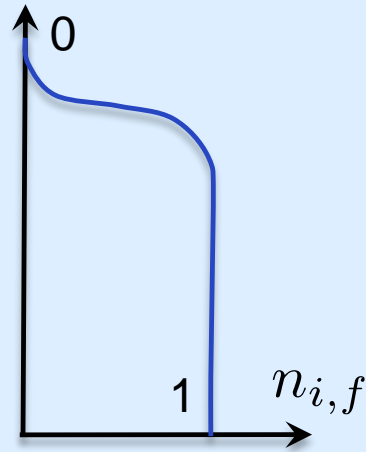
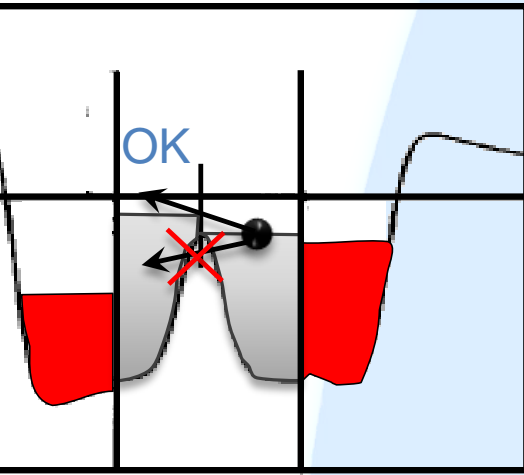
In single-particle potential



NUCLEON TRANSFER

SOME PHENOMENOLOGICAL ASPECTS: INFLUENCE OF CORRELATION, SPECTROSCOPIC FACTORS

Nucleons are fermions (subject to Pauli exclusion principle)



→ Nucleon cannot be transferred to a level that is already occupied

$$P_{tr} \simeq P_{tr}^{calc} n_i (1 - n_f)$$

n_i : probability to be initially occupied

$(1 - n_f)$: probability to be initially empty

For uncorrelated systems: $n_{i,f} = 0, 1$

With correlations: $n_{i,f} \neq 0, 1$

Transfer (as other spectroscopic tool) is sensitive to both single-particle structure and correlations

Spectroscopic factors

In practice people use a more general quantity called "Spectroscopic factor"

For a given state $U_i = \langle A + 1 | a_i^\dagger | A \rangle$

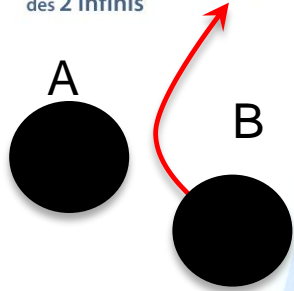
$V_i = \langle A - 1 | a_i | A \rangle$

$S_i^+ = |U_i|^2 \sim (1 - n_i)$

$S_i^- = |V_i|^2 \sim n_i$

NUCLEON TRANSFER

LINK BETWEEN TDSE AND THE COUPLED CHANNEL APPROACH



In the frame of the emitter

$$i\hbar \frac{d}{dt} \Psi(\mathbf{r}, t) \simeq \left\{ \frac{\mathbf{p}^2}{2m} + V_A(\mathbf{r}) + \underline{V_B(\mathbf{r} - \mathbf{R}(t))} \right\} \Psi(\mathbf{r}, t)$$

Time dependent perturbation

Introduce a complete set of states: $H_A |\Psi_a\rangle = E_a |\Psi_a\rangle$

with $\Psi(-\infty) = \Psi_0$

 $\Rightarrow \Psi(t) = \sum_a c_a(t) |\Psi_a\rangle$

$$c_a(t) = -\frac{i}{\hbar} \int_{-\infty}^t \langle \Psi_a | V_B(\mathbf{r} - \mathbf{R}(s)) | \Psi_0 \rangle e^{i(E_a - E_0)ds/\hbar} ds$$

Problem: the Ψ_a basis is not so convenient for the asymptotic transfer

\Rightarrow It would be better to develop on the moving single-particle

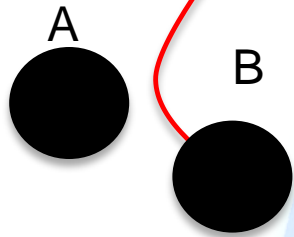
 Ψ_b basis $H_B |\Psi_b\rangle = E_b |\Psi_b\rangle$

This would be equivalent to the DWBA approach (for weak coupling)

$$c_{0 \rightarrow b}(t) = -\frac{i}{\hbar} \int_{-\infty}^t \langle \Psi_b(\mathbf{r} - \mathbf{R}(s)) | V_B(\mathbf{r} - \mathbf{R}(s)) | \Psi_0 \rangle e^{i(E_b - E_0)dst/\hbar} ds$$

NUCLEON TRANSFER

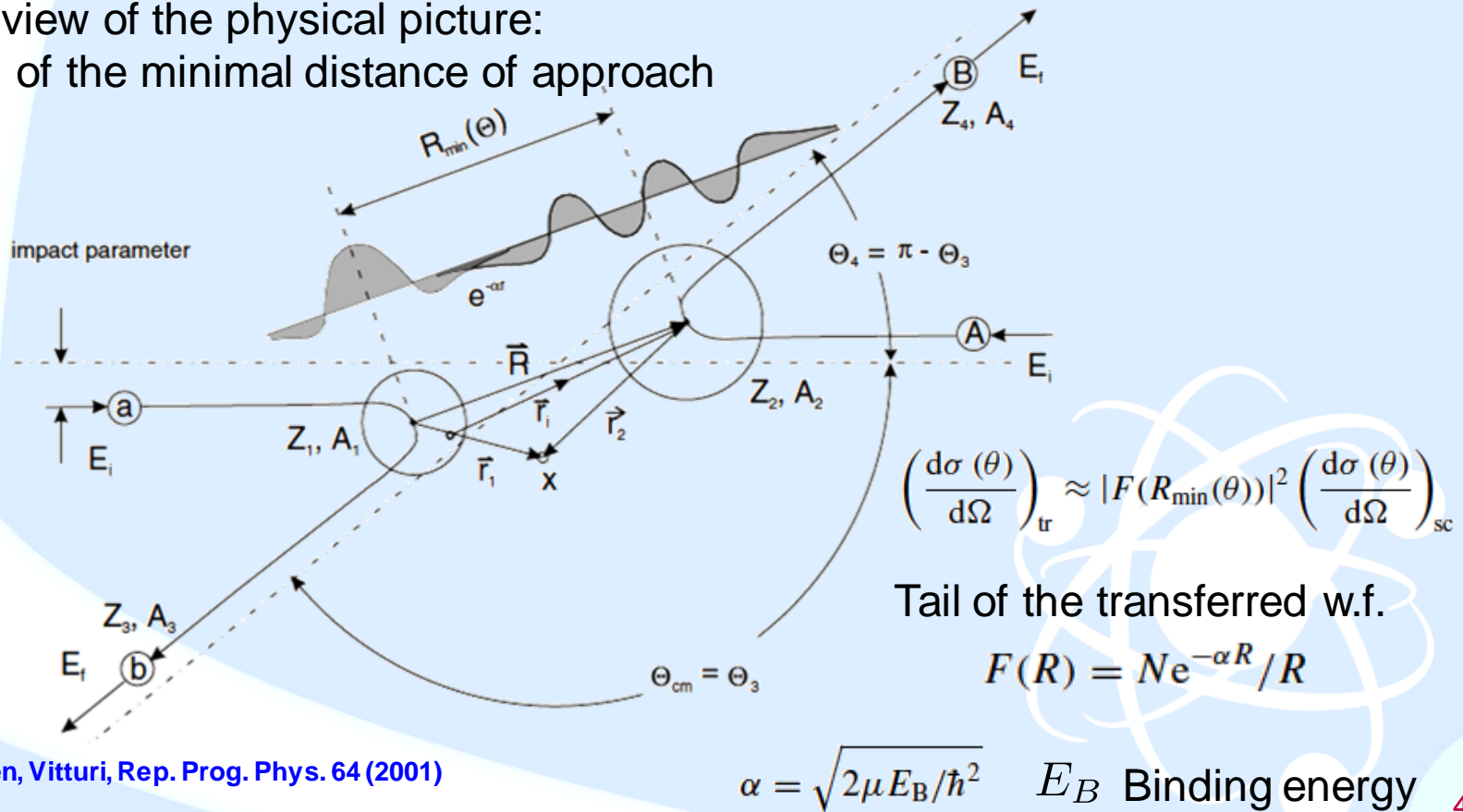
SEMI-CLASSICAL APPROXIMATION



$$c_{0 \rightarrow b}(t) = -\frac{i}{\hbar} \int_{-\infty}^t \langle \Psi_b(\mathbf{r} - \mathbf{R}(s)) | V_B(\mathbf{r} - \mathbf{R}(s)) | \Psi_0 \rangle e^{i(E_b - E_0)dst/\hbar} ds$$

➔ In most semi-classical approximation used today, the overlap is usually calculated using approximate expressions based on intuitive arguments.

