

# 7. PERTURBATION THEORY

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## Formal perturbation theory

Start with partitioning the Hamiltonian as

$$H = H_0 + H_1$$

unperturbed part                      perturbation

One must choose  $H_0$  such that the associated Schrödinger equation

$$H_0 |\phi_k\rangle = \bar{E}_k^{(0)} |\phi_k\rangle$$

can be easily solvable.

⇒ In practice:  $H_0 =$  one-body operator

Eigenstates  $|\phi_k\rangle$  form a complete orthonormal basis of the many-body Hilbert space

$$\langle \phi_k | \phi_l \rangle = \delta_{kl} \quad \text{and} \quad \langle \phi_0 | \Psi_0^A \rangle = 1$$

Ground-state of  $H_0$  (= reference state) and exact wave function are adiabatically connected via  $H_1$

Associated to the above partitioning one defines the projection operators

$$P \equiv |\phi\rangle\langle\phi|$$

$$Q \equiv 1 - P$$

→ how drop subscript for g.s.  
and write  $|\phi_0\rangle = |\phi\rangle$   
 $|\Psi_0^A\rangle = |\Psi^A\rangle$

The operator  $Q$  can be written explicitly as

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$$Q \equiv \sum_{k \neq 0} |\phi_k\rangle \langle \phi_k|$$

The exact ground state can now be written as

$$\begin{aligned} |\Psi^A\rangle &= P|\Psi^A\rangle + Q|\Psi^A\rangle \\ &= |\phi\rangle \langle \phi | \Psi^A \rangle + \sum_{k \neq 0} |\phi_k\rangle \langle \phi_k | \Psi^A \rangle \\ &\equiv |\phi\rangle + |\chi\rangle \end{aligned}$$

The exact energy reads

$$\bar{E}^A = \langle \phi | H | \Psi^A \rangle \quad \text{— projective formula for the energy (as opposed to expectation value } \langle \Psi^A | H | \Psi^A \rangle \text{)}$$

$$= \langle \phi | H | \phi \rangle + \cancel{\langle \phi | H_0 | \chi \rangle} + \langle \phi | H_1 | \chi \rangle$$

$$\equiv \bar{E}_{\text{ref}} + \Delta \bar{E}$$

Let us now introduce the resolvent operator

$$R \equiv \sum_{k \neq 0} \frac{|\phi_k\rangle \langle \phi_k|}{E^{(0)} - \bar{E}_k^{(0)}}$$

for which  $R|\phi\rangle = 0$ . After a long derivation one obtains

$$|\chi\rangle = \sum_{k=1}^{\infty} (RH_1)^k |\phi\rangle_c$$

$$\Delta \bar{E} = \langle \phi | H_1 \sum_{k=1}^{\infty} (RH_1)^k |\phi\rangle_c \quad \text{"connected"}$$

One can then write

$$|\Psi^A\rangle = \sum_{P=0}^{\infty} |\Psi^{(P)}\rangle$$

$$E^A = \sum_{P=0}^{\infty} E^{(P)}$$

with  $|\Psi^{(0)}\rangle = |\phi\rangle$  and  $E^{ref} = E^{(0)} + E^{(1)}$ .

The first non-trivial correction to the energy appears at  $p=2$

$$E^{(2)} = \langle \phi | H_1 R H_1 | \phi \rangle$$

$$= \sum_{k \neq 0} \frac{\langle \phi | H_1 | \phi_k \rangle \langle \phi_k | H_1 | \phi \rangle}{E^{(0)} - E_k^{(0)}}$$

Many-body perturbation theory.

Let us take the Hartree-Fock Slater determinant  $|\phi\rangle = |\phi^{HF}\rangle$  as reference state and apply Wick's theorem to the nuclear Hamiltonian with respect to  $|\phi\rangle$

$$H = \underbrace{E^{HF} + \sum_p h_{pp} : c_p^\dagger c_p :}_{= H_0} + \underbrace{\frac{1}{4} \sum_{pqrs} \bar{V}_{pqrs} : c_p^\dagger c_q^\dagger c_s c_r :}_{= H_1}$$

$|\phi\rangle$  is the lowest-energy eigenstate of  $H_0$ , i.e.