Schematic view of the physical picture:
 Importance of the minimal distance of approach



A recent example: Corradi et al, Phys. Rev. C84 (2011)

➔ The transfer probability should account for the impact parameter and beam energy

➔ The transfer probability accounts for the initial and final quantum number plus the conservation of total angular momentum

$$(n_i, l_i, m_i) \rightarrow (n_f, l_f, m_f)$$

Example of more complete formula:

$$P_\beta(\ell) = P_{(a_1, a'_1)}(\ell) = \sum_{m'_1, m_1} |c_\beta(\ell)|^2$$

Angular momentum phase-space

$$= J^2(a_1, I_A) V^2(a'_1, I_a) \sum_{\lambda\mu} \frac{2j'_1 + 1}{2\lambda + 1} \times |I_{\lambda\mu}^{(a_1, a'_1)}(\ell)|^2$$

Spectroscopic amplitude $a'_1 \rightarrow a_1$

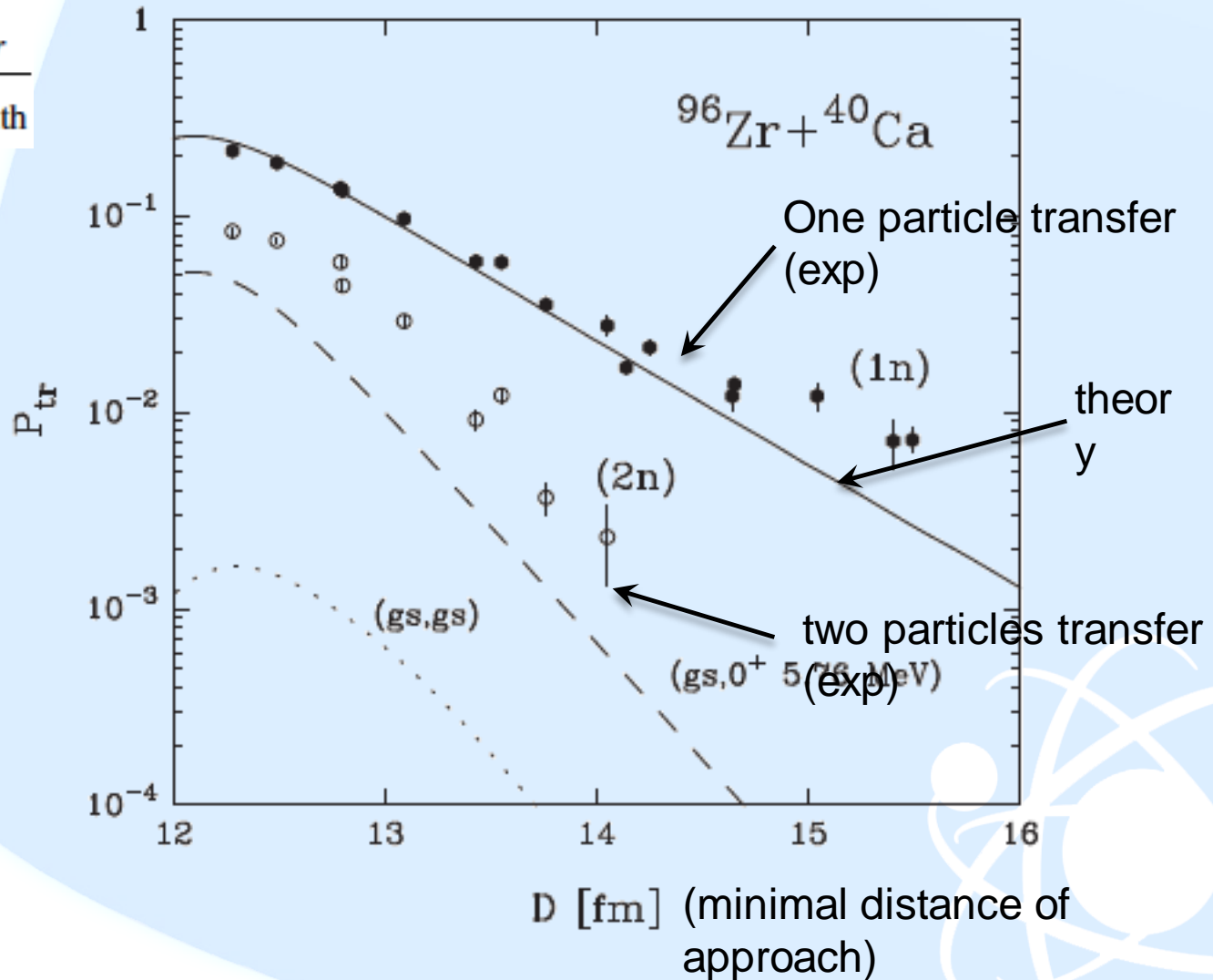
Contains all dynamical energetic and wave-function dependence

$$I_{\lambda\mu}^{(a_1, a'_1)}(\ell) = \sqrt{\frac{2\pi a_{tr}}{\ddot{r}_0 \hbar^2}} Y_{\lambda\mu}(\pi/2, 0) f_{\lambda 0}(D_\ell) e^{-\frac{a_{tr}}{2\ddot{r}_0 \hbar^2} (\Delta E - Q_{opt} - \hbar\mu\dot{\phi}(0))^2}$$

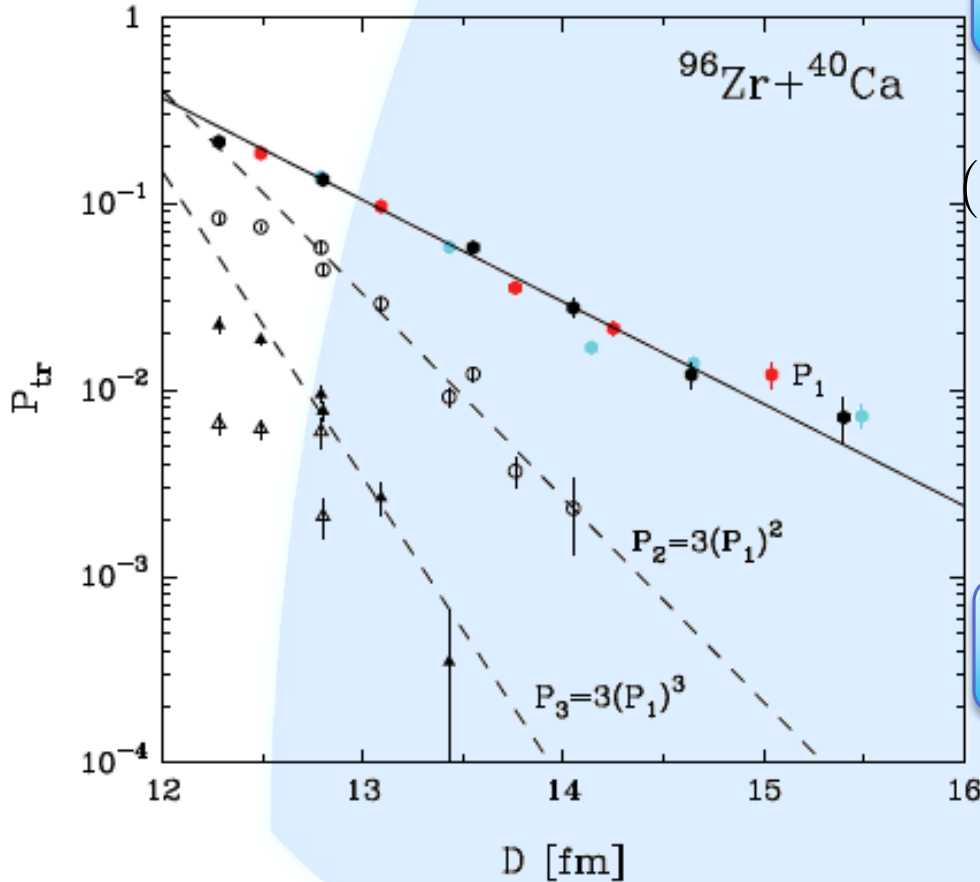
NUCLEON TRANSFER

SEMI-CLASSICAL METHODS

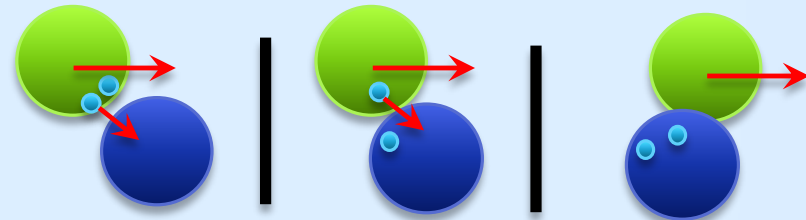
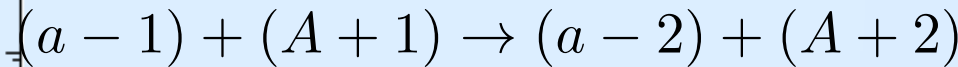
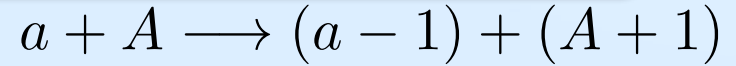
$$P_{tr} = \frac{d\sigma_{tr}}{d\sigma_{Ruth}}$$



What about two-particle transfer?

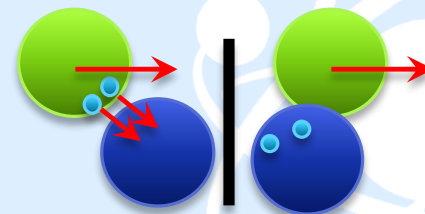
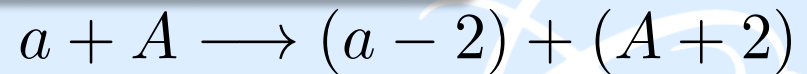


Sequential (successive) transfer
(two-step process)



$$P_2 \simeq (P_1)^2$$

Simultaneous transfer
(one-step process)



$$P_2 \simeq (P_1)^2 + P_{Cor}$$



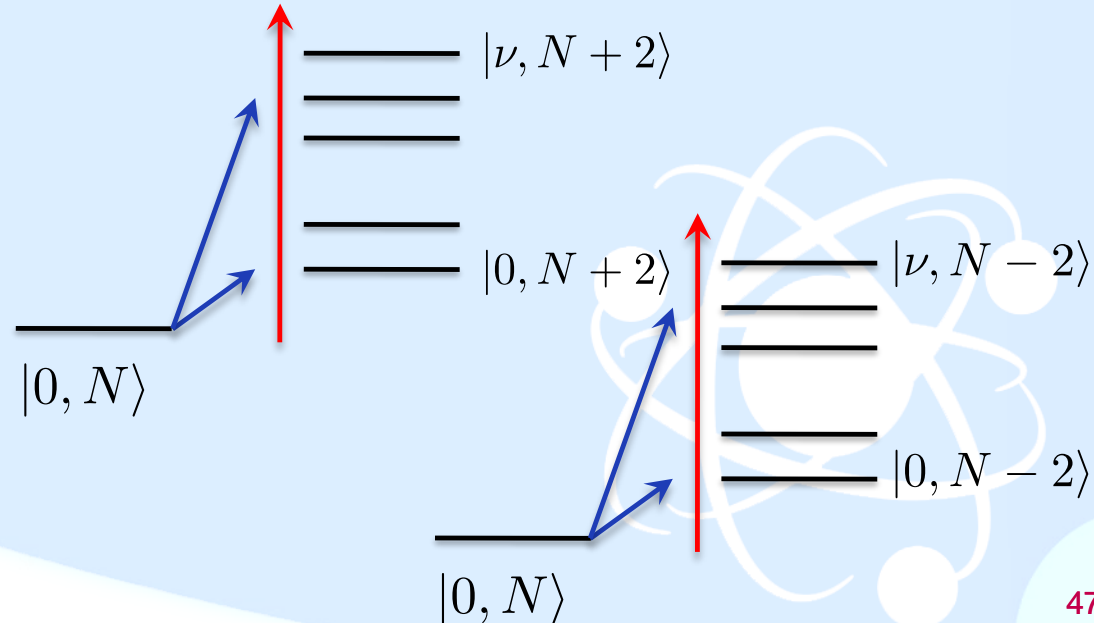
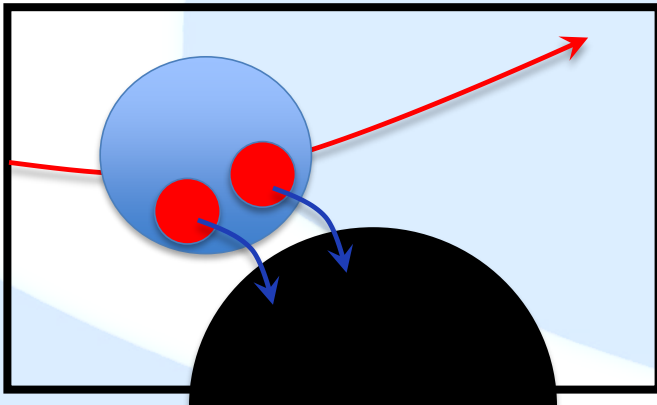
Note that the simple relation between probabilities are “naïve” (nucleons are Fermions)

Taking the Time-dependent Schrödinger picture (6D problem)

$$i\hbar \frac{d}{dt} \Psi(\mathbf{r}_1, \mathbf{r}_2, t) \simeq \left\{ \frac{\mathbf{p}_1^2}{2m} + \frac{\mathbf{p}_2^2}{2m} + V_A(\mathbf{r}_1) + V_A(\mathbf{r}_2) + V_B(\mathbf{r}_1 - \mathbf{R}(t)) + V_B(\mathbf{r}_2 - \mathbf{R}(t)) + V_{\text{cor}}(\mathbf{r}_1, \mathbf{r}_2) \right\} \Psi(\mathbf{r}_1, \mathbf{r}_2, t)$$

- ➡ The problem can eventually be decomposed on a set of correlated 2-body states with coupled angular momentum
- ➡ Then the problem can be formally reduced to the previous perturbative approach
- ➡ In HFB theory (superfluid systems), the problem can be seen as the nuclear response to an external field inducing 2 nucleon addition and/or removal

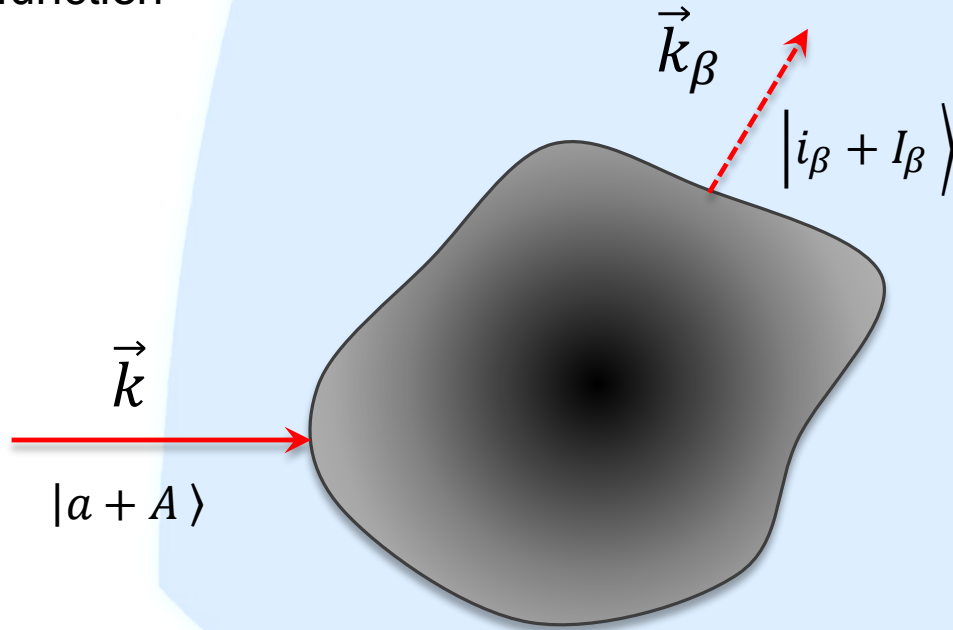
2n-transfer reactions



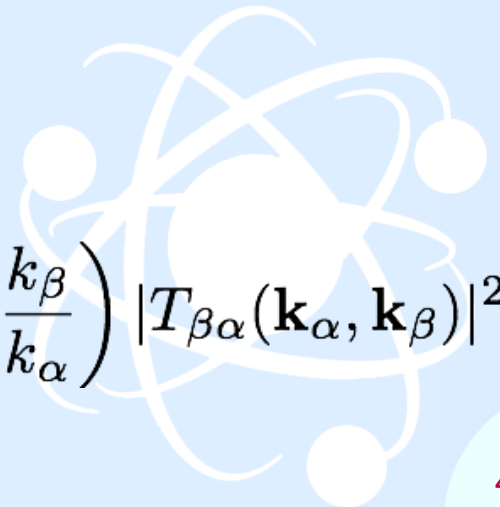
When semi-classical methods fail, it is necessary to use fully quantum approach to scattering (see lecture 2-3)