$$H_0 |\phi\rangle = E^{HF} |\phi\rangle$$

All other eigenstates of  $H_0$  can be obtained via

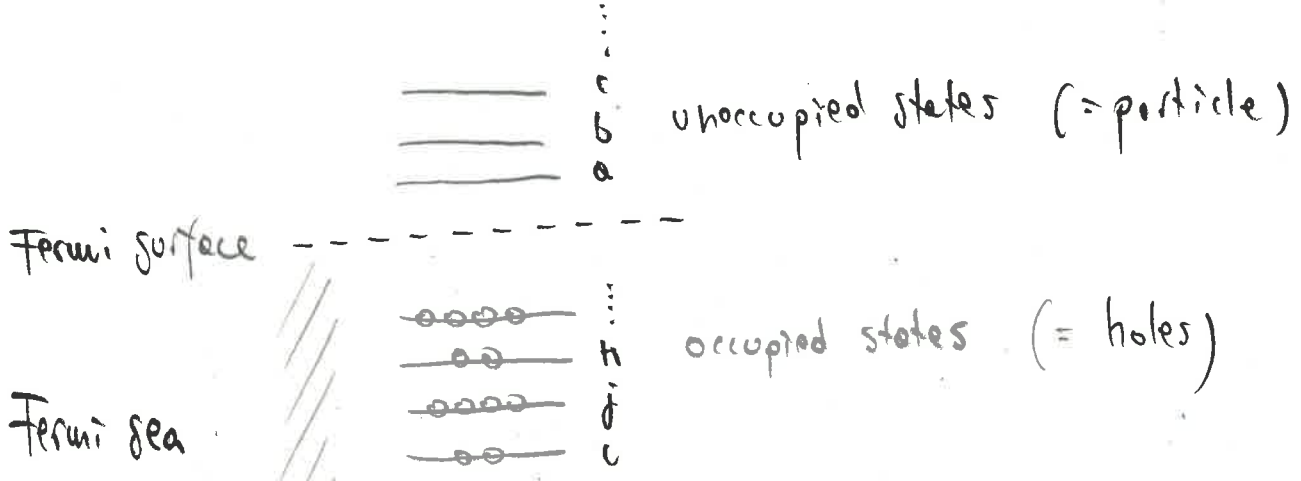
$$H_0 |\phi_{ijk\dots}^{abc\dots}\rangle = \left( \bar{E}^{HF} + \sum_{ijk\dots} \right) |\phi_{ijk\dots}^{abc\dots}\rangle$$

where

$$|\phi_{ijk\dots}^{abc\dots}\rangle \equiv c_a^\dagger c_b^\dagger c_c^\dagger \dots c_k c_j c_i |\phi\rangle$$

are Slater determinants obtained from  $|\phi\rangle$  via  $n$ -particle -  $n$ -hole excitations and

$$\sum_{ijk\dots}^{abc\dots} = \underbrace{(e_a + e_b + e_c + \dots)}_{\text{"particle" energies}} - \underbrace{(e_i + e_j + e_k + \dots)}_{\text{"hole" energies}}$$



NOTATION

- $a, b, c, \dots$  for particles
- $i, j, k, \dots$  for holes
- $p, q, r, s, \dots$  for both = (when p/h character is not specified)

With these, the projection operator  $Q$  reads

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$$Q = \sum_{a_i} |\phi_{a_i}^a\rangle \langle \phi_{a_i}^a| + \sum_{abij} |\phi_{ij}^{ab}\rangle \langle \phi_{ij}^{ab}| + \dots$$

hp-nh excitations  
with  $n \geq 3$

and the resolvent

$$R = - \sum_{a_i} \frac{|\phi_{a_i}^a\rangle \langle \phi_{a_i}^a|}{\epsilon_{a_i}} - \left(\frac{1}{2!}\right)^2 \sum_{abij} \frac{|\phi_{ij}^{ab}\rangle \langle \phi_{ij}^{ab}|}{\epsilon_{abij}^{ab}} + \dots$$

Then, the second-order correction takes the form

$$E^{(2)} = - \frac{1}{4} \sum_{abij} \frac{\bar{V}_{abij} \bar{V}_{ijab}}{\epsilon_{abij}^{ab}}$$

and similarly (but increasingly more complicated) for higher-order corrections.

Recall the expression for energy corrections

$$\Delta \bar{E} = \langle \phi | H_1 \sum_{k=1}^{\infty} (R H_1)^k | \phi \rangle$$

To compute expectation values of products of operators, a "ladder" of tools exists

- 1) Work through canonical commutations of  $a^\dagger$  and  $a$
- 2) Wick's theorem (= way to capture the result of point 1 in a systematic and compact way)
- 3) Many-body diagrams (= way to handle graphically terms coming from Wick and collect equivalent contributions)
- 4) Automated generation of many-body diagrams