Scattering wave-function

$$\Psi_{\text{scatt}}(r, \theta, \varphi) \longrightarrow e^{i\mathbf{k}_\alpha \mathbf{r}} \Psi_a \Psi_A + \sum_{\beta} f_{\beta}(\theta, \varphi) \frac{e^{ik_{\beta} r}}{r} \Psi_b \Psi_B$$



$$\frac{d\sigma_{\beta}}{d\Omega} = \frac{v_{\beta}}{v_{\alpha}} |f_{\beta}(\theta, \varphi)|^2 \quad \longrightarrow \quad \frac{d\sigma_{\beta\alpha}}{d\Omega} = \frac{\mu_{\alpha}\mu_{\beta}}{(2\pi\hbar^2)^2} \left(\frac{k_{\beta}}{k_{\alpha}}\right) |T_{\beta\alpha}(\mathbf{k}_{\alpha}, \mathbf{k}_{\beta})|^2$$



The scattering states are the solution of

$$(E - H_\beta - K_\beta)\Psi_\alpha^+ = V_\alpha \Psi_\alpha^+$$

Using the same method as before

$$\begin{aligned}
 \langle \beta | \Psi_\alpha^+ \rangle(\mathbf{k}_\alpha, \mathbf{k}_\beta) &= e^{i\mathbf{k}_\alpha \mathbf{r}} \delta_{\alpha\beta} - \left(\frac{\mu_B}{2\pi\hbar^2} \right) \int \frac{e^{i\mathbf{k}_\beta |\mathbf{r}_\beta - \mathbf{r}_{\beta'}|}}{|\mathbf{r}_\beta - \mathbf{r}_{\beta'}|} \langle \beta | V_\beta | \Psi_\alpha^+ \rangle d\mathbf{r}_{\beta'} \\
 &= \langle \Phi_\beta(\mathbf{k}_\beta) | V_\beta | \Psi_\alpha^+(\mathbf{k}_\alpha) \rangle = T_{\beta\alpha}
 \end{aligned}$$

Born approximation

$$\Psi^+(\mathbf{k}_\alpha) \rightarrow |\Phi_\alpha(\mathbf{k}_\alpha)\rangle$$

Distorted Wave approximation

$$(E - H_\beta - K_\beta - U_\alpha)\Psi_\alpha^+ = W_\beta \Psi_\alpha^+$$

$$(E - H_\beta - K_\beta - U_\alpha)\chi_\beta^- = 0$$

$$\langle \Phi_\beta(\mathbf{k}_\beta) | V_\beta | \Psi^+(\mathbf{k}_\alpha) \rangle \rightarrow \langle \chi_\beta^-(\mathbf{k}_\beta) | W_\beta | \Psi^+(\mathbf{k}_\alpha) \rangle$$



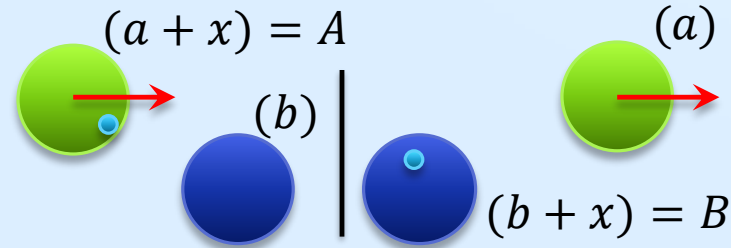
DWBA

NUCLEON TRANSFER

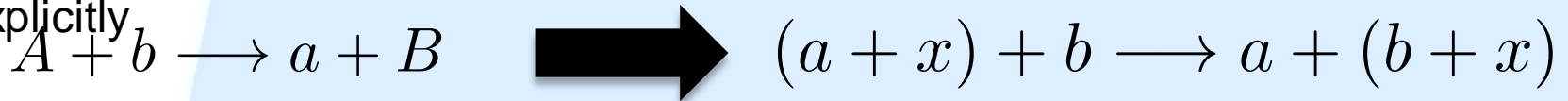
DWBA APPLIED TO NUCLEAR TRANSFER REACTION

$$\langle \chi_{\beta}^{-}(\mathbf{k}_{\beta}) | W_{\beta} | \Psi^{+}(\mathbf{k}_{\alpha}) \rangle \quad ?$$

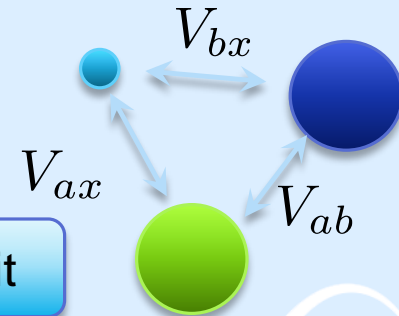
Starting point



The transferred particle (n, p, d, ...) should be considered explicitly



The interaction potentials should be between all Constituents [3-body problem]:



Entrance

Exit

$$\Psi_A = C_{ax}^A [\varphi_a \varphi_x \Phi_{ax}(\mathbf{r})]^{J_i M_i} + \dots \quad \Psi_B = C_{bx}^B [\varphi_b \varphi_x \Phi_{bx}(\mathbf{r})]^{J_f M_f} + \dots$$

C_{ax}^A Spectroscopic amplitude

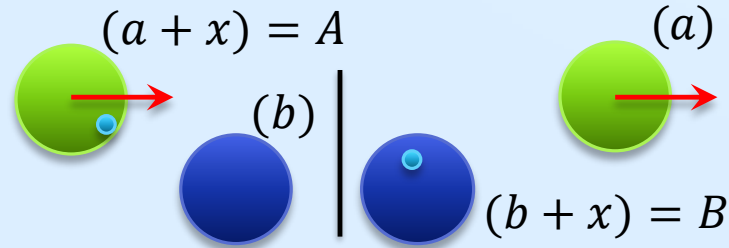
$|C_{ax}^A|^2 \sim$ probability to find the configuration $(a + x)$ in the nucleus A

NUCLEON TRANSFER

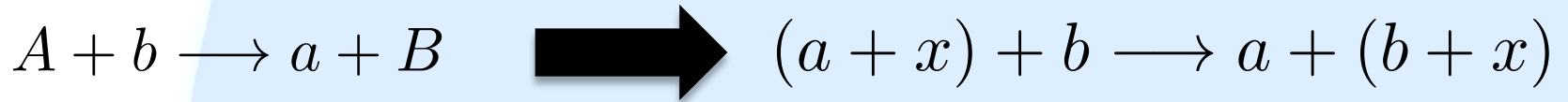
DWBA APPLIED TO NUCLEAR TRANSFER REACTION

$$\langle \chi_{\beta}^{-}(\mathbf{k}_{\beta}) | W_{\beta} | \Psi^{+}(\mathbf{k}_{\alpha}) \rangle ?$$

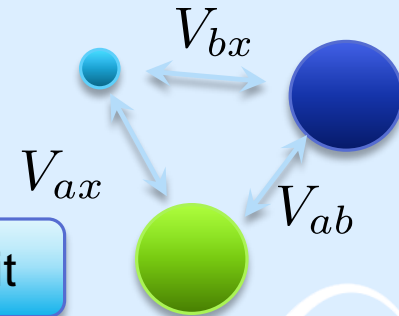
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The transferred particle (n, p, d, ...) should be considered explicitly



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Constituents [3-body problem]:



Entrance

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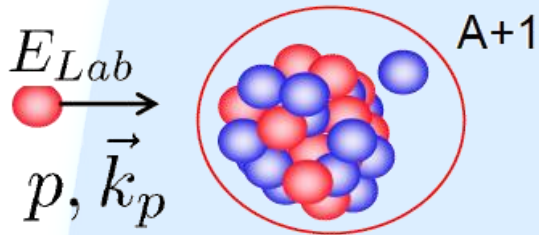
$$\Psi_A = C_{ax}^A [\varphi_a \varphi_x \Phi_{ax}(\mathbf{r})]^{J_i M_i} + \dots \quad \Psi_B = C_{bx}^B [\varphi_b \varphi_x \Phi_{bx}(\mathbf{r})]^{J_f M_f} + \dots$$

$$\chi^{-} \quad \chi^{+}$$

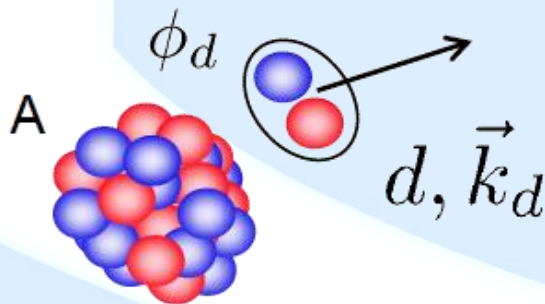
$$\langle \Psi_B \Psi_a \chi_{aB}(\mathbf{R}) | \mathcal{V}_{\text{int}} | \Psi_A \Psi_b \chi_{Ab}(\mathbf{R}) \rangle$$

A typical illustration (p,d) transfer experiments

Entrance channel (p + A)



Exit channel (p + A)

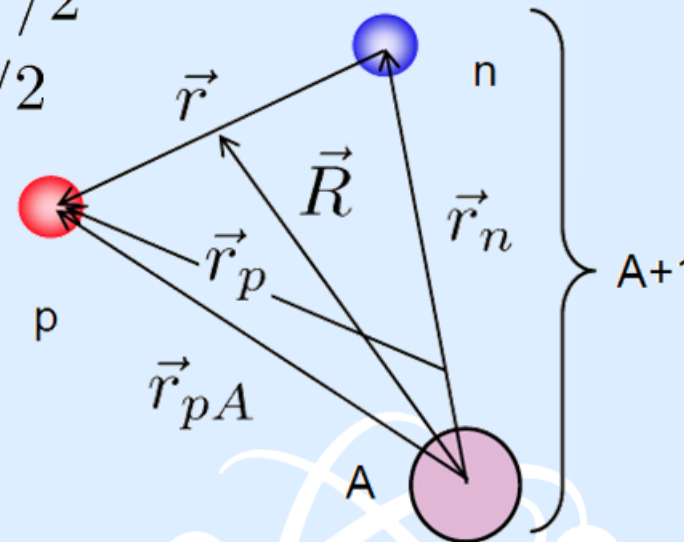


$$\vec{R} = [\vec{r}_n + \vec{r}_p]2$$

$$\vec{r}_{pA} = \vec{R} + \vec{r}/2$$

$$\vec{r}_n = \vec{R} - \vec{r}/2$$

3-body problem



Interaction potential

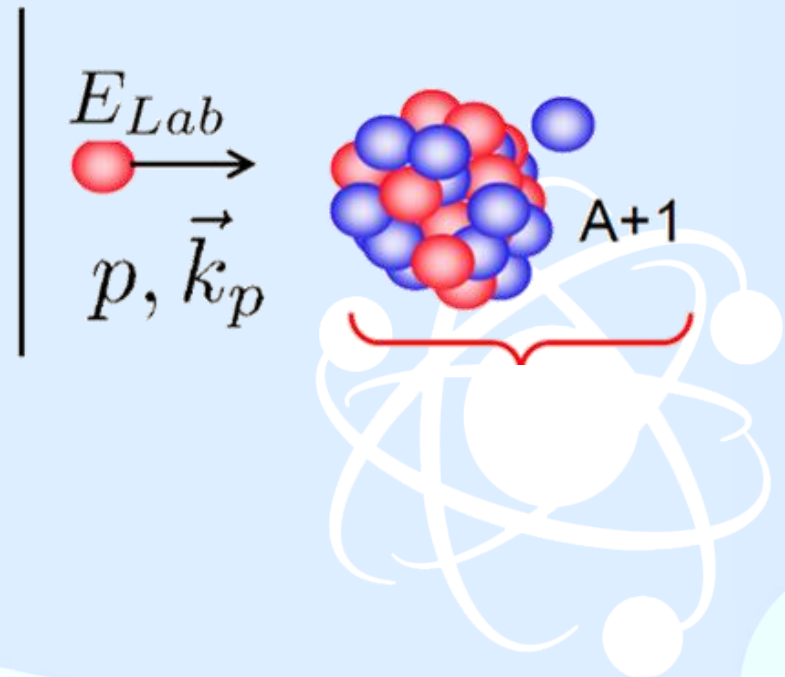
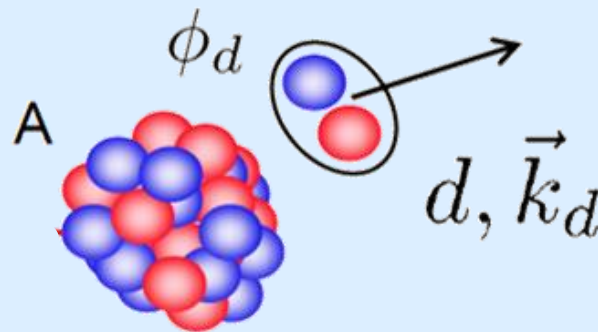
$$V_p(\vec{r}_{pA}) = V_p(\vec{R} + \vec{r}/2)$$

$$V_n(\vec{r}_n) = V_n(\vec{R} - \vec{r}/2)$$

$$V_{np}(\vec{r})$$

Transfer reaction transition amplitudes - DWBA

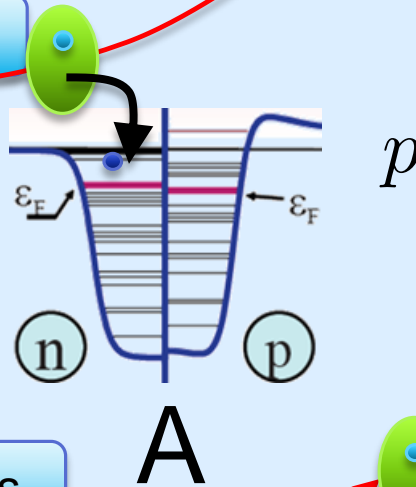
$$T(p, d) = \langle \underbrace{\chi_{d, \vec{k}_d}^{(-)} \phi_d \Phi(A, J_f)}_{\text{exit channel}} | V_{np} | \underbrace{\chi_{p, \vec{k}_p}^{(+)} \Phi(A+1, J_i)}_{\text{entrance channel}} \rangle$$



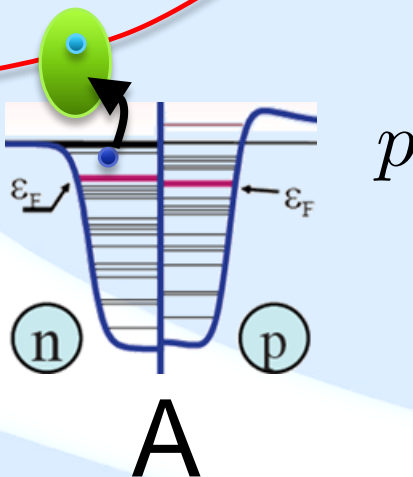
NUCLEON TRANSFER AS A SPECTROSCOPIC TOOL

SPECTROSCOPIC FACTOR

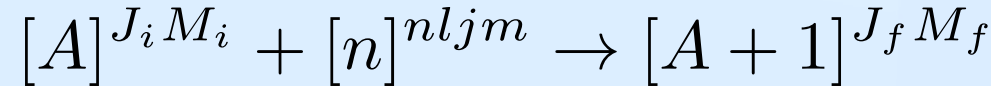
A(d,p) reactions



A(p,d) reactions

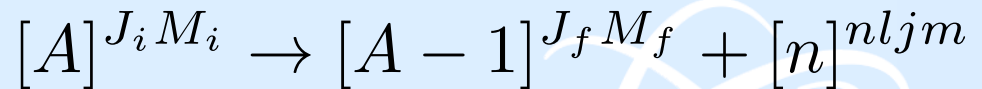


For the nucleus A this might be seen as



We anticipate that the cross-section is sensitive to the quantity

$$\rightarrow |\langle A + 1, J_f M_f | a_{nljm}^\dagger | A, J_i M_i \rangle|^2$$



$$\rightarrow |\langle A - 1, J_f M_f | a_{nljm} | A, J_i M_i \rangle|^2$$

The spectroscopic factors are defined as the average over all spin projection (unpolarized beam):

Removal of a nucleon (p,d)

$$S_{nlj} = \frac{1}{2J_i + 1} \sum_{M_i M_f m} |\langle A - 1, J_f M_f | a_{nljm} | A, J_i M_i \rangle|^2$$

Then the cross-section simply writes

$$\sigma_{nlj}^{A \rightarrow A-1}(\theta, \varphi) = S_{nlj} F_{nlj}(\theta, \varphi)$$

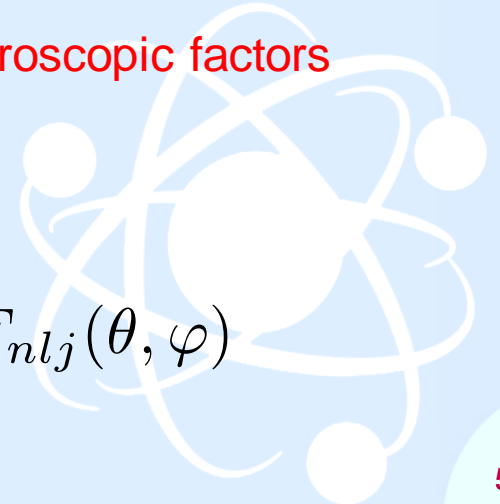
Contains the reaction part


Spectroscopic factors

Addition of a nucleon (d,p)

With the same definition


$$\sigma_{nlj}^{A \rightarrow A+1}(\theta, \varphi) = \frac{2J_f + 1}{2J_i + 1} S_{nlj} F_{nlj}(\theta, \varphi)$$




 Spectroscopic factors contains the selection of channels
 (only specific [nljm] can be probed)

$$\langle A - 1, J_f M_f | a_{nljm} | A, J_i M_i \rangle \propto \langle J_f M_f | j m J_i M_i \rangle$$

For instance $\mathbf{J}_f = \mathbf{J}_i + \mathbf{j} = \mathbf{J}_i + \mathbf{l} + \mathbf{s}$

 (d,p) reaction on an even-even nucleus can only
 populate $J_f = l \pm \frac{1}{2}$ state

 Spectroscopic factor contains Clebsh coefficients

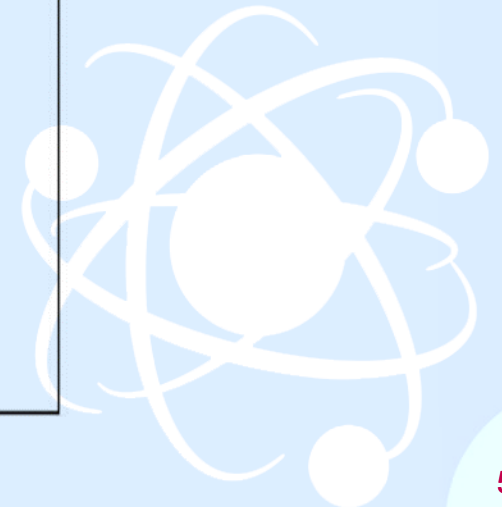
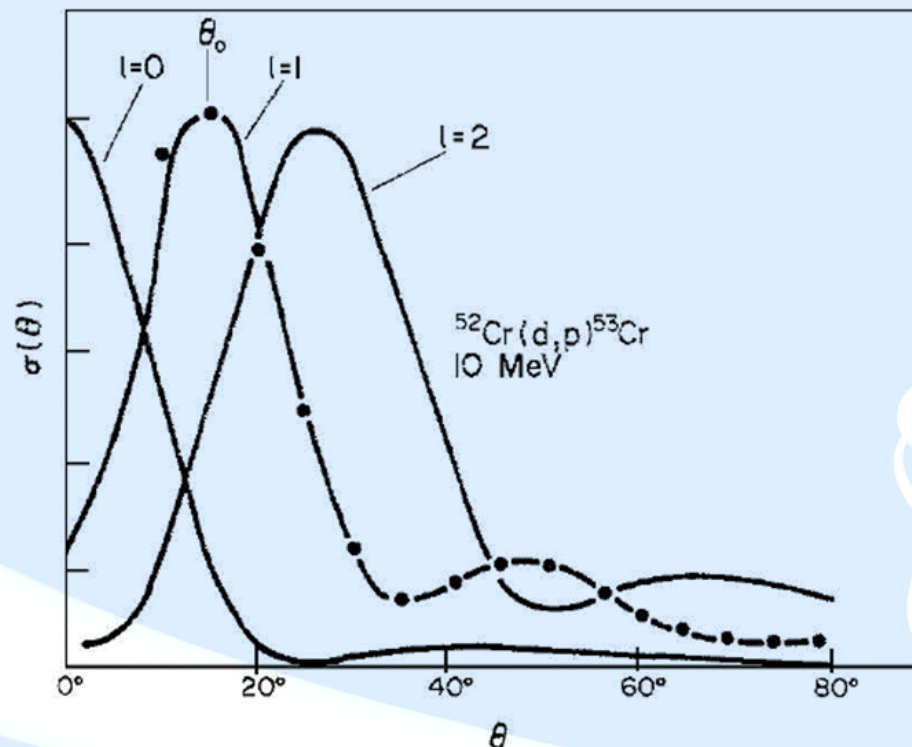


$$\sigma_{nlj}^{A \rightarrow A-1}(\theta, \varphi) = S_{nlj} F_{nlj}(\theta, \varphi)$$

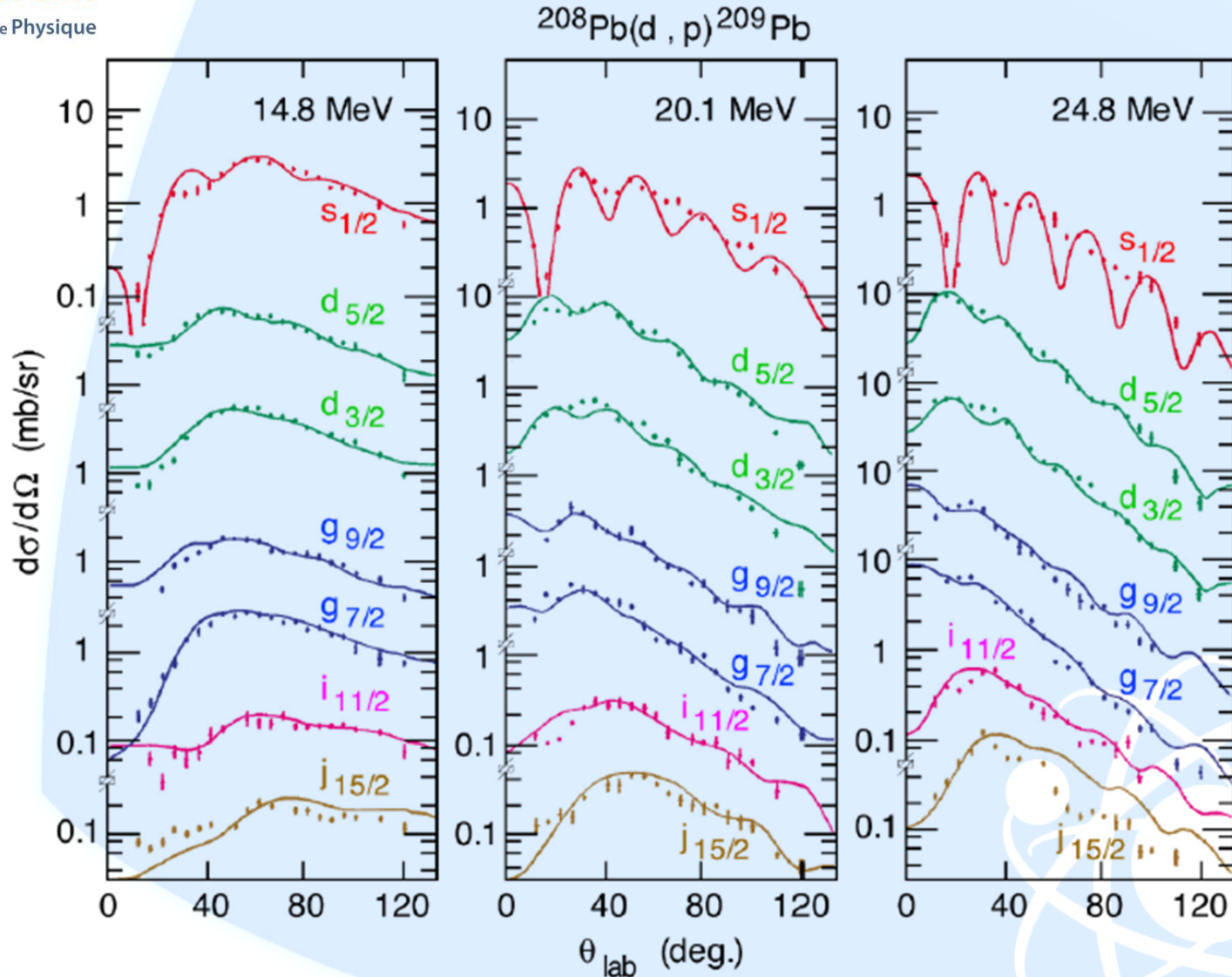
Occupation
probability

Reaction part

The reaction part [model dependent] depends strongly of the initial (final) single-particle state properties (quantum numbers, extension, ...)



NUCLEON TRANSFER AS A SPECTROSCOPIC TOOL FROM EXPERIMENT TO SF



Muehlener et al.
Phys. Rev. 159, 1043 (1967)



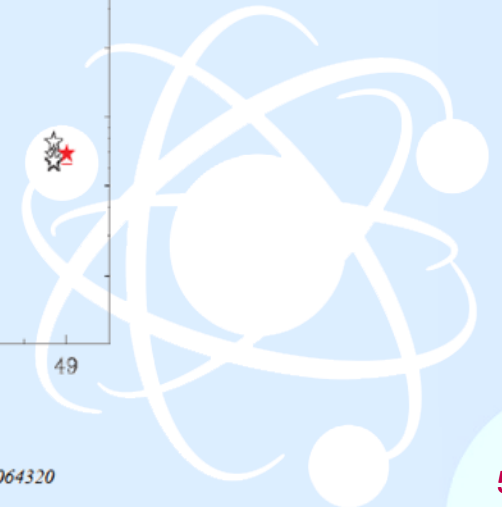
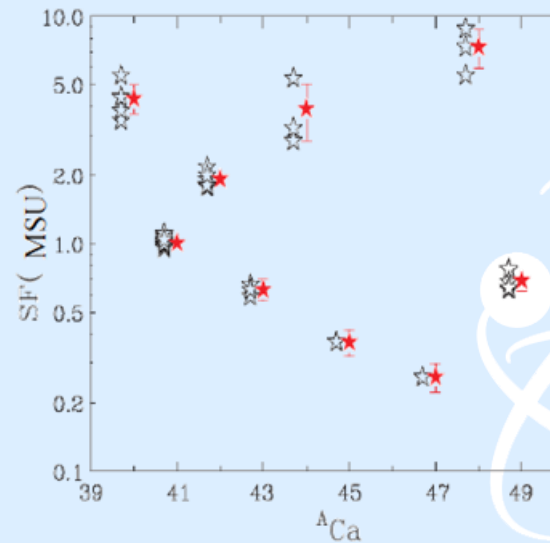
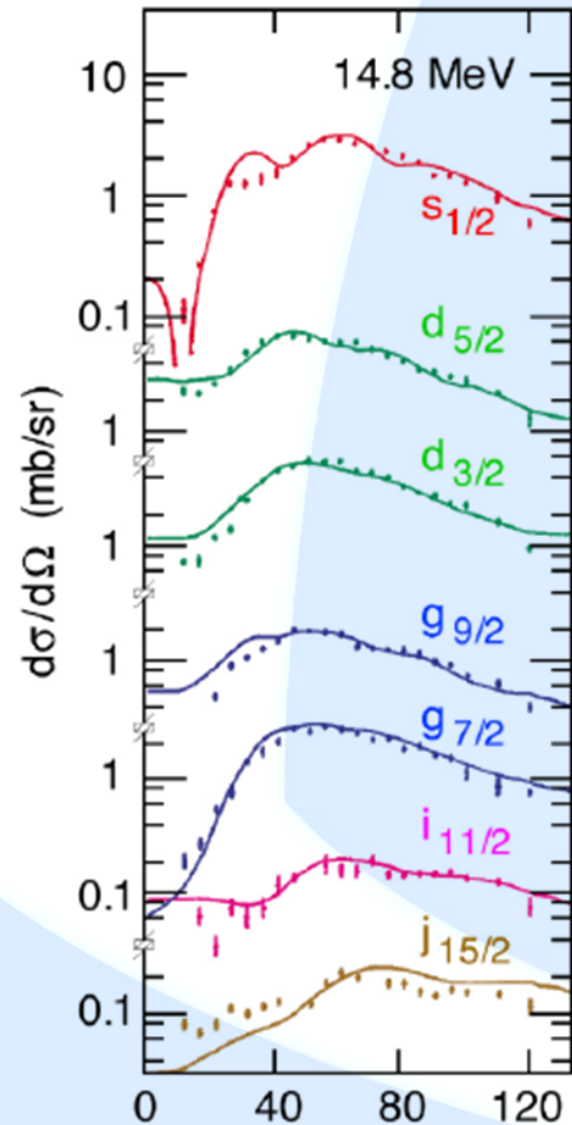
From the shape of the cross-section one attributes the (nlm)



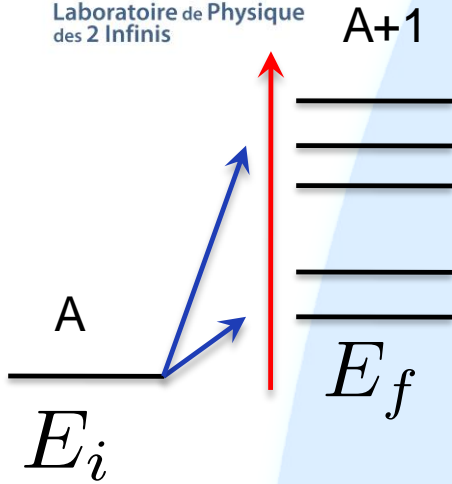
From the absolute value, one deduce the SF

$$SF = \frac{\sigma_{\text{exp}}}{\sigma_{\text{th}}^{\text{sp}}}$$

Illustration

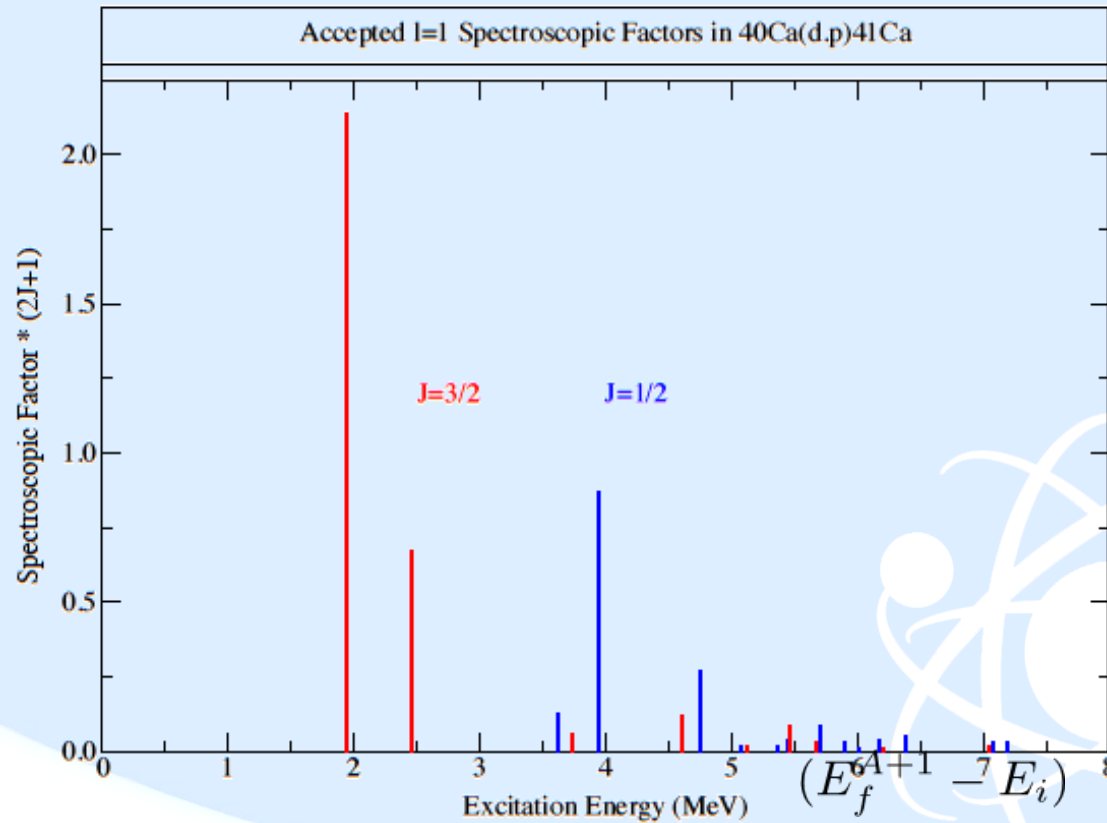


SINGLE-PARTICLE ENERGIES FROM EXPERIMENTS

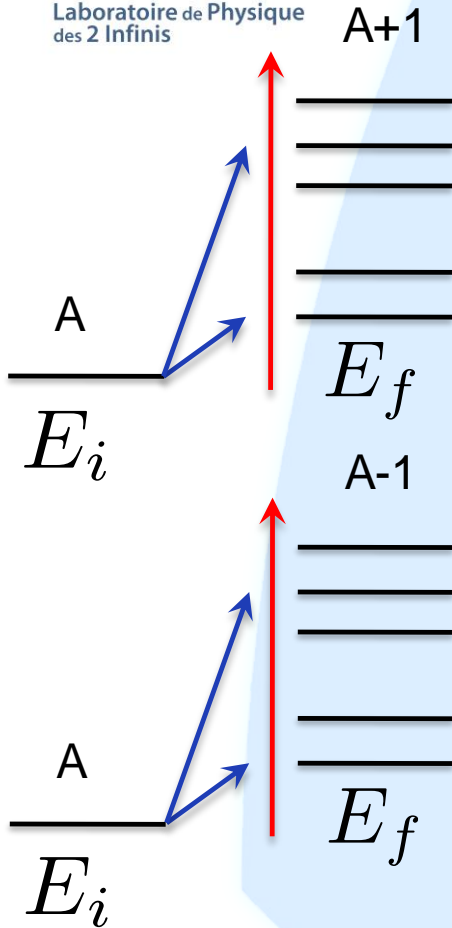


$$a_{jlm}^\dagger |A\rangle = \sum c_f |A+1, f\rangle$$

➔ Single-particle f states are “fragmented”



SINGLE-PARTICLE ENERGIES FROM EXPERIMENTS



$$a_{jlm}^\dagger |A\rangle = \sum c_f |A+1, f\rangle$$

➔ Single-particle^f states are “fragmented”

Nevertheless, one can define effective single-particle energies

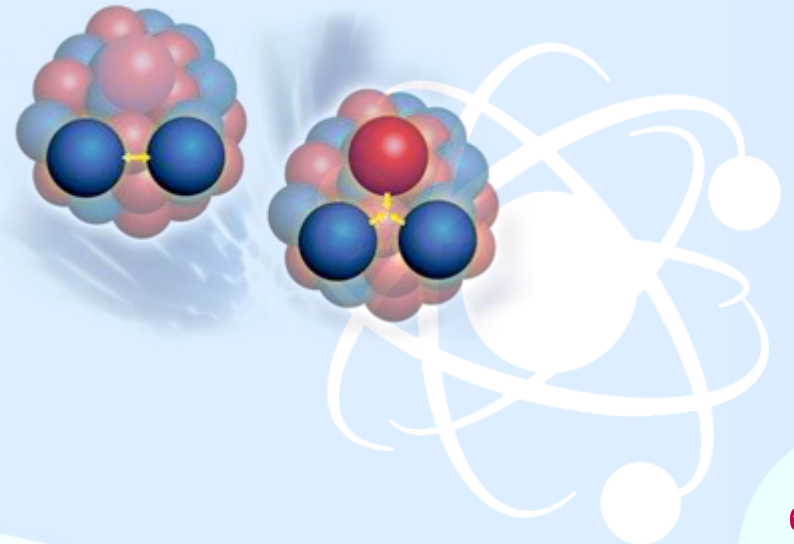
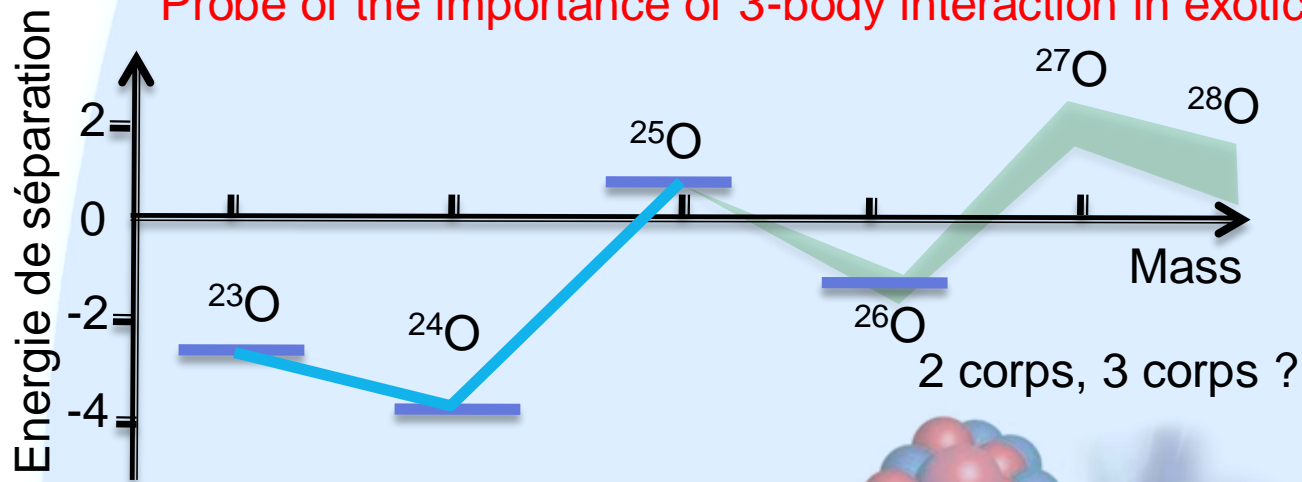
$$\varepsilon_i \simeq \sum_f (SF)_i^{A \rightarrow A+1} (E_f^{A+1} - E_i)$$

A more precise definition should include both removal and addition SF

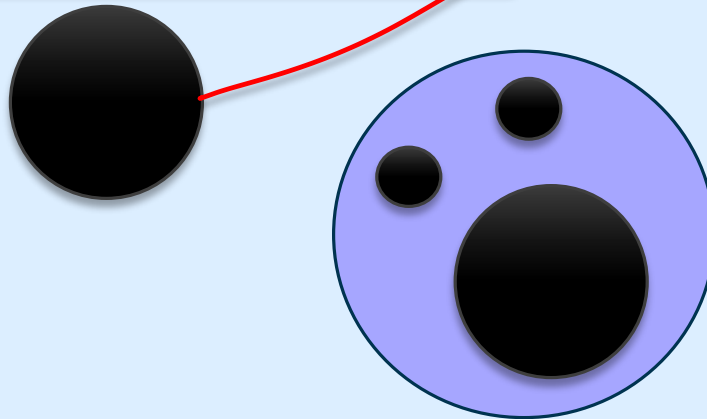
$$\varepsilon_i \simeq \sum_f (SF)_i^{A \rightarrow A+1} (E_f^{A+1} - E_i) + \sum_f (SF)_i^{A \rightarrow A-1} (E_i - E_f^{A-1})$$

Illustration of recent use

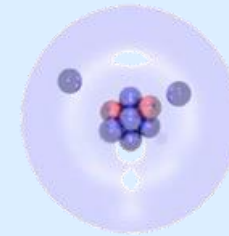
Probe of the importance of 3-body interaction in exotic oxygen



Two nucleon transfer [4 body problem]



Example:
Halo nucleus ${}^6\text{He}$, ${}^{11}\text{Li}$...



Break-up and continuum effect

- ➔ Nucleons are sometimes very weakly bound [500 keV]
- ➔ Break-up (emission of particle) is a strongly competing channel.
- ➔ Requires to introduce a continuum of exit channels

(see next lecture)